

**AGENDA ITEM EXECUTIVE SUMMARY**

Agenda Item number: 6a

Title:

Seeking a Recommendation of Approval of the Funding Allocation Requests of the 708 Mental Health Board for FY 2017-2018

Presenter:

**Chris Minick, Finance Director
Barb Gacic of 708 Mental Health Board**

Meeting: Government Operations Committee

Date: June 19, 2017

Proposed Cost: \$546,210

Budgeted Amount: \$546,210

Not Budgeted: ☐**Executive Summary** *(if not budgeted please explain):*

The City of St. Charles levies a \$0.04/\$100 EAV property tax to support agencies that provide mental health services to residents of the City of St. Charles. This tax levy was approved by voters by referendum in the spring of 1986, and has been in place ever since. The City's 708 Mental Health Board administers the funding application and presentation process and makes annual recommendations of funding allocations to the various agencies providing these services. The total amount of funding available for approval for FY 17/18 is \$546,210. The recommended funding allocations for the 2017-2018 funding cycle are attached.

In the past, the individual agencies receiving over \$25,000 have made individual presentations to the Government Operations Committee. Since these groups have already made detailed presentations to the 708 Mental Health Board as a part of the funding application process, a change is being instituted wherein Barb Gacic, Chair of the 708 Mental Health Board, will present an overall summary on behalf of all the groups requesting funding for FY 2017-18, similar to the process followed for the Visitors Cultural Commission. Representatives of the individual groups receiving greater than \$25,000 in funding will be available should any detailed questions be posed.

Attachments *(please list):***Summary sheet of recommended funding allocations of the St. Charles 708 Mental Health Board for FY 17/18, and agency applications****Recommendation/Suggested Action** *(briefly explain):*

Seeking a recommendation of approval of the funding allocation requests of the 708 Mental Health Board for fiscal year 2017-2018.

708 Board Allocation Worksheet - FY 2017/2018

Thursday, February 23, 2017

AGENCY	TIER	2016 FUNDING APPROVED	2017 FUNDING REQUESTED	2017 FUNDING APPROVED
Association Individual Development	A	\$54,500	\$70,000	\$58,000
CASA Kane County	B	\$5,000	\$10,000	\$6,500
City of St. Charles Police Department		\$15,000	\$0	
Community Crisis Center	A	\$14,000	\$16,500	\$16,500
DayOne Pact	B	\$2,000	\$10,000	\$4,500
Easter Seals	B	\$2,500	\$7,500	\$3,500
Ecker Center		\$59,000	\$64,000	\$64,000
Edward Foundation	A	\$0	\$4,500	\$4,500
ElderDay Center	A	\$14,500	\$18,000	\$16,000
Fox Valley Hands of Hope	B	\$16,500	\$20,000	\$18,000
Fox Valley Special Recreation Associaton	B	\$1,250	\$5,000	\$3,500
Lazarus House	A	\$46,100	\$43,010	\$43,010
Living Well Center	B	\$9,000	\$20,000	\$12,500
National Alliance on Mental Illness (NAMI)	A	\$2,000	\$6,000	\$4,500
Renz Addiction Center	A	\$63,500	\$65,000	\$65,000
Suicide Prevention Center	A	\$16,500	\$17,000	\$17,000
TriCity Family Services	A	\$189,000	\$200,000	\$196,000
TriCity Health Partnership	B	\$8,000	\$10,000	\$10,000
Wredling	B	\$2,000	\$3,200	\$3,200
TOTAL:		\$520,350	\$589,710	\$546,210

**City of St. Charles 708
Mental Health Board
Accountability Report for Funds Expended Checklist**

It is the responsibility of the agency requesting City of St. Charles 708 Mental Health Board funding to verify all Accountability Report requested information is submitted at the time of application submission.

Please use the following checklist as a guide to compile your application packet for submission. Incomplete applications or applications submitted after **January 6, 2017 – NOON** will not be considered for funding for the 2017/2018 funding period.

Please submit your information in the order in which it appears on the application and initial on the line provided to ensure all items are included in your packet.

1. X KH Agency and contact information completed.
2. X KH 2017/2018 total funding request is: \$200,000.
3. KH Completed narrative mission of the organization as it relates to the City of St. Charles 708 Mental Health Board's mandate for services to residents with, or at risk of:
 - a. mental illness and psychological disorders,
 - b. developmental disabilities, including mental retardation, emotional/behavioral, and autism spectrum disorders,
 - c. addictions including chemical, alcohol and substance abuse, and other addictions (gambling, sexual, shopping, etc.).
4. KH Grant requestor has specifically described organization's goals as it relates to the City of St. Charles mission.
5. KH Individuals served may only be counted once, regardless of the number of times served per fiscal year through direct service hours provided. Please provide a description of the nature and dollar amount of expenditures toward the benefit of the City of St. Charles residents in the following categories:
 - a. 1:1 direct services with clients (e.g., CPE, case management, etc. (not grant funds))
 - b. Direct service to groups of clients
 - c. Workshops, lectures, and community presentations for education and training
 - d. Administrative expense, office support, and overhead (emergency services)
6. X KH If available, please include a synopsis of your outcome measurements for the funding you are requesting.
7. KH **With the application provide the following information:**

An annual detailed budget or year ending Financial Statements and annual report.

St. Charles 708 Fund Expenditure History for past three years.

The total number of individuals your agency served in the past year 3,839.

Direct number of individuals living within the City of St. Charles city limits served 881.

Direct number of service hours provided to City of St. Charles residents: 8,366.50.

Quantified costs allocated to the services provided, (i.e. cost per hour and or program costs for the City of St. Charles residents) \$323,148 (program costs for City of St. Charles residents).

Identify other services provided to and for the City of St. Charles residents.

Attach a list of current Board of Directors for your agency as specified in our application.

Attach a copy of your current 501 (c) (3).

MAKE SURE description on your financial statement reads City of St. Charles 708 Mental Health.
8. KH PLEASE PROVIDE 10 COPIES OF YOUR COMPLETED APPLICATION AND INFORMATION.

Keep in mind individuals are to be counted only once, regardless of the number of times served per fiscal year though the direct number of hours these individuals are served need to be quantified.

TriCity Family Services _____ Agency submitting their funding request application Date 1/5/2017
Name of Agency

Kathleen Hausmann _____
Print Name of Person Completed Application

Kathleen Hausmann
Signature

Director of Development
Title/Position

**City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended**

Agency Name: TriCity Family Services

Contact Person: Kathleen Hausmann Phone #: 630-232-1070

Main Office Address: 1120 Randall Court

Geneva, IL 60134

- **Funding requested from City of St. Charles 708 Board for fiscal year 2016/17: \$ 200,000.00**

- **Describe mission (include narrative explaining how mission relates to City of St. Charles):**

TriCity Family Services (TCFS) is a private, not-for-profit, human service agency serving the community members of central Kane County. The agency is dedicated to strengthening people and building community through the provision of quality, affordable counseling, youth crisis intervention, prevention and early intervention services that promote sound mental health and effective family functioning. As a community-based agency, TCFS promotes service excellence, honesty, hopefulness, personal responsibility and respect for others.

Our services are designed to address a wide-range of mental disorders that destabilize families and prevent individuals from leading productive, satisfying lives. Integral to our mission and charter is our commitment to making our programs and services affordable to area residents in need, particularly individuals who are uninsured or struggling to meet their high deductibles. By operating under a sliding fee scale, and offering scholarships for our group programs, TCFS ensures that no one is denied services based on an inability to pay. To put the financial needs of our clients into perspective, during FY16, 43.1% of all TCFS clients reported annual incomes of \$30,000 or less.

Counseling is at the core of our work at TriCity Family Services. We specialize in family-centered counseling for children and adolescents. Experienced professionals who know the special needs of children and teens help them work through complex and multi-faceted challenges including conflict with other family members, problems interacting with peers, disruptive behavior, substance abuse, physical or sexual abuse, and depression. TCFS is also skilled in providing short-term individual or couples counseling for adults, addressing issues such as depression, marital discord, grief, divorce, domestic violence, parenting, stress, communication problems, sexual abuse, and anxiety/phobias.

Also, under the umbrella of the agency's Counseling Services, TCFS provides Family-Based Treatment for Eating Disorders, an evidenced-based approach that enlists the entire family in treatment; and, individual and couples counseling.

All of our child and adolescent clients have access to our on-site psychiatric services, if needed.

We also seek to fulfill our mission through the provision of prevention and early intervention workshops and support groups, offered under the umbrella of the agency's *Emotional Wellness* line of service. Typically offered in the form of educational and support groups, **prevention programs** are designed to: 1) reduce or eliminate those conditions that place people at risk of developing mental disorders and/or substance abuse problems later in life; and 2) promote individual and family mental health and wellness. The programs currently offered to residents of St. Charles include:

- *Chick Chat* by HGNA - An all-girl workshop for 4th, 5th, and 6th graders who want to develop a strong sense of self
- *CORE (Connecting to Ourselves for Real Empowerment)* by HGNA with a Section by TCFS – an all-girl workshop for girls entering 6th grade to help girls stay true to their unique selves and transition successfully to middle school.
- *Single Moms Group* - Support group for single mothers
- *Grandparents Raising Grandchildren* - Support group for grandparents who are the primary caretakers of their grandchildren
- *Lazarus House Women's Group* - Onsite group for female guests of Lazarus House

Typically offered as experiential and educational groups, **early intervention programs** are designed to arrest the further development of mental/emotional and substance use disorders amongst those manifesting such issues at their earliest stages and/or considered to be at high risk of becoming symptomatic. Early intervention programs available to St. Charles residents include:

- *Smart Choices* - Anger management for K-5, middle school, high school students and their families
- *Bridges* - Workshop for children whose parents are divorced or separated
- *Wilderness Challenge Program* - Week-long adventure for graduating 8th graders and 9th and 10th graders who wish to develop valuable life skills that can aid in preparing them for adjustment to high school and their young adult life.
- *Wilderness Challenge Follow-Up Group* - Continued social support for former Wilderness Challenge participants who want to stay connected to the program throughout high school
- *Wilderness Challenge Follow-Up Group Peer Leader Track* - Leadership training for WCP "survivors" who seek to become Peer Leaders on a subsequent trip. Peer Leaders may elect to engage in advanced leadership training to become Senior Peer Leaders, who assist in the training and support of new Peer Leaders.
- *Lazarus House Parent and Family Group* - Onsite group for parents who are guests of the Lazarus House homeless shelter
- *Project Self Compassion* – A six-week skill building program for teenage girls interested in cultivating inner strength, improving emotional resiliency, increasing self-awareness, and learning how to better manage relationships

The City of St. Charles seeks to maintain a high quality of life, instill a strong sense of community, and foster collaboration to create effective solutions. TriCity Family Services shares a commitment to enhancing the quality of life in the community through our provision of professional, high-quality services to all residents in need. We believe that good mental/emotional health is integral to maintaining our community's quality of life.

We include community building in our mission statement to demonstrate our dedication to community-based mental health services and our desire to maximize community impact through partnerships with other local organizations; including social service organizations, corporations, churches, community groups, school districts, and our local municipalities.

TriCity Family Services is proudly celebrating 50 years of being Kane County's leading provider of counseling

and other mental health services to clients of all ages and income levels. We are a strong and experienced community partner for the 708 Board as it works to meet the needs of St. Charles residents who are suffering from, or at risk of developing, mental health disorders.

- **Describe specific goals that fit City's mission and indicate how goals will be accomplished.**

It is our vision to be recognized as the provider of choice for individuals, couples, and families seeking high quality, affordable, and compassionate outpatient mental health services. We have several goals aimed at achieving our vision, and the following exemplify the City of St. Charles' mission:

- **Continue to assume a leadership role in treating children's mental health disorders**

We treat children and teens with severe and chronic mental illness as well as children who are experiencing problems such as conflict with parents or siblings, interaction problems with peers, disruptive behavior at home or at school, physical or sexual abuse, and depression or thoughts of suicide.

According to the National Alliance on Mental Illness (NAMI), approximately 20% of youth between the ages of 13-18 experience a severe a mental disorder in a given year. Fifty percent of all lifetime cases of mental illness begin by age 14. NAMI cites that suicide is the third leading cause of death in youth ages 10-24, and that 37% of students (ages 14-21) who have a mental health disorder, and are served by special education, drop out. This is the highest dropout rate of any disability group.

TriCity Family Services has extensive expertise in treating children's mental health disorders. Our staff is particularly skilled in providing family-based services in order to conduct systemic assessments and collaborate with those considered key change agents within a child's family, school, and social networks. We are also the only agency in our area offering psychiatric services (psychiatric evaluations and medication management) to children and adolescents on a sliding fee basis.

- **Continue to partner with schools, law enforcement, and other local providers, maximizing the use of existing resources, and collaborating in the design and delivery of needed mental health services**

Our agency's systemic orientation means that client treatment plans typically require an interdisciplinary approach and collaboration among other entities involved in a client's life. This is especially true for services to children and adolescents, which may involve collaborative efforts with school social workers, guidance counselors, special education staff, pediatricians, Kane County juvenile probation officers, and Court Appointed Special Advocate volunteers.

Each year, we continue to build our networks and collaborate closely with schools to reach at-risk youth and families. Valuable partnerships with organizations such as Lazarus House, Changing Children's World's Foundation, Helping Girls Navigate Adolescence (HGNA) and local churches allow us to reach children and adults who may otherwise not have access to our services.

Our partnership with District 303 has grown stronger this year; one of our lead clinicians was asked to work closely with their student services personnel on a monthly basis to consult on some of the more difficult situations they are working with. Additionally, we have a contract with the district to serve students and families as well.

We also work closely with our Employee Assistance Program client companies to create and promote healthy work environments for employees.

Furthermore, honoring its long-standing commitment to playing an active role in the broader community service delivery network, TCFS continues to maintain active membership in the Kane County Behavioral Health Council and the St. Charles Youth Commission.

- **Continue our tradition of creating and delivering programs designed to prevent the occurrence of substance abuse and mental health problems and promote individual and family wellness**

Our prevention and early intervention programs are designed to arrest the development of mental health problems in their early stages, or prevent such problems from occurring through supportive and educational opportunities. The provision of these services saves the community the cost of treating fully developed mental health or substance abuse problems later. We consider wellness a key contributor to the high quality of life that the City of St. Charles seeks to maintain.

- **Describe specifically how allocated funds will be expended and quantify services provided to the City of St. Charles:**

- Attach a budget detail for the funds sought.
- Include a brief program description on each item or service.
- Funds from 708 Mental Health Board and/or funds from other sources.

Assuming that the distribution of direct service hours provided to City of St. Charles residents across the following lines of service during FY 2017 remains fairly constant, it is projected that in fiscal year 2017/18 allocated funds, if granted at the \$200,000 level, will be expended as follows:

• Counseling Programs (75%)	\$150,000
• Emotional Wellness (Prevention & Early Intervention) Programs (20%):	40,000
• Community Presentations and Workshops (5%):	<u>10,000</u>
TOTAL	\$200,000

Program Descriptions for Above Programs/Services

Counseling Programs: see Page 1 (above)

Emotional Wellness (Prevention & Early Intervention) Programs: see Page 2 (above)

Community Presentations and Workshops: see Page 7, Section 1 (below)

A complete agency budget is attached (ATTACHMENT A).

Other sources of funding for FY2017:

United Way of Central Kane County Contributions:	\$ 36,000.00 (being sought)
Individuals	\$170,000.00 (being sought)
Corporate/Foundations	\$185,000.00 (being sought)
Churches/Community Groups	\$ 24,000 (being sought)

- **If available, please include a synopsis of your outcome measurements for the funding you are requesting.**

Measurable Outcomes

Measurable outcomes in our Counseling Program are:

- 1) Increased skills and changed behaviors/attitudes, as indicated by improvement in treatment goals developed with their therapist
- 2) Improvement in functioning, as measured by the Global Assessment of Functioning (GAF) Scale for adults and the Children's Global Assessment Scale (C-GAS), Columbia Impairment Scale (CIS), and Ohio Youth Problems, Functioning, and Satisfaction Scales for children and adolescents.
- 3) Treatment goals are developed with the therapist. At the end of treatment, progress toward these goals are measured and fall under 10 categories: Behavior Toward Others; Mood and Emotions; Community/Anti-Social Behavior; Community Involvement; Home/Family/Interpersonal Relationships; Self Harmful Behaviors; Social Isolation; Substance Abuse; School/Work Adjustment; and Thinking.

TriCity Family Services has more than four decades of experience in working with youth and families in crisis. Our counseling program is infused with excellent outcome measurements to demonstrate the effectiveness of our treatment services. We have a strong commitment to quality and continuous quality improvement. We track, report and ensure continuous quality improvement for all programs, at all times. Additionally, we are accredited by the Council on Accreditation, which is the highest standard for human service organizations. We consistently meet or exceed all standards for service delivery, administrative processes, ethics, and management.

Measurable outcomes in our Emotional Wellness Programs are:

As an agency, TriCity Family Services operates a comprehensive Quality Improvement System to help us continually improve the efficiency and effectiveness of our services. The Quality Improvement System is comprised of three integrated parts: Strategic Planning, Quality Assurance and Continuous Quality Improvement. Our Quality Improvement Committee, comprised of staff leadership, board leadership and community volunteers, oversees the entire system. Under the Quality Assurance component of this framework, we measure the effectiveness of our Emotional Wellness Programs through participant surveys administered immediately after the last session of time-limited programs, or periodically during the course of on-going programs. These surveys assess changes in participants' knowledge, skills, attitudes and/or behaviors experienced as a result of their participation in the program.

TCFS also ensures the on-going quality of its Emotional Wellness Programs by staffing all programs with master's-level professional counselors, social workers, and marriage and family therapists, sometimes with assistance from master's-level interns and other trained staff where appropriate. Staffing our programs with mental health professionals increases the positive impact of our services by offering the highest quality group facilitation skills, strict adherence to ethical guidelines (including confidentiality practices), a wealth of psycho-educational knowledge and resources, the ability to respond most appropriately to social and emotional crises that may occur in group settings, and clinical knowledge to refer clients for full assessments and psychotherapy where necessary. Participants in Emotional Wellness Programs are offered up-to-date mental health and wellness knowledge through presentations, experiential exercises, and written program materials to refer to back at home.

Agency Name: TriCity Family Services

- **St. Charles 708 Fund Expenditure History – explain how funds allocated from previous year were expended and purposes of those expenditures in relation to St. Charles residents of the funding you received last year. Note: if funding allocation is over \$25,000, attach most recent financial statements, audit and annual report:**

FY 2016 funds were expended as follows:

• Counseling Programs (75%)	\$141,750
• Emotional Wellness (Prevention & Early Intervention) Programs (20%):	37,800
• Community Presentations and Workshops (5%):	9,450
TOTAL	<u>\$189,000</u>

FY2015 funds were expended as follows:

• Counseling Programs (75%)	\$142,500
• Emotional Wellness (Prevention & Early Intervention) Programs (25%):	47,500
TOTAL	<u>\$190,000</u>

FY2014 funds were expended as follows:

• Counseling Programs (75%)	\$150,000
• Emotional Wellness (Prevention & Early Intervention) Programs (25%):	50,000
TOTAL	<u>\$200,000</u>

- **On an annualized (12 month) basis, provide information on City of St. Charles residents served:**

Direct service: number of individual clients/consumers served: 881

Aggregate number of direct service hours provided: 8,366.50

- **Describe agency cost basis per client/consumer served (e.g., per hour cost and/or program costs allocated to City of St. Charles residents and how this was derived):**

• City of St. Charles Clients Served FY2016	881
• Total Clients Served-All Programs	3,839
• Cost of All Programs (less depreciation)	\$1,408,135.00
• Cost of City of St. Charles clients (\$1,408,135 X 23%)	\$ 323,871.05
• Cost per client– cost of City of St. Charles clients divided by 881	\$ 367.62
• Cost per hour – cost of City of St. Charles clients divided by Total hours of service (8,366.50)	\$ 38.71

Agency Name: _____

- **Identify other services provided to and for the benefit of City of St. Charles' residents (education, presentations, volunteer training and group activities/events).**

In addition to the provision of clinical services through our counseling, prevention and early intervention programs, TCFS continues to serve the citizens of St. Charles by providing presentations or trainings upon request.

Over the past year, agency staff delivered presentations to the City of St. Charles Governmental Operations committee providing a general overview of the agency, as well as to the St. Charles North HS Wellness Fair on "Stress Management Tips". TCFS also welcomed the opportunity to provide agency overviews to local service organizations such as, 100 Women Who Care St. Charles Chapter, the Tri-cities Exchange Club, St. John Neumann Church, St. Charles Noon Kiwanis, Unity Lodge, the Golden K Kiwanis Club of St. Charles, and Bethlehem Lutheran Church. We actively participate in the St. Charles Women's Business council of the St. Charles Chamber of Commerce and the St. Charles Youth Commission, which provides multiple opportunities during the year for us to speak about our programs and services.

- **Attach a list of current Board of Directors for your agency, listing the length of time that each Board member has served in their current term. Also attach a brief summary of how Board members are elected, the qualifications sought, and any term limits for Board members.**
- **Attach a copy of current 503C or tax exempt status certification.**

Please note that agencies that are allocated funds from the STC 708 Board in excess of \$25,000.00 in any fiscal year are also subject to the following requirements:

1. Monthly financial statements must be submitted to the Government Operations Committee of the St. Charles City Council by no later than the 15th day of the following month.
2. An annual report must be submitted to this same Committee.
3. A representative of the agency must make a brief presentation describing the purpose of the agency, it's future plans and how it's programs and services have benefited the residents of St. Charles to this Committee on an annual basis at a date and time to be determined (following the allocation recommendation process by the STC 708 Board, but prior to the actual release of any funds).

Funding request amount for current fiscal year \$200,000.00 Application Date: 1/5/2017

City of St. Charles 708 Mental Health Board Only:

Recommended funding for current fiscal year: \$ _____ Recommendation Date: _____

TriCity Family Services

City of St. Charles

708 Mental Health Board
application

Attachment A



Family Services

TriCity Family Services
FY 2017 BUDGET

Expenses	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Personnel	\$ 1,534,065	\$ 1,578,333	44,268	3%
Consultants	\$ 18,800	\$ 24,600	5,800	31%
Operating	\$ 308,681	\$ 310,144	1,463	0%
NON CASH EXPENSE (DEPRECIATION)	\$ 16,626	\$ 16,626	0	0%
EXPENSES TOTAL	\$ 1,878,172	\$ 1,929,703	51,531	3%
Revenue	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Public Funding	\$ 378,176	\$ 378,076	(100)	0%
Private Support-Contributions	\$ 415,000	\$ 379,000	(36,000)	-9%
Private Support-Special Events	\$ 260,000	\$ 218,900	(41,100)	-16%
Service Income	\$ 676,788	\$ 835,224	158,436	23%
EAP Income	\$ 54,533	\$ 50,603	(3,930)	-7%
Other	\$ 93,675	\$ 67,900	(25,775)	-28%
REVENUE TOTAL	\$ 1,878,172	\$ 1,929,703	51,531	3%
TOTAL REVENUE LESS EXPENSES	\$ -	\$ -	-	-

TriCity Family Services

Expense Budget FY 2017

Personnel	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Administrative Salaries	472,005	526,174	54,169	11%
Clinical Salaries	514,510	503,324	(11,186)	-2%
Part-Time Clinical Salaries	132,264	158,596	26,332	20%
Associates Wages	44,191	41,310	(2,881)	-7%
Hourly Clerical Wages	66,899	65,175	(1,724)	-3%
In Kind Clinical Services	23,562	23,562	0	0%
Salary Contingency 3%	36,000	-	(36,000)	0%
Total	1,289,431	1,318,141	28,710	2%
Taxes & Benefits				
Medical & Life Insurance	84,576	95,269	10,693	13%
Workman's Compensation	8,300	8,459	159	2%
FICA-Employers	92,138	95,875	3,737	4%
State Unemployment Insurance	6,000	6,716	716	12%
TSA Payments	53,620	53,873	253	0%
Total	244,634	260,192	15,558	6%
PERSONNEL TOTAL	1,534,065	1,578,333	44,268	3%
Consultants	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Clinical Consultants	600	2,000	1,400	233%
Work/Life Benefit	3,600	3,600	0	0%
Audit Costs	7,500	9,900	2,400	32%
Accounting Services	-	-	0	0%
Legal Consultants	3,000	3,000	0	0%
Management Consultants	800	800	0	0%
Payroll Service	3,300	3,300	0	0%
Transcription Service/Translation	-	2,000	2,000	undefined
Consultants Total	18,800	24,600	5,800	31%
Operating	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Facilities & Equipment				
General Insurance	6,694	6,977	283	4%
Building Maintenance/Repair	15,100	14,562	(538)	-4%
Building/Ground Supplies	4,000	2,000	(2,000)	-50%
Food/Beverage	2,500	2,700	200	8%
Grounds Maintenance	3,000	3,800	800	27%
Snow Plowing	3,750	3,750	0	0%
Gas	2,302	1,200	(1,102)	-48%
Electricity	10,800	3,800	(7,000)	-65%
Water	1,186	1,100	(86)	-7%
Refuse	1,650	1,650	0	0%
Telephone	13,165	6,500	(6,665)	-51%
Equipment Maintenance	13,000	11,015	(1,985)	-15%
Alarm System Costs	2,640	800	(1,840)	-70%
Computer Maintenance & Repair	38,000	29,816	(8,184)	-22%
Website Development	200	500	300	150%
Total	117,987	90,170	(27,817)	-24%

TriCity Family Services

Expense Budget FY 2017

Operating Continued	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Commodities				
Office Supplies	7,500	7,500	0	0%
Postage	6,500	6,500	0	0%
Books & Journals	400	400	0	0%
Subscriptions	2,000	2,000	0	0%
Printing	-	-	0	0%
Program Materials	2,000	2,000	0	0%
Total	18,400	18,400	0	0%
Staff Expenses				
Training	12,000	12,750	750	6%
Travel/Lodging/Meals	1,000	1,500	500	50%
Local Mileage	3,200	2,000	(1,200)	-38%
Professional Liability Insurance	8,652	8,660	8	0%
Staff Recruitment	-	2,000	2,000	undefined
Dues & Memberships	3,000	2,500	(500)	-17%
Total	27,852	29,410	1,558	6%
Other				
Aid to Individuals	-	-	0	0%
Friends of TriCity	-	-	0	0%
Bad Debt	5,000	5,000	0	0%
Misc.	11,000	11,000	0	0%
Bank Charges	6,500	9,000	2,500	38%
Accreditation	400	400	0	0%
Board Development	500	500	0	0%
Wilderness Challenge	15,895	16,036	141	1%
Wilderness Challenge Follow Up Group	2,000	500	(1,500)	-75%
Project Self Compassion	-	600	600	undefined
Family Connections	3,000	6,200	3,200	107%
Chick Chat	5,312	7,500	2,188	100%
ICDP USA	4,820	1,000	(3,820)	
Reminiscence Group	400	-	(400)	0%
Caregivers of Older Adults Group	160	-	(160)	
Latina Parenting/Womens Group	-	500	500	
Equipment Purchase	8,000	2,000	(6,000)	-75%
Rent	41,194	28,700	(12,494)	
CAM/RE Taxes	-	27,858	27,858	
Loan Payments	5,511	4,300	(1,211)	
Total	109,692	121,094	11,402	10%
RD/Marketing				
Annual Report	800	1,000	200	25%
Donor Cultivation	2,500	3,000	500	
Direct Mail	4,500	-	(4,500)	
RD Events/Barth Award	6,000	3,500	(2,500)	-42%
Phonathon	4,500	-	(4,500)	0%
Annual Giving Campaign	-	11,000	11,000	undefined
RD Expenses	2,000	3,000	1,000	50%
Marketing Material	1,000	-	(1,000)	0%
Newsletter	2,000	7,100	5,100	255%
Misc. Marketing	1,450	-	(1,450)	0%
Marketing/Advertising	10,000	12,000	2,000	20%
Volunteer Recruitment (Friends)	-	1,500	1,500	undefined
50th Anniversary	-	8,970	8,970	undefined
Total RD/Marketing	34,750	51,070	16,320	47%
OPERATING TOTAL	308,681	310,144	1,463	0%
NON CASH EXPENSE (DEPRECIATION)	16,626	16,626	0	100%
Total Expenses	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
	1,861,546	1,929,703	51,531	3%

TriCity Family Services

Revenue Budget FY 2017

Public Funding	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Community Chests/United Ways				
Central Kane County	36,000	36,000	0	0%
Geneva	8,576	8,576	0	0%
Batavia	8,000	8,000	0	0%
Total Community Chests/United Ways	52,576	52,576	0	0%
Cities/708 Funds				
INC Board	87,100	81,500	(5600)	-6%
INC Board Family Connections	19,000	26,000	7000	37%
708 Geneva	29,500	28,000	(1500)	-5%
708 St. Charles	190,000	190,000	0	0%
Total Cities/708 Funds	325,600	325,500	(100)	0%
TOTAL PUBLIC FUNDING	378,176	378,076	(100)	(0)
Private Support	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Individual	175,000	170,000	(5000)	-3%
Corporate	100,000	65,000	(35000)	-35%
Foundation	100,000	120,000	20000	20%
Church	15,000	14,000	(1000)	-7%
Community Groups	10,000	10,000	0	0%
Other Grants & Contributions	15,000	-	(15000)	0%
Inkind Donations	-	-	0	0%
Total Contributions	415,000	379,000	(36000)	-9%
Special Events				
Annual Benefit	160,000	190,000	30,000	19%
Lobster Sale	10,000	7,500	(2,500)	-25%
Snowflake Shuffle	15,000	20,000		
Golf Outing	65,000	-	(65,000)	0%
Friends Misc. Special Events	10,000	1,400	(8,600)	-86%
Total Special Events	260,000	218,900	(41,100)	-16%
TOTAL PRIVATE SUPPORT	675,000	597,900	(77,100)	-11%
Service Income	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Inkind Clinical	\$ 23,562	\$ 23,562	0	0%
Client Co-payments	106,145	90,000	(16145)	-15%
Medicaid Payments	292,286	303,540	11254	4%
Client Insurance Payments	254,695	418,122	163427	64%
Community Consultation & Education	100	-	(100)	0%
Total Service Income	676,788	835,224	158436	23%

TriCity Family Services

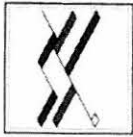
Revenue Budget FY 2017

Service Income Continued	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
EAP Income				
	800	-	(800)	0%
	9,262	9,262	0	0%
	6,750	7,088	338	5%
	7,500	7,500	0	0%
	600	600	0	0%
	3,480	3,480	0	0%
	1,828	1,828	0	0%
	4,656	4,656	0	0%
	3,810	3,810	0	0%
	1,500	1,500	0	100%
	4,000	4,000	0	0%
	3,468	-	(3468)	0%
	1,560	1,560	0	0%
	3,019	3,019	0	0%
	700	700	0	0%
	600	600	0	0%
New Contracts	1,000	-	(1000)	0%
Other EAP Revenue				
EAP Misc. Income	-	1,000	1000	100%
Total EAP Income	54,533	50,603	(3930)	-7%
TOTAL SERVICE INCOME	731,321	885,827	154506	21%
Other Income	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
Interest	300	100	(200)	-67%
Friends of Tricity	-	-	0	0%
Aid to Individuals	-	-	0	0%
Wilderness Challenge	500	-	(500)	0%
Wilderness Challenge Follow Up Group	400	-	(400)	0%
Caregiver Connections	75,000	-	(75000)	0%
Chick Chat	9,195	22,800	13605	100%
ICDP USA	-	-	0	100%
FBT Parents Group	400	-	(400)	
Latina Parenting & Childrens Group	720	-	(720)	
Latina Womens Group	360	-	(360)	
Project Self Compassion	800	-	(800)	
Endowment Income	-	39,000	39000	
Misc.	6,000	6,000	0	100%
TOTAL OTHER INCOME	93,675	67,900	(25775)	-28%
Total Revenue	FY2016 Budget	FY2017 Budget	Difference (\$)	Difference (%)
	1,878,172	1,929,703	51,531	3%

TRICITY FAMILY SERVICES, INC.
FINANCIAL STATEMENTS
AND
INDEPENDENT AUDITORS' REPORT
JUNE 30, 2016

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BORHART
SPELLMEYER &
COMPANY, LLC

certified public accountants and consultants

INDEPENDENT AUDITORS' REPORT

Board of Directors
TriCity Family Services, Inc.
Geneva, Illinois

We have audited the accompanying financial statements of TriCity Family Services, Inc. (a nonprofit organization), which comprise the statement of financial position as of June 30, 2016, and the related statement of activities, cash flows, and functional expenses for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of TriCity Family Services, Inc. as of June 30, 2016, and the change in net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Borhart Spellmeyer & Company, LLC

Elgin, Illinois
November 18, 2016

TRICITY FAMILY SERVICES, INC.
STATEMENT OF FINANCIAL POSITION
June 30, 2016

ASSETS

CURRENT ASSETS

Cash and cash equivalents	\$ 85,362
Receivables	
Grants and contracts	29,277
Client fees	169,458
Prepaid expenses	<u>35,688</u>
Total current assets	319,785

PROPERTY AND EQUIPMENT, at cost

Land and improvements	234,248
Building and improvements	684,048
Furniture and fixtures	128,818
Equipment	<u>358,724</u>
	1,405,838
Less accumulated depreciation	<u>791,082</u>
	<u>614,756</u>
Total assets	<u><u>\$ 934,541</u></u>

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES

Current portion of mortgage payable	\$ 7,515
Accounts payable	36,037
Accrued expenses	51,783
Deferred revenue	<u>36,363</u>
Total current liabilities	131,698

MORTGAGE PAYABLE, less current portion 83,890

NET ASSETS - Unrestricted 718,953

Total liabilities and net assets \$ 934,541

The accompanying notes are an integral part of these financial statements.

TRICITY FAMILY SERVICES, INC.**STATEMENT OF ACTIVITIES**

Year ended June 30, 2016

Revenue

Client fees and third party payments	\$ 721,422
Occupational services	54,886
Caregiver connections	2,645
Other programs	9,617
Miscellaneous income	<u>5,829</u>

Total revenue 794,399

Public support

Contributions	359,122
St. Charles Community Mental Health Board - 708 taxes	189,000
Geneva Community Mental Health Board - 708 taxes	26,900
INC Board - 708 taxes	105,918
United Way of St. Charles	33,677
Geneva Community Chest	7,700
United Way of Batavia	6,600
In-kind clinical services	26,387
Special events, net of direct expenses of \$121,443	<u>265,037</u>

Total public support 1,020,341

Net assets released from restrictions -

Total revenue and public support 1,814,740

Expenses

Program services	
Counseling	1,235,288
Emotional wellness	172,847
Occupational services	<u>57,909</u>

1,466,044

Supporting services	
Management and general	180,185
Fundraising	<u>114,142</u>

294,327

Total expenses 1,760,371

Change in net assets 54,369

Net assets, beginning of year 664,584

Net assets, end of year \$ 718,953

The accompanying notes are an integral part of these financial statements.

TRICITY FAMILY SERVICES, INC.

STATEMENT OF CASH FLOWS

Years ended June 30, 2016

Cash flows from operating activities	
Change in net assets	\$ 54,369
Adjustments to reconcile change in net assets to net cash provided by operating activities:	
Depreciation expense	26,293
Change in operating assets and liabilities:	
(Increase) decrease in:	
Receivables	(71,608)
Prepaid expenses	(11,268)
Increase (decrease) in:	
Accounts payable	25,168
Accrued expenses	11,774
Deferred revenue	(6,246)
Net cash provided by operating activities	28,482
Cash flows used by investing activities	
Purchases of property and equipment	(9,504)
Cash flows used by financing activities	
Payments of mortgage payable	(6,889)
Net increase in cash and cash equivalents	12,089
Cash and cash equivalents at beginning of year	73,273
Cash and cash equivalents at end of year	<u>\$ 85,362</u>
Supplemental cash flow information:	
Cash paid for interest	<u>\$ 5,474</u>

The accompanying notes are an integral part of these financial statements.

TRICITY FAMILY SERVICES, INC.

STATEMENT OF FUNCTIONAL EXPENSES

Year ended June 30, 2016

	<u>Counseling</u>	<u>Emotional Wellness</u>	<u>Occupational Services</u>	<u>Total Program Services</u>	<u>Management and General</u>	<u>Fundraising Services</u>	<u>Total</u>
Expenses							
Personnel expenses							
Salaries and wages	\$ 909,583	\$ 127,273	\$ 42,640	\$ 1,079,496	\$ 57,657	\$ 50,897	\$ 1,188,050
Taxes and benefits	144,631	20,237	6,780	171,648	25,000	22,069	218,717
Total personnel expenses	1,054,214	147,510	49,420	1,251,144	82,657	72,966	1,406,767
Consultants	8,173	1,144	383	9,700	1,413	1,247	12,360
Facility costs	81,734	11,437	3,832	97,003	14,128	12,471	123,602
Commodities cost	13,730	1,921	644	16,295	2,373	2,095	20,763
Staff expenses	13,584	1,901	637	16,122	1,087	960	18,169
Other costs	46,466	6,501	2,178	55,145	75,522	4,712	135,379
Resource development	-	-	-	-	-	17,038	17,038
Depreciation expense	17,387	2,433	815	20,635	3,005	2,653	26,293
	<u>\$ 1,235,288</u>	<u>\$ 172,847</u>	<u>\$ 57,909</u>	<u>\$ 1,466,044</u>	<u>\$ 180,185</u>	<u>\$ 114,142</u>	<u>\$ 1,760,371</u>

The accompanying notes are an integral part of these financial statements.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

NOTE A - NATURE OF ORGANIZATION

TriCity Family Services, Inc. (the Agency) is an Illinois not-for-profit service agency serving the community members and organizations of central Kane County. The Agency is dedicated to strengthening people and building community through the provision of quality, affordable counseling, youth crisis intervention, prevention, and early intervention services that promote sound mental health and effective family functioning. As a community-based agency, the Agency promotes service excellence, honesty, hopefulness, personal responsibility, and respect for others. The Agency is funded primarily by counseling services, donor contributions, and government grants. The Agency conducts the following programs:

Counseling – Counseling is at the core of our work at the Agency. We specialize in family-centered, confidential counseling to all ages and all income levels. Experienced professionals who know the special needs of children and teens use a family-centered approach to help them work through challenges including: conflict with other family members, problems interacting with peers, disruptive behavior, substance abuse, physical or sexual abuse, and depression. In addition, we excel in the provision of personalized individual or couples counseling for adults, addressing issues such as depression, marital discord, grief, divorce, domestic violence, parenting, stress, communication problems, sexual abuse, and anxiety/phobias. We also have an outpatient, family-based program for the treatment of eating disorders. This past year 1,953 individuals were served in our counseling programs.

Occupational Services – The Agency contracts with employers to provide an Employee Assistance Program (EAP) as part of their employee benefits package. For employees, it is a free and confidential service that offers assessment and referrals to employees and their family members who are experiencing any type of mental health or personal problem. EAP is a positive approach that helps employees resolve problems before they begin to affect their jobs. Community-based and able to provide immediate and personalized responsiveness, the Agency EAP has many advantages over a typical "800-number" EAP. 92 individuals were able to find help through our occupational services program this year.

Emotional Wellness – We consider it essential to provide educational and supportive programming that reduces or eliminates those conditions that place people at risk of developing emotional and/or substance abuse problems later in life. Our emotional wellness programs help resolve problems before they become serious and effectively reduce human pain and suffering. Our emotional wellness programs include: Bridges, for children of divorce; Smart Choices, anger management for children of all ages; I-CAN, anger management for adults; Single Mom's Support Group; Grandparents Raising Grandchildren Support Group; Wilderness Challenge Program, for at-risk teens; Family Connections, family communication workshop; Mindful Emotions, skills training for teen girls; Parenting Group, for the guests of the Lazarus House homeless shelter; Chick Chat, for 4th-6th grade girls; ICDP, for parents; and Caregiver Connections, support for daycare providers. In all, our emotional wellness programs served 1,884 individuals this past year.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

NOTE B - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

This summary of significant accounting policies is presented to assist in understanding the financial statements. The financial statements and notes are representations of the Company's management, which is responsible for their integrity and objectivity. These accounting policies conform to accounting principles generally accepted in the United States of America and have been consistently applied in the preparation of the financial statements.

1. Basis of accounting

The financial statements of the Agency have been prepared on the accrual basis of accounting and, accordingly, reflect all significant receivables, payables, and other liabilities. Revenues are recognized as they are earned and expenses as they are incurred.

2. Estimates

The presentation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

3. Financial statement presentation

The Agency has adopted the Financial Accounting Standards Board's (FASB) Accounting Standards Codification (ASC) on the presentation of financial statements of not-for-profit entities. Under these standards, the Agency is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. In addition, the Agency is required to present a statement of cash flows.

4. Cash and cash equivalents

The Agency considers cash on hand, amounts held at financial institutions, and short-term highly liquid investments that are readily convertible to known amounts of cash. Investments with an initial maturity of three months or less to be cash equivalents.

5. Receivables

Unconditional promises to give are recognized as receivables and revenues in the period pledged. Conditional promises to give are recognized only when the conditions on which they depend are substantially met and the promises become unconditional. The receivables are due under terms established by the donors.

Management provides for probable uncollectible amounts through a provision for bad debt expense based on its assessment of the current status of individual receivables. Balances that are still outstanding after management has used reasonable collection efforts are written off to bad debt expense. At June 30, 2016, there was no balance for an allowance for uncollectible promises.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

NOTE B - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

6. Property and equipment

Property and equipment are recorded at cost if purchased or at their estimated fair market value at the time received if donated. The Agency follows the practice of capitalizing, at cost, all expenditures for property and equipment in excess of \$5,000. Depreciation is computed using the straight-line method over the estimated useful life of the asset as follows:

	<u>Years</u>
Land improvements	15
Building and improvements	10-50
Furniture and fixtures	10
Equipment	3-5

Routine maintenance and repairs are charged to operations in the year incurred. Gains and losses on dispositions of equipment are included as a change in net assets in the year of disposition.

7. Deferred revenue

Deferred revenue consists of fees received in advance for services not yet rendered.

8. Income taxes

The Agency is a not-for-profit organization that is exempt from income taxes under Section 501(a) as described in Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes is included in the accompanying financial statements.

The Agency has adopted accounting policies related to uncertain tax positions. Based on the evaluation of the Agency's tax positions, management believes all positions taken would be upheld under examination; therefore, no provision for effects of uncertain tax positions has been recorded for the year ended June 30, 2016.

The Agency files an annual exempt organization information return in the U.S. federal jurisdiction and Illinois state jurisdiction. The Agency is subject to examination by U.S. federal tax authorities and Illinois state tax authorities for three years after a return is due or filed, whichever is later.

9. Contributions

The Agency records contributions and grants received as unrestricted, temporarily restricted, or permanently restricted support and revenue, depending on the existence and/or nature of any donor-restrictions.

All contributions are considered to be available for unrestricted use unless specifically restricted by donors. Unrestricted contributions are recognized when received or when promised. Support that is restricted by the donor is reported as an increase in unrestricted net assets if the restriction expires in the reporting period in which the support is recognized. All other donor restricted support, if any, is reported as an increase in temporarily or permanently restricted net assets. When a donor restriction expires, such as when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets released from restrictions and reported in the statement of activities as net assets released from restrictions.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

NOTE B - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES – Continued

10. Donated services

Donations received in property and services other than cash are recorded at their fair market value on the date of the gift. Donations in property and services whose fair market values are not objectively determinable are omitted from the financial statements in accordance with generally accepted accounting standards.

The Agency receives a significant amount of donated services from unpaid volunteers who assist in fund-raising and special projects. No amounts have been recognized in the statement of activities because the criteria for recognition has not been satisfied.

The Agency receives donated services from student interns to help provide counseling services. An estimated value for the professional services of the student interns has been recognized in the statement of activities, having met the criteria for recognition.

Donated services are recognized as contributions in accordance with FASB ASC 958-225 if the services (a) create or enhance non-financial assets or (b) require specialized skills, are performed by people with those skills, and would otherwise be purchased by the Agency. These contributions have been presented in the financial statements as support with a like amount shown as expense. During the fiscal year ended June 30, 2016, donated services amounted to approximately \$26,387.

11. Functional allocation of expenses

The costs of providing the Agency various programs and other activities have been summarized on a functional basis in the statement of functional expenses. Accordingly, certain costs have been allocated among the programs, fundraising activities, and supporting services benefited. Expenses are allocated based on line of service and are summarized on the statement of functional expenses. The allocation is based on hours of service performed in each area.

12. Subsequent events

Management has evaluated subsequent events through November 18, 2016. This date represents the date the financial statements are available to be issued.

NOTE C - CONCENTRATIONS

The Agency maintains its cash balances with two financial institutions located in St. Charles, Illinois and Geneva, Illinois. The balances are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000. There were no uninsured cash balances at June 30, 2016.

NOTE D - MORTGAGE PAYABLE

The Agency has a mortgage payable to a local bank. The mortgage is collateralized by the Agency's real property. The mortgage bears interest of 4.57% and is payable in monthly principal and interest payments of \$966 with a final payment due in April 2026. The balance due at June 30, 2016 was \$91,405.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

NOTE D - MORTGAGE PAYABLE - Continued

Principal payments on the mortgage payable are as follows:

<u>Years ended</u> <u>June 30,</u>	
2017	\$ 7,515
2018	7,871
2019	8,243
2020	8,626
2021	9,042
Thereafter	<u>50,108</u>
	<u>\$ 91,405</u>

NOTE E - LINE OF CREDIT

The Agency has a revolving line of credit agreement with a bank for up to \$150,000, expiring April 21, 2017. Borrowings on the line of credit bear interest at prime rate plus 0.25% (3.75% at June 30, 2016). The line of credit is secured by the Agency's real property. There was no outstanding balance at June 30, 2016.

NOTE F - RESTRICTIONS ON NET ASSETS

There were no temporarily restricted or permanently restricted net assets at June 30, 2016.

NOTE G - LEASE COMMITMENTS

The Agency has an operating lease agreement for an office facility in St. Charles, Illinois which expires October 31, 2018. The Agency has the option to extend the lease for two additional two-year terms. The scheduled initial basic rental payments including electric, property taxes, and common area maintenance increase from \$4,449 to \$4,644 per month over the term of the lease. The total amount of rental payments due over the lease term is being charged to rent expense on the straight-line method over the term of the lease. The difference between rent expense recorded and the amount paid is credited or charged to accrued expenses on the statement of financial position in the amount of \$9,581 at June 30, 2016. The Agency is responsible for utilities, insurance, routine maintenance, and property taxes. The lease is secured by a cash security deposit of \$4,149 at June 30, 2016. Rent expense and related lease expenses were \$52,722 for the year end June 30, 2016.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

NOTE G - LEASE COMMITMENTS - Continued

The Agency also leases certain office equipment under operating lease agreements. The leases require monthly rent of \$400 and expire on March 31, 2021.

Following are the minimum future lease commitments:

<u>Years ended</u> <u>June 30,</u>	
2017	\$ 55,149
2018	55,149
2019	21,583
2020	4,800
2021	<u>3,600</u>
	<u>\$ 140,281</u>

NOTE H - RETIREMENT PLAN

The Agency maintains a tax sheltered annuity program for employees who become eligible after two years of Eligibility Service, which consists of completing at least 1,000 hours of service for each of two consecutive years. The Agency contributes 7% of the employee's gross income to the Plan. Employees may also make voluntary pretax contributions to the plan. The provisions for retirement costs for the year ended June 30, 2016 was \$43,386.

NOTE I - CONTINGENCIES

The Agency receives grants from several state and local government agencies. The disbursement of grant funds requires compliance with terms and conditions specified in the grant agreements and is subject to audit by the grantor agencies. Any disallowed claims resulting from such audits could become a liability to the Agency. However, management believes that any such disallowed claims would not have a material effect on the financial statements or the overall position of the Agency.

NOTE J - RELATED PARTY TRANSACTIONS

The Agency receives several contributions from its officers, board members, and volunteers. The Agency received contributions of \$30,097 for the year ended June 30, 2016 from board members.

TRICITY FAMILY SERVICES, INC.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

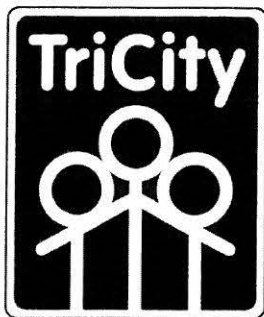
NOTE K - FUNDS HELD BY THE COMMUNITY FOUNDATION OF FOX RIVER VALLEY

The Agency is the beneficiary of three funds held by the Community Foundation of the Fox River Valley (Community Foundation). The funds are not included in the statements of financial position as they are not the assets of the Agency. These three funds are as follows:

The TriCity Family Services Fund (the Fund) is used to provide support for the Agency in carrying out its mission and activities. Although the Fund is the property of the Community Foundation, the Agency has the right to make suggestions to the Community Foundation as to the distribution of the principal or income of the Fund. The decisions on the suggestions are at the sole discretion of the Community Foundation. The balance in the Fund at June 30, 2016, as reported by the Community Foundation was \$117,581. No distributions were received by the Agency in 2016 from the Fund.

The TriCity Family Services Endowment Fund (the Endowment Fund) was established to provide current income and long term protection for the operation of the Agency. Although the Endowment Fund is the property of the Community Foundation, the Agency has the right to receive distributions of net income from the Endowment Fund on at least an annual basis; however, the Agency may elect to accumulate income rather than receive distributions thereof. The balance in the Endowment Fund at June 30, 2016, as reported by the Community Foundation was \$538,228. No distributions were received in 2016 from the Endowment Fund. At June 30, 2016, there was accumulated net earnings available for distribution to the Agency of \$106,210.

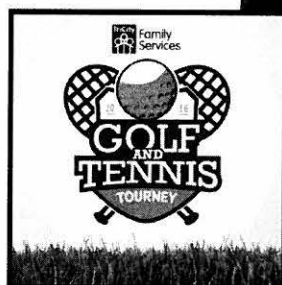
The TriCity Family Services Wilderness Challenge Program Fund (the Fund) is used to provide for the future of the Wilderness Challenge. Although the Fund is the property of the Community Foundation, the Agency has the right to make suggestions to the Community Foundation as to the distribution of the principal or income of the Fund. The decisions on the suggestions are at the sole discretion of the Community Foundation. The balance in the Fund at June 30, 2016, as reported by the Community Foundation was \$95,670. No distributions were received by the Agency in 2016 from the Fund.



Family Services

Strengthening People—Building Community

Annual Report 2016



Letter from the Executive Director and the Board President

Dear Friends:

In the spring of 2015, TriCity Family Services (TCFS), like many other publicly-funded agencies across the state anxiously awaited word that the Springfield deadlock had been broken and a state budget providing for the continuation of critical mental health services for the citizens of Illinois approved. Unfortunately, that announcement never came, and with our state legislature's failure to agree on a budget, this agency's 43 year history of state grant funding officially came to an end, along with the elimination of state funds that partially subsidized the cost of our psychiatric services, as well as those supporting our Caregiver Connections Program, through which TCFS offered early childhood consultation and training services to Kane County day care providers. Given the "state of the state" and the fact that our grant from the Illinois Department of Mental Health had been shrinking for years, the elimination of this revenue stream did not catch the agency ill-prepared. In fact, the agency's sustainability in the wake of its loss of state support is ingrained in its very origins as a grass-roots organization – a creation of the community we serve. From the time our founders took money from their own pockets (and those of their friends!) to rent space and hire staff, to the time when area residents voted to approve mental health tax referendums, to today when 55% of the agency's revenue comes from local sources, the *support of this community* has been this agency's life force and the foundation of its fiscal resilience for nearly 50 years!

Once again, in fiscal 2016 TriCity Family Services' broad base of local support, comprised of three Community Mental Health ("708") Boards, two United Ways, a Community Chest, an amazing array of private foundations, corporations, service organizations and churches, and more than a thousand generous individual donors and supporters of our fundraising events, made it possible for TCFS to not just survive, but to continue to *thrive*, in fulfilling its mission of service. This bedrock of local support enabled TCFS to:

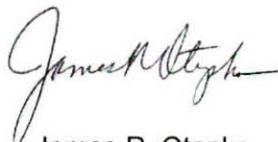
- ◆ Continue its psychiatric evaluations and medication management services
- ◆ Provide 23,937 hours of service to 1,813 individuals in our Counseling Programs
- ◆ Build the infrastructure and cultural capacity to accommodate a significant increase in counseling services to Latino families
- ◆ Experience a 61% increase in the number of cases served in our Family-Based Treatment (FBT) for Eating Disorders Program and implement a new FBT Support Group for
- ◆ Launch a new Emotional Wellness Group for teen girls, Project Self Compassion
- ◆ Grow our Chick Chat Program, by 28%, serving 204 girls in this popular day-long experiential workshop for 4th, 5th & 6th grade girls
- ◆ Set a new record for total special event fundraising revenue generated in a single year

On behalf of our dedicated Board of Directors, hard-working staff and faithful volunteers - stewards of the gifts you bestow upon us - we lift up all our accomplishments in celebration of YOU - members of the community that gave birth to this agency and continue to make our work possible. Just as YOU have seen us through the storms that inevitably pass through our not-for-profit world, your continuing support ensures that TCFS will be there to help our friends, neighbors and loved ones weather the storms of depression, anxiety, loss and relationship conflict that may sweep through their lives. Together we will continue to strengthen families and build community!

Our heartfelt thanks,



Jason Schneider
President



James R. Otepka
Executive Director



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Gail Fritz

Rick Gabriel

Linda Jones

Mark Podl

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Programs & Services Committee

Bill Minderman
Human Resources Committee

Sandi Pajak
Human Resources Committee

Friends of TriCity Family Services Board

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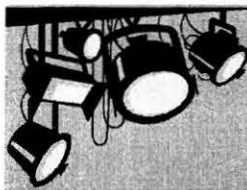
Our Mission

TriCity Family Services (TCFS) is a private, not-for-profit human service agency serving the community members and organizations of central Kane County. The agency is dedicated to strengthening people and building community through the provision of quality, affordable counseling, youth crisis intervention, prevention, and early intervention services that promote sound mental health and effective family functioning. As a community-based agency, TCFS promotes service excellence, honesty, hopefulness, personal responsibility, and respect for others.

Our Vision

Building upon our distinctive competency as a provider of family-centered mental health treatment and wellness services, we envision a future in which TriCity Family Services will:

- Be recognized as the provider of choice for individuals, couples, and families at all income levels seeking high quality, affordable, outpatient mental health services delivered across the family life cycle;
- Continue to assume a leadership role in treating children's mental health disorders;
- Continue to partner with schools, law enforcement, and other local providers, maximizing the use of existing resources and collaborating in the design and delivery of needed mental health services;
- Continue its traditions of creating and delivering programs designed to prevent the occurrence of substance abuse and mental health problems and promote individual and family wellness;
- Partner with the local medical community in integrating the delivery of physical and mental health care; and
- Assume a primary role in working with local employers to create and maintain healthy work forces through the delivery of innovative employee assistance services.



Donor Spotlight



Chris and Kristin Behmer have been supporting the efforts of TriCity Family Services for more than 15 years. Kristin initially got involved because of a friend who was helping to organize the Great Lobster Fly-in event. From there, she began to regularly attend the Friends of TCFS volunteer meetings and before long, chaired committees and eventually became President of the group in 2012, where she remains today.

In 2012, Chris and Kristin's daughter went through TriCity Family Services Family-Based Treatment (FBT) for Eating Disorders program. "They were there for us when we needed them, and it made a huge difference for our family," said Kristin. "The design of the program brought our whole family together, and it helped us to understand our daughter and the workings of our family... not just ourselves as parents, but our other children as well," said Chris.

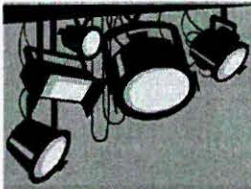
"We continue to support the mission of TCFS because mental illness is something that is not well understood and is hard to talk about," comments Chris. "There is a lot that goes on with so many families, and you have no idea how people are struggling -- especially with people who aren't aware or don't have the courage to seek the support they need. TCFS deserves our support. We have witnessed the need in the community with other families and with our own family. People of every walk of life deal with mental illness, and it's not just those less fortunate who need the help."

The Behmer's spoke about many fond memories and impactful moments they've had through their years of involvement. "We've had so many memorable experiences through the years... seeing our daughter successfully go through the FBT program and seeing her healthy today," said Kristin. Chris went on to say, "Hosting the live auction dinners with our friends that we donate every year has allowed us to meet some amazing people. It's such a great way to contribute to TCFS, and it's fun to be with people who so generously participate because it's such a good cause and because it brings more people into the TCFS fold".

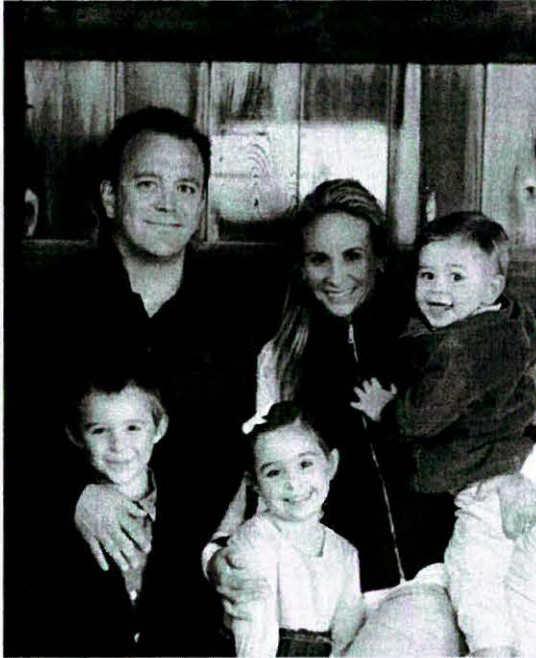
"It feels good to support TCFS - we're able to help make a difference for people in need. Seeing the impact of TCFS and knowing they're helping people is so rewarding to us", comments Kristin. "I wish TCFS got better recognition in the community for the important role they play in our TriCities."

Chris went on to share, "We've really tried to focus our giving on organizations that make a local impact, to do more with fewer charities, and keep our support local. The TriCities is where we live, and our community matters to us. We've tried to show our children the importance of being involved in the community and help where you can with both time and money."

When asked what they want others to know about TCFS, Kristin and Chris agreed, "They need volunteer support, they need more people to show up, to participate, and to reach everyone who needs help. TCFS is there to help anyone with their mental health needs."



Volunteer Spotlight



Alexis Schram has become a familiar name and face in the halls of TriCity Family Services this past year— she has volunteered for every event the organization has hosted on the calendar since the fall of 2015!

Just over a year ago, Alexis was looking for a non-profit organization to align herself with, a place to donate her time and passion for planning events. Her friend Abby Szklarek, a member of the TCFS Board of Directors, shared the mission of the organization and encouraged her to attend a volunteer meeting.

Since then, Alexis has assisted with the set-up of the 2016 Gala, helped to organize a small fundraiser to support the agency's Latino Services programs in March, volunteered at both the Great Lobster Fly In and Golf & Tennis Tournaments in June, and has

just recently joined the 2017 Annual Gala planning committee.

When asked why she has continued to volunteer... she replies, "It's something I do to help people in the community, when I'm not caring for my kids. I find it so rewarding and satisfying to see an event be so successful and see how all the hard work has paid off as all the pieces fall into place! I believe strongly in the mission of TCFS and the need for it in our community."

"One thing I really appreciate about volunteering at TCFS is that everyone at every event has been so welcoming and hospitable, they really make you feel appreciated, and they mean it!"

When asked about what she would like everyone to know about TriCity Family Services, Schram replies, "TCFS is there to help everyone, and that help is not limited based on your pocketbook. Life changes frequently for all walks of life, and that's when people need help the most."

"If you want to get involved by volunteering, there is no limit to what you can do, everyone is welcome and your ideas will be valued and appreciated!" If you've got an hour or 30 hours, TCFS has a place for you!

"I feel like there is a lot of possibility for growth in terms of the volunteer area, it's exciting, there are so many things that volunteers can help with – helping to set up an event, join a committee, help with fundraising mailings, or hanging up posters and distributing information about the agency's program in the community. I want to do what I can to encourage young moms and young professionals to get involved – even if you only have a few hours to give."

Joining Our Staff in FY 2016



Jessica Porcayo
Receptionist

Jessica joined our St. Charles office as a part-time front desk staff member on December 22, 2015. She also has her Medical Assistant certification, which allows her to fill in when needed by taking vitals for clients who see the psychiatrist. Her previous position at a doctor's office brought her well equipped to handle the position. Jessica's bilingual skills are a tremendous asset to the agency, and she says she enjoys being part of helping the Hispanic Community.

Jessica lives in St. Charles with her two children. She enjoys cooking and baking and loves to try new recipes. Her favorite season is fall, which brings Halloween, pumpkin carving and sitting around a bonfire. Jessica loves to spend time with her family, taking pictures and making memories.



Kathleen Hausmann
Director of Development

Kathleen Hausmann joined us in December of 2015 as our Director of Development. She brought with her more than 15 years of development experience and success in building and managing relationships with corporate, foundation, and community partners. She is responsible for managing the efforts of the development team which include private sector fund raising, helping to generate the revenue necessary to sustain and develop organization programs and services and oversee the agency's public relations and marketing efforts. Prior to joining TCFS, Kathleen worked for the non-profit Little Friends in Naperville, where she was the Vice-President of Development.

Kathleen and her husband Marc live in Aurora and have three daughters. In her spare time, she loves to volunteer, run and play golf. She and her husband are sports fans all the way, share a love for country music and enjoy traveling.



Kelly Horn, LCSW
Therapist

After working at TCFS as a part-time therapist, Kelly became a full-time member of the staff on January 11, 2016 as a specialist in our Family-Based Treatment for Eating Disorders (FBT) program. Kelly enjoys working with families, children, adolescents, and young adults. She is especially interested in working with women. Kelly has a Master's Degree in Social Work and is a Licensed Clinical Social Worker.

Kelly has lived in the Chicagoland area her whole life. She is a huge Chicago sports fan, and especially loves the Bears and White Sox. She spends most of her free time hanging out with her family and her dog, Penny, watching her favorite TV shows, or discovering new places or things to do in the Tri-City area.

2015-2016 Programs and Services

TriCity Family Services ended FY16 on June 30th on comfortable footing. In early January, the Family-Based Treatment for Eating Disorders (FBT) team gained a new member when Kelly Horn, MA, LCSW was hired to join the team. The current FBT team is comprised of four F/T therapists which includes the Clinical Director, Laura Poss, LCPC, LMFT (who also acts as the supervisor for the FBT program), Tegan Vaughn, LCP, and Anna McCaffrey, LCPC. Anna is also one of the bilingual therapists and counsels Spanish speaking clients in the FBT program. The FBT program added 14 new cases in FY 16, growing by 61% and serving a total of 114 individuals (including family members).

In FY16, we served over 80 bilingual families, allowing them to receive therapy with a Spanish speaking counselor. Currently, we have 2 F/T bilingual therapists on staff and have added a part-time bilingual receptionist. The marketing and Latino Services team continue to increase relationships with churches and schools in the community with high Latino population.

In September of 2015 we expanded to a second counseling location on Foxfield Road on the east side of St. Charles. In addition to serving clients in this space, it is also home to the Business Office and the Resource Development Department.

Our new fiscal year began July 1st and our goals for the year are significant. They include:

- Continue the promotion and service delivery in Aurora Township (new).
- Continue the growth of the newly launched Parent Support Group of FBT clients
- Maximize the utilization of the satellite office in St. Charles which opened in August 2015, by expanding the pool of part-time therapists who serve clients there
- Grow the relatively new program Project Self-Compassion
- Hire two full-time development staff to replace two former part-time positions in order to meet the fundraising needs of the agency. These include a Special Events & Volunteer Coordinator and a Marketing & Community Relations Coordinator.
- Prepare for and execute TCFS' 50th anniversary celebration
- Collaborate with H.C. Storm Elementary school to deliver ICDP (International Child/Parent Development Program)

We look forward to our 50th year of serving our community!

Counseling

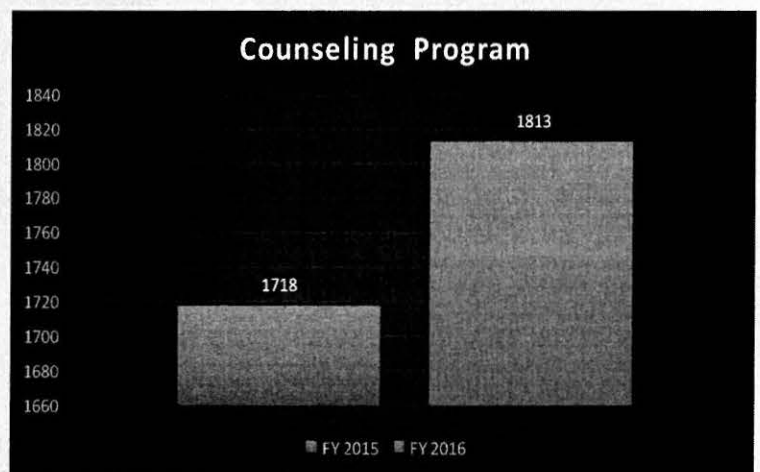
Counseling remains at the core of our work at TriCity Family Services. Our agency is well known in the community for providing quality family-based services to children, adolescents and families since 1967. Our experienced counselors help youth and families work through a variety of issues including communication, depression, anxiety, impulse control, and school-related behavior concerns.

In addition to serving children, adolescents and their families, we provide services for clients of all ages and income levels and focus on a variety of issues faced throughout the life cycle.

We strive to meet the unique needs of each client and offer individual and family therapy, as well as case management, psychiatric services and urgent assessments. We also have several bilingual staff to serve our Spanish speaking clients.

By accepting Medicaid managed care, a wide variety of private insurances and offering a sliding fee scale, we ensure that no one is declined services due to an inability to pay.

We served nearly 100 more individuals in our counseling program



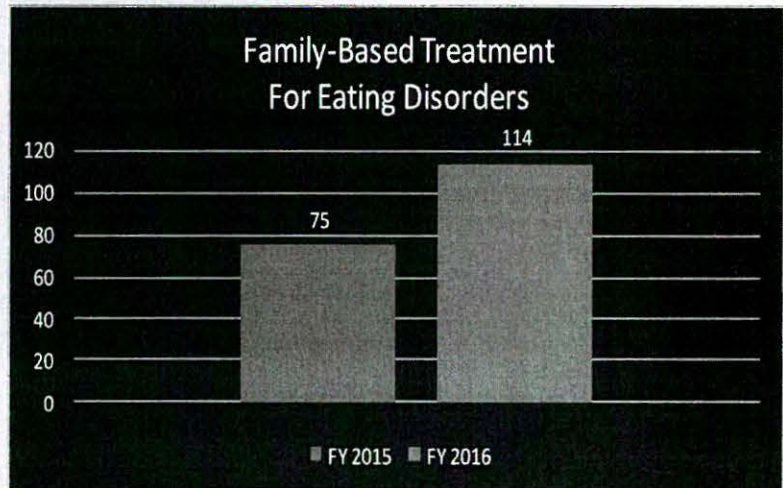
The mentally ill frighten and embarrass us. And so we marginalize the people who most need our acceptance. What mental health needs is more sunlight, more candor, more unashamed conversation.

— *Glenn Close* —

Family-Based Treatment for Eating Disorders

The Family-Based Treatment for Eating Disorders (FBT) Program continues to grow at TCFS at an exciting rate. In February of 2016, we distributed over 200 educational and informative goodie bags to area school workers, doctors, dentists, and community agencies in recognition of Eating Disorder Awareness Week. Last year we provided quality, family-centered eating disorder treatment to a total of 37 families. We now have four skilled therapists on our FBT team, dedicated to providing compassionate, quality services that empower families and promote recovery from eating disorders.

Our Family-Based
Treatment for Eating
Disorders Program
grew by 61%



The parent-child connection is the
most powerful mental health
intervention known to mankind.

— Bessel van der Kolk —

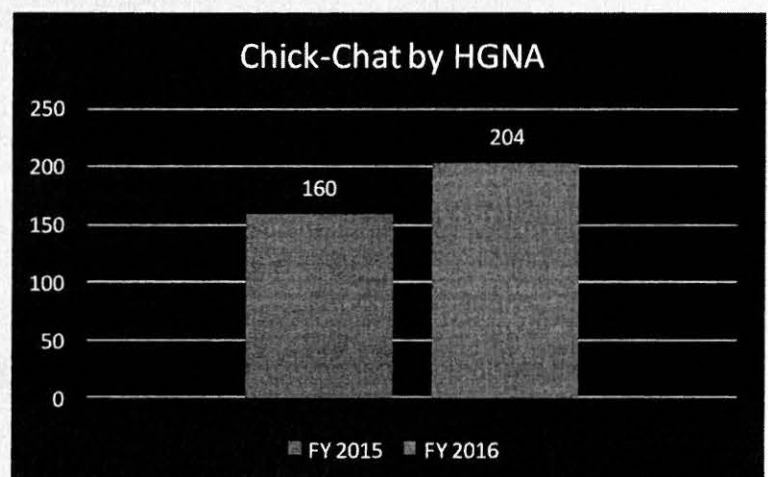
Emotional Wellness Programs

Early intervention programs provide specific protection against mental health disorders and detect and treat disorders in their earliest stages. Prevention programs promote individual and family mental health and wellness. **In FY 2016, these Prevention and Early Intervention Programs were renamed as our Emotional Wellness Programs.**

The following Emotional Wellness Programs were offered in FY16:

- **Smart Choices** - Anger management for K-5, middle school, and high school students and their families
- **Bridges** - Workshop for children, generally ages 7-11, whose parents are divorced or separated
- **Chick Chat by HGNA** - An empowerment workshop for 4th, 5th, and 6th grade girls
- **Single Moms Group** - Support group for single mothers
- **Grandparents Raising Grandchildren Group** - Support group for grandparents who are the primary caretakers of their grandchildren
- **Wilderness Challenge Program** - Week-long adventure for adolescents entering freshman through junior year of high school
- **Wilderness Challenge Follow-Up Group and Peer Leader Experience** - Continued support for former Wilderness Challenge participants who want to stay connected and develop their leadership skills
- **Project Self Compassion** - teen girls' self-esteem and emotional-skill-building group
- **Women United/Mujeres Unidas** - In FY16, this Latina women's support group was piloted
- **International Child Development Program** (offered in collaboration with Changing Children's Worlds Foundation) - empathy-based parent/adult caregiver family-skills-learning group
- **Family-Based Treatment for Eating Disorders: Parents Group** - Support group for parents facing the challenges of raising children struggling with eating disorders
- **Emotional Wellness Presentations** within the Community
- **Lazarus House Parenting Group and Lazarus House Women's Group** - Onsite groups for parents

In only its fourth year, our Chick Chat by HGNA Program grew

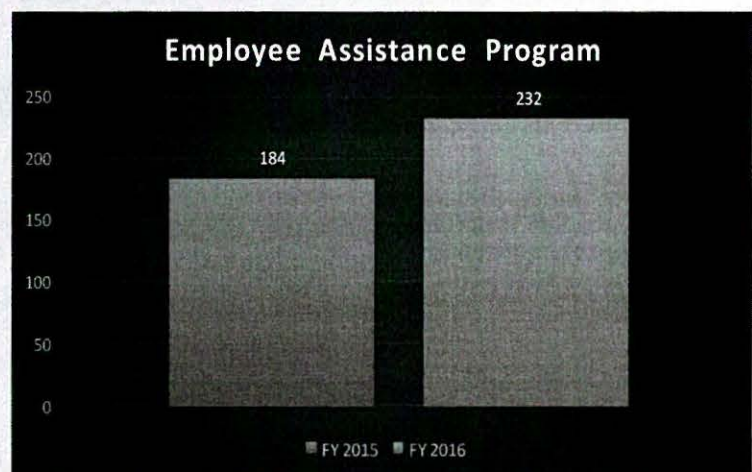


Employee Assistance

We have been committed to strengthening people and building community through the Employee Assistance Program (EAP) since 1985. Local organizations partner with TCFS as they strive to assist their employees achieve peak performance. These organizations benefit from the assessments, short-term counseling, trainings, management consultations, mediation and critical incident support included in their comprehensive EAP contracts.

Our EAP services are customized to meet the specific needs of each organization. This includes providing services included in a comprehensive contract as stand-alone services to supplement those the organization may already have, or to meet any unexpected needs. This option expands the impact that TCFS is able to have on the community, and gives small organizations the ability to serve their employees at the highest level.

Employee Assistance services increased by more than 25%



A country is as strong, really, as its citizens. And I think that mental and physical health - mental and physical vigor - go hand in hand.

— John F. Kennedy —

July 2015 thru June 2016

Financial Highlights

INCOME	Amount	% of Total
Public Funding		
State	\$ -	0.00%
Local	\$ 321,818.00	17.73%
United Way/Community Chests	\$ 47,977.00	2.64%
Private Funding		
Individuals	\$ 140,821.00	7.76%
Corporations/Foundations	\$ 173,028.00	9.53%
Churches/Community Groups	\$ 30,272.00	1.67%
Other Grants & Contributions	\$ 15,000.00	0.83%
Special Events	\$ 265,037.00	14.60%
Service Related		
Client Fees/Insurance	\$ 721,423.00	39.75%
In-Kind Clinical	\$ 26,387.00	1.45%
EAP & Other Programs	\$ 67,148.00	3.70%
Miscellaneous	\$ 5,829.00	0.32%
TOTAL	\$1,814,740.00	100.00%
EXPENSES		
Personnel Related Costs	\$1,406,767.00	79.91%
Facilities & Depreciation	\$ 97,309.00	5.53%
Other Operating Expenses	\$ 256,295.00	14.56%
TOTAL	\$1,760,371.00	100.00%

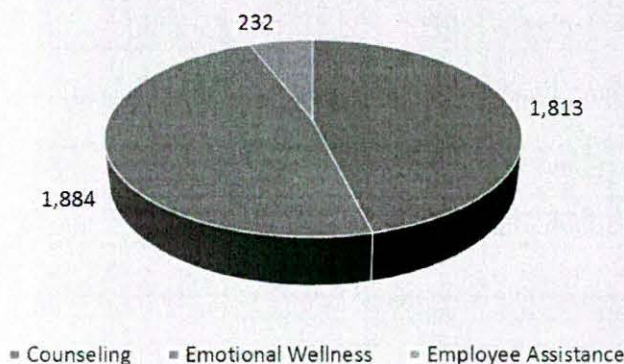
It is the position of the TriCity Family Services Board of Directors that when end-of-year revenues exceed expenses, those dollars will be devoted first and foremost to replacing lost reserves, and then to addressing the ever-increasing demand for agency services.

July 2015 *thru* June 2016

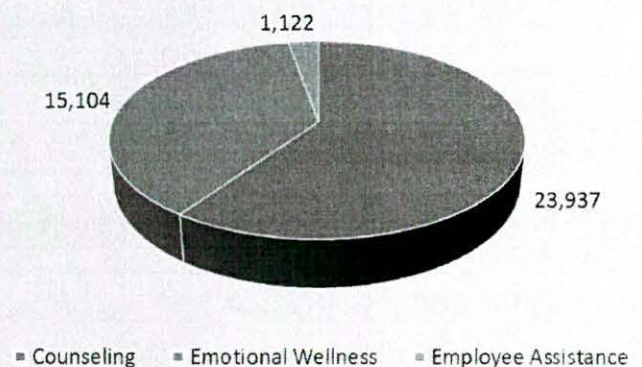
Service Statistics

In Fiscal Year 2015, TriCity Family Services served
3,929 clients and their family members,
generating a total of
40,163 hours of service.

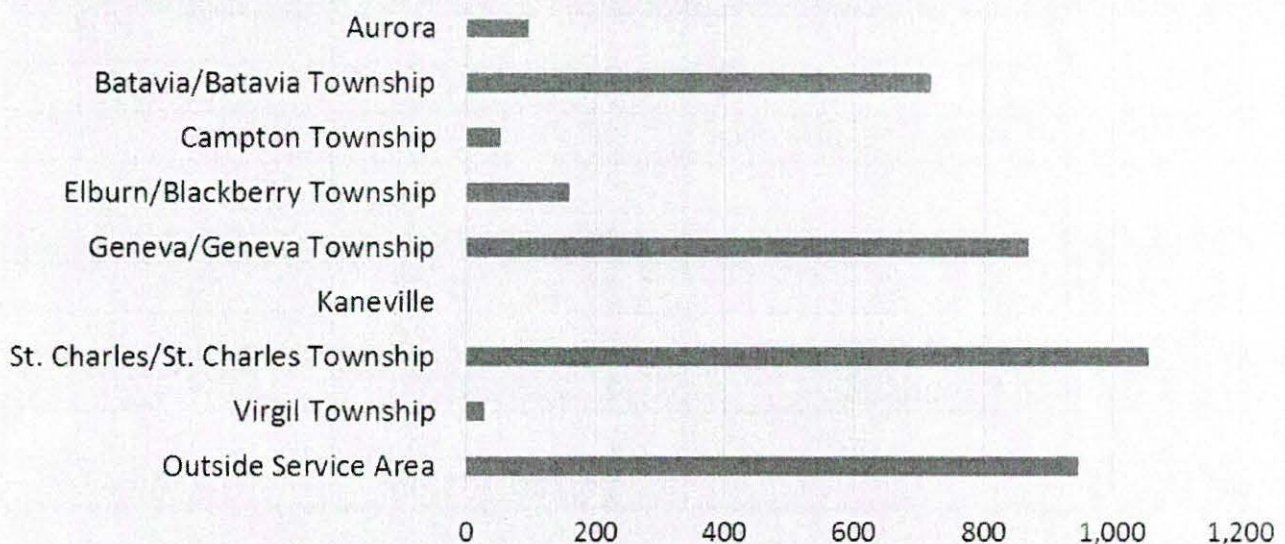
FY '16 Individuals Served



FY '16 Hours of Service



FY '16 Individuals Served by Township



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\$5,000 +

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\$100 - \$249

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Mental health needs a great deal of attention. It's the final taboo and it needs to be faced and dealt with.

— Adam Ant —

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There is no health without mental
health.

— David Satcher —

ADDENDUM

Recruitment and Selection of Board Members

TriCity Family Services strives to maintain a Board of Directors that: 1) is demographically and geographically (i.e., place of residence) representative of its seven-township service area; and, 2) possesses the range of expertise, knowledge and skills necessary to govern and support the agency.

The board's Personnel Committee assumes primary responsibility for the on-going recruitment and orientation of new board members. The committee, with the assistance of the Executive Director, regularly reviews a "Board of Director's Profile" to stay abreast of the current needs of the board, according to the above criteria and, at each monthly board meeting, informs the board of current recruiting needs and receives nominations of prospective board members.

Any board member can place in nomination at a monthly meeting, the name of any individual(s) they feel should be considered for board membership. Typically, the board member has previously discussed the possibility with the prospect and provided him/her with basic information about the agency and the roles and responsibilities of its Board of Directors. Unless an objection to the nomination is made at that time, the following process occurs:

- 1) A follow-up contact to establish interest and availability is then initiated by the director who has submitted the nomination and/or the Executive Director.
- 2) If the individual is still interested in board membership, a *Board Orientation Packet* is then delivered or mailed to the person, and an appointment with the Executive Director is scheduled.
- 3) If the individual confirms his/her interest in and availability for board membership, the board may vote to elect that individual into office at the next board meeting, provided at least thirty days have elapsed from the time the candidate's name was placed in nomination. During this thirty-day period, board members have the opportunity to convey to a board officer or a member of the Personnel Committee any concerns they may have regarding the appropriateness of the candidate for board membership.

According to the agency's bylaws, "Active membership on the Board of Directors shall be limited to two (2) continuous three (3) year terms and a partial term of up to two (2) years for a total of eight (8) consecutive years" (Note: the partial term extension is typically used to accommodate the interests of directors who chose to serve in the board officers line of succession.)



TCFS Board of Directors

Fiscal Year 2017

TERM	COMMITTEE	NAME (Spouse/Partner/SO) e-mail address	OCCUPATION/EMPLOYER	MAILING ADDRESS	TELEPHONE
3/26/12- 3/23/18	Exec*/RD/Audit	SCHNEIDER, JASON President jasonpschneider@gmail.com	Attorney at Law John J. Malm & Associates, P.C	31609 Village Green Court Warrenville, IL 60555	W: 630-527-4177 CELL: 630-306-3186
3/25/13- 3/24/19	Exec	NOLAN, DR. ERIC (Mike) Vice President ericwardnolan@gmail.com	Child Psychiatrist Creekwood Associates	40W615 Stonebriar Lane Elgin IL 60124	CELL: 314-223-2993 WORK: 630-377-1414
10/28/13- 10/27/17	P & S*	JOHNSON, CHERYL Secretary Cljba96@sbcglobal.net	Retired Public Health Administrator	143 Pitz Lane Batavia, IL 60510	CELL: 630-330-2906
1/23/12- 1/22/18	Exec/F*	KANNAKA, STEVE (Sally) Treasurer stevekannaka@gmail.com	Retired Business Owner	641 W. Washington Street Marengo, IL 60152	H: 815-568-7315 W: 847-697-1077 CELL: 815-260-5905
6/24/16- 6/23/19	HR	KADELA, CYNTHIA (Michael) lilke712@comcast.net	Human Resources Director Nalco, an Ecolab Company	712 South 5 th Avenue St. Charles, IL 60174	H: 630-992-1488 W: Same CELL: Same
6/25/12- 8/31/19	RD	BEHMER, KRISTIN (Chris) kmbehmer@comcast.net	Community Volunteer	1S758 Grove Hill Drive Batavia, IL 60510	H: 630-406-0460 CELL: 630-258-4256
4/27/09- 4/26/17	P & S	JONES LINK, ROSALIE (Dwight) Rosendwight2010@att.net	Stewardship Manager (PT) The Holmstad	23 North Daniels Drive Batavia, IL 60510	H: 630-879-6673 W: 630-879-4200
7/25/11- 6/24/17	HR*	MILLS, GREG (Carol) GTMills726@aol.com	Financial Advisor Waddell & Reed	726 Fargo Blvd. Geneva, IL 60134	H: 630-208-9859 W: 630-339-8171 CELL: 630-738-0206 FAX: 630-339-8178
6/24/16- 6/23/19	P & S	RUSSE, THOMAS R. (Sarah) Thomas.russe@sterbank.com	Sr. Vice President, Private Banking Sterling Bank	521 N. Meadow View Dr. St. Charles, IL 60175	CELL: 630-777--5678
10/28/13- 10/27/16	M/P & S	SZKLAREK, ABBY (Jeff) (On Leave) abbyszklarek@gmail.com	Community Volunteer	2349 Kane Lane Batavia, IL 60510	Cell: 630-917-7961
2/24/14- 2/23/17	Exec/HR	HAAB-TALLITSCH, KELLY M. (Robert) khaabtallitsch@salawus.com	Attorney SmithAmundsen LLC	268 Valley View Drive St. Charles, IL 60175	H: 630-513-5043 CELL: 847-754-7319
6/23/14- 6/22/17	RD	HOWELL, JEFF (Kelly) Jeffh300@gmail.com	VP, Customer Experience & Client Relations Pivotal Home Solutions	1751 Diehl Rd. Suite 200 Naperville, IL 60563	CELL: 404-939-4330 (O): 630-536-0193

Over

TERM	COMMITTEE	NAME (Spouse/Partner/SO) e-mail address	OCCUPATION/EMPLOYER	MAILING ADDRESS	TELEPHONE
6/23/14- 6/22/17	P & S	KNEWITZ, JOHN (Arlene) Birdsfan53@yahoo.com	Retired School Administrator Community Volunteer	P.O. Box 606 Burlington, IL 60107	CELL: 630-779-5962 H: 847-683-3080
9/22/14- 9/21/17	F	ATKINSON, DAVID M. (Catherine) Daveatk2@gmail.com	Director of Accounting Reynolds Consumer Products	2947 Husking Peg Lane Geneva, IL 60134	H: 630-845-1558 W: 847-482-3309 CELL: 630-917-4219
2/23/15- 2/22/18	RD*	WARNER, BRIAN (Suzanne) bwarner929@gmail.com	Community Volunteer	1641 Eagle Brook Drive Geneva, IL 60134	H: 630-232-8136 CELL: 630-391-0578
7/27/15- 7/26/18	RD	SEBOLD, CARRIE (Sean) Carriesebs@gmail.com	Broker Associate Baird & Warner	38w401 Jonathan Lane Batavia, IL 60510	H: 630-761-0656 W: 630-234-7722 CELL: 630-234-7722
9/21/15- 9/20/18	F	WEIBLE, WILLIAM P. "MIKE" (Shelley) Weibs52@gmail.com	Retired Business Owner	2135 Fairway Court St. Charles, IL 60174	H: 630-584-3235 W: 630-245-4646 CELL: 630-561-4136
4/25/16- 4/24/19	HR/ Liaison to QAC	KRAWCZYKOWSKI, GAIL GKraz9@gmail.com	Finance Manager Nielsen	610 S. 10 th Ave. St. Charles, IL 60174	H: 630-584-5610 CELL: 630-336-8623

* Committee Chair

COMMITTEE KEY

Exec: Executive

F: Finance

HR: Human Resources

M: Marketing

P & S: Programs & Services

QAC: Quality Advisory Committee

Agency Telephone/Fax Numbers

Main #: 630-232-1070

FAX #: 630-232-1471

Backdoor #: 630-232-9384 (bypasses switchboard)

Jim - ext. 115 (jotepka@tricityfamilyservices.org)Sally - ext. 139 (skelley@tricityfamilyservices.org)Sacha - ext. 136 (surban@tricityfamilyservices.org)Kathleen - ext. 141 (khausmann@tricityfamilyservices.org)Sandy - ext. 117 (sjones@tricityfamilyservices.org)

RECEIVED NOV 14 1989

Internal Revenue Service

Department of the Treasury

District
Director

Person to Contact: EO:TPA

TriCity Family Services
321 Hamilton Street
Deneva, IL 60134

Telephone Number: 1-800-424-1040
312-435-1040

Refer Reply to: 90-0106

Date: November 9, 1989

RE: Confirmation
EIN: 23-7310008

This is in response to the letter dated September 19, 1989 regarding your status as an organization exempt from Federal income tax.

Our records indicate that a ruling letter was issued in September, 1973, granting your organization an exemption from Federal income tax under the provisions of Section 501(c)(3) of the Internal Revenue Code of 1954. Our records also indicate that your organization is not a private foundation but one that is described in 509(a)(2).

Contributions made to you are deductible by donors in computing their taxable income in the manner and to the extent provided in Section 170 of the Internal Revenue Code.

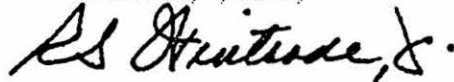
If your gross receipts each year are normally \$25,000.00 or more, you are required to file Form 990, Return of Organizations Exempt from Income Tax by the fifteenth day of the fifth month after the end of your annual accounting period.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under Section 511 of the Code. If you are subject to this tax, you must file an income tax return on F-990-T.

If any question arises with respect to your status for Federal income tax purposes, you may use this letter as evidence of your exemption.

This is an advisory letter.

Sincerely yours,



R. S. Wintrode Jr.
District Director

**City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended Checklist**

It is the responsibility of the agency requesting City of St. Charles 708 Mental Health Board funding to verify all Accountability Report requested information is submitted at the time of application submission.

Please use the following checklist as a guide to compile your application packet for submission. Incomplete applications or applications submitted after **January 6, 2017 – NOON** will not be considered for funding for the 2017/2018 funding period.

Please submit your information in the order in which it appears on the application and initial on the line provided to ensure all items are included in your packet.

1. CP Agency and contact information completed.
2. CP 2017/2018 total funding request is: \$70,000.00.
3. CP Completed narrative mission of the organization as it relates to the City of St. Charles 708 Mental Health Board's mandate for services to residents with, or at risk of:
 - a. mental illness and psychological disorders,
 - b. developmental disabilities, including mental retardation, cerebral palsy, epilepsy and autism spectrum disorders,
 - c. addictions including chemical, alcohol and substance abuse, and other addictions (gambling, sexual, shopping, etc.).
4. CP Grant requestor has specifically described organization's goals as it relates to the City of St. Charles mission.
5. CP Individuals served may only be counted once, regardless of the number of times served per fiscal year through direct service hours. Grant requestor has specifically described the percent and dollar amount of expenditures toward the benefit of the City of St. Charles residents in the following categories:
 - a. 1:1 direct services with clients (e.g., 50% or \$5,000 of \$10,000 total grant funds).
 - b. Direct services to groups of clients.
 - c. Workshops, lectures, and community presentations for education and training.
 - d. Administrative expense, office support, and overhead for agency expense.
6. CP If available, please include a synopsis of your outcome measurements for the funding you are requesting.
7. CP With the application provide the following information:
 - CP An annual detailed budget or year ending Financial Statements and annual report.
 - CP St. Charles 708 Fund Expenditure History for past three years.
 - CP The total number of individuals your agency served in the past year 5,587.
 - CP Direct number of individuals living within the City of St. Charles city limits served 298.
 - CP Direct number of service hours provided to City of St. Charles residents: 199,441.
 - CP Quantified costs allocated to the services provided, (i.e. cost per hour and or program costs for the City of St. Charles residents) \$1,533,612.30
 - CP Identify other services provided to and for the City of St. Charles residents.
 - CP Attach a list of current Board of Directors for your agency as specified in our application.
 - CP Attach a copy of your current 501 (c) (3).
 - CP MAKE SURE description on your financial statement reads City of St. Charles 708 Mental Health.
8. CP PLEASE PROVIDE 10 COPIES OF YOUR COMPLETED APPLICATION AND INFORMATION.

Keep in mind individuals are to be counted only once, regardless of the number of times served per fiscal year though the direct number of hours these individuals are served need to be quantified.

ASSOCIATION FOR INDIVIDUAL
Name of Agency DEVELOPMENT

Agency submitting their funding request application

Date 6 Jan 2017

CHRISTINA PLOTZKE
Print Name of Person Completed Application

Christina Plotzke
Signature

GRANTS SPECIALIST
Title/Position

Date: 6 January 2017

**City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended**

Agency Name: Association for Individual Development (AID)

Contact Person: Christie Plotzke Phone #: 847.931.2292

Main Office Address: 309 New Indian Trail Court Aurora, IL 60506

Funding requested from City of St. Charles 708 Board for fiscal year 2017/18: \$70,000

Describe mission (include narrative explaining how mission relates to City of St. Charles):

For fifty five years, The Association for Individual Development (AID) has served individuals with developmental, physical and/or mental disabilities, those who have suffered a trauma and those at risk. Vital, life-enriching services that promote the highest level of independence and community immersion include: audiology; autism programs; respite care; permanent housing; in-home support; developmental and vocational training; job placement and on-the-job coaching services; crisis intervention; victims services; mental health treatment; behavioral intervention; health and wellness; community education; and advocacy. With 20 programs operating in 47 communities, AID is a leading provider of services that address the unique needs of individuals throughout every stage of their lives. A person-centered approach coupled with innovative practices ensures the highest level of accomplishment. Our mission is to empower people with physical, developmental, intellectual and mental health challenges to enjoy lives of dignity and purpose. AID is a United Way partner agency and accredited by the Commission on Accreditation of Rehabilitation Facilities (CARF).

In FY 2016, AID served 5,587 children and adults with disabilities in Kane, Kendall, DeKalb, DuPage, Will, McHenry, and suburban Cook Counties. AID currently operates 6 day program/training centers in Elgin, Aurora, Batavia and Yorkville, two mental health centers in Aurora and McHenry and 40 home-based, supervised and supported living facilities throughout Kane and Kendall Counties.

AID served **92** individuals from St. Charles in FY 2016 in programs aligned with the mission of the St. Charles Mental Health Board (namely mental illness and developmental disabilities): Behavioral Health Outpatient for adults; Behavioral Health Outpatient for children (working with local school employees and families to identify children in need and customize services to maximize personal growth and achievement); Children in Home Support services; Client and Family Support services; Community Employment services; Crisis Intervention; Community Living services (intermittent and 24 hour care); Developmental Training and community integration services (including volunteer opportunities); Home-Based Support; Psychiatric services; Respite services. AID also collaborated with St. Charles Police and Fire personnel to provide comprehensive services to an additional **206** St. Charles residents who were the victims of or the family members of victims of crime and trauma. This program is offered at no cost to all those in need and is partially subsidized by the St. Charles Police and Fire Departments.

Specific to developmental disabilities, psychological and mental health challenges, AID person-centered planning goals focus on: maximizing independence and community inclusion/immersion; promoting independent living with natural supports; maximizing volunteering opportunities; providing job readiness, training and on-the-job support for community employment; encouraging participation in support groups; utilizing engagement tools and healthy coping skills; maximizing the number of clients participating in recovery services that avoid psychiatric hospitalization.

Customized goals and treatment plans for each individual are developed by the individual and the members of his/her support team. Program coordinators and direct service workers assist individuals in meeting and achieving their goals; progress is tracked on a daily basis. Goals are evaluated by the support team each quarter and revised as needed. All AID programs, goals and outcomes are reviewed, evaluated and updated by the AID Quality Improvement Committee. Program outcome measures are developed annually, based upon prior and subsequent year expected results, consumer and stakeholder satisfaction survey information, as well as consistency with the AID strategic plan and mission. Each program evaluation criterion includes measures of effectiveness, efficiency and client/stakeholder satisfaction. Data is collected and analyzed against these defined measures by program management on a quarterly basis, and reported to the Board, funders, and other key stakeholders annually in the AID annual report. Modifications in program design or approach are made if/as needed following each quarterly review of outcome data.

Thirteen (13) of the adult St. Charles residents currently receiving services have little or no funding for services; they must rely on local funding to continue to receive vital services. A portion of the FY 2017/18 requested 708 funds will be used to provide mental health and developmental disability services to these thirteen individuals. We continue to strive for excellence in quality programming and help as many individuals as possible whose dream it is to work, live and thrive in their communities. We will always provide services, support and guidance regardless of an inability to pay.

Describe **specifically** how allocated funds will be expended and quantify services provided to the City of St. Charles:

- Attached a budget detail for the funds sought. **Please see Attachment A.**
- Include a brief program description on each item or service. **Please see below.**
- Funds from 708 Mental Health Board and/or funds from other sources. **Please see Attachment B.**

Requested 708 Mental Health Board funds will support activities, programs and services not funded by other sources. These include but are not limited to: programs for those who rely on local funding; nutrition programs and dietitian services; behavioral analysis and behavioral program development and implementation; increased respite hours for families; specialized autism services; scheduling, nursing and transcription services for psychiatry; transportation to and from volunteer sites as well as other natural community supports to facilitate independence for those without other sources of funding.

Please see **Attachment A** for demographics, hours of service, service delivery costs and a breakdown of requested STC 708 MHB funds.

Please see **Attachment B** for our most recent financial statements detailing sources of other funding for agency programs.

OVERVIEW OF AID PROGRAMS AND SERVICES:

Community Support Services

Client and Family Support: Offers individualized assessment and linkage with appropriate services both within AID and to other community-based resources. Provides temporary, emergency support and services on a “scholarship” basis for individuals who reside in Kane and Kendall Counties and Hanover Township in Cook County in need of services.

Adult Home Based Support: Provides service facilitation and support for individuals with developmental disabilities to obtain/maintain appropriate services and to develop/maintain independent living skills. Families may purchase AID services based on the individual need of the client.

Employment/Community Integration Services

Developmental Services and Community Integration: Provides skill training, paid work opportunities, community integration and employment to individuals in order to enhance and maintain their independence in community living. The program provides support, assistance and advocacy for individuals with developmental disabilities.

The program offers activities to assist participants in attaining the following outcomes:

- Enhance daily living skills through functional educational opportunities
- Increase socialization and communication skills through interactive experiences
- Improve health and wellness through dietary and physical fitness opportunities
- Secure vocational skills
- Learn about local resources through inclusive community interactions
- Develop relationships and sharpen job skills through community-based service projects

STARS program: A collaborative effort between AID and the Fox Valley Special Recreation (FVSRA) that focuses on enhancing the quality of life for individuals with disabilities by building life skills through active participation in community-based therapeutic recreation.

Autism Program: A specialized curriculum that includes: communication skills; social and coping skills; functional life skills; community integration; vocational skills and volunteer opportunities.

Vocational Training: Assistance for individuals in obtaining and maintaining job training through subcontracts or community job sites

Community Jobs: Employment Specialists assist and provide support for clients with disabilities in developing positive relationships with community employers. Services include: career planning; job development; job seeking skills; assistance with resume writing; application processes and interview skills.

Supported Employment: Designed to assist individuals with most significant disabilities to obtain and retain competitive employment in an integrated setting with effective, ongoing support services. All individuals earn minimum wage or better.

Residential Developmental Disabilities Services

Supervised Community Living: 24-hour home setting for individuals with developmental disabilities who need to develop independent living skills.

Supported Community Living: Intermittent services based on the individual needs of each resident.

Services provided:

- Daily living skills including cooking, self-care, laundry, accessing community services, budgeting, housekeeping and more
- Counseling services, behavior management and therapy services
- Transportation services
- Community participation
- Medication management monitored by nursing personnel

Residential Mental Health Services

Supervised Living: The purpose of this program is to help people with mental illness live more independently in the community. People live in their own apartments with 24 hour staff support.

Services include:

- Individual and group counseling
- Case management
- Help with medications
- Assistance in the community
- Skill-building such as budgeting, shopping, cooking, cleaning and laundry
- Crisis resolution
- Healthy living

Supported Living: This program is the next step in community independence. People live independently and receive staff support at least once a week in their home. Services are the same as those in the supervised living program but are not as intensive.

Behavioral Health Services

Outpatient Mental Health/Substance Abuse Services:

Individual and Group Counseling:

- Managing the symptoms of mental illness
- Couples therapy
- Family and Parenting Issues
- Trauma
- Managing Emotions
- Anxiety
- Child and Adolescent counseling for those with private insurance/Medicaid

Case Management:

- Assistance with obtaining Medicaid, Social Security and other benefits
- Linkage and referral to other community services

Psychosocial Rehabilitation: Groups to help people develop skills they need for daily and for recovering from a mental illness.

Examples of groups include:

- Stress Management
- Weight loss and healthy living
- Caring for your emotional self
- Job skills
- Living in the community
- Social skills

Psychiatric Services: A licensed psychiatrist is on-site three times per week to meet with individuals with mental illness for counseling and medication prescription.

Alcohol and Other Drugs (AOD): Substance abuse services for people who struggle problems caused by drugs and/or alcohol. Specialized treatment is provided for people with both a mental illness and a substance abuse problem.

Psychological Evaluations: Our psychologist can give numerous tests and assessments, including a face to face interview to help diagnose a mental health illness and/or developmental disability. Testing also helps determine how and why a person thinks, feels, and behaves the way they do. Psychological tests evaluate strengths and weaknesses in the way a person thinks and behaves as well as assesses intelligence, personality, and behavior. Neuropsychological testing can also be completed to assess and treat behaviors directly related to brain functioning. After testing is complete, our psychologist will go over the testing results and make recommendations to take advantage of personal strengths and problem solve weaknesses.

Crisis/Community Outreach

Crisis Line of the Fox Valley (630.966.9393): Free, confidential 24-hour, 365 days a year, telephone counseling by paraprofessional volunteer operators or paid staff who are trained to help callers with a wide variety of needs and concerns including depression, anxiety, loneliness and substance abuse.

Crisis Intervention Services: Walk-in or face-to-face crisis services are provided to help people in distress. Services include assessment, counseling, referral and linkages to community resources.

Sunshine calls: Trained volunteers offer phone support to people in need. Regular, scheduled phone calls provide people with social interaction, medication reminders, crisis counseling and referral to community resources.

Victims Services: 24-hour assistance to victims and survivors of violent crime or trauma. Services include crisis intervention, counseling, advocacy, education, information and referrals.

Children and Transition Services

Early Intervention: Children ages 0-3 who exhibit a delay in one or more areas of development are provided customized interventions based on their needs. Services support families to plan play activities that encourage child development.

Respite: Provides short-term relief to families of clients with developmental disabilities, through flexible in-home or community-based services. A voucher system enables families to choose workers to provide services for their family member in their home or may choose a combination of in-home services that include recreation and leisure opportunities. The amount of service received varies with family need, Department of Human Services (DHS) guidelines and funding availability.

In-Home Children Support: provides the family with personal service workers, service facilitation, behavioral training, adaptive equipment for house and vehicle and an array of other services including management and advocacy each week. Services are tailored to meet the needs of each individual child and are provided in the home or other locations in the child's community to achieve the most natural setting. A wide variety of options are available, including (but not limited to) tutoring, field trips, sports or other leisure opportunities. The program serves children under the age of 18 with developmental disabilities who live at home and are at risk of being residentially placed. Families must live in Kane or Kendall counties. Services are available regardless of financial ability.

Audiology Evaluations: Upon referral from a primary care physician, an experienced audiologist evaluates the hearing abilities of infants (6 months or older) and children, using a variety of tests and techniques

Agency Name: Association for Individual Development

St. Charles 708 Fund Expenditure History -- explain how funds allocated from previous year were expended and purposes of those expenditures **in relation to St. Charles residents of the funding you received last year**. Note: if funding allocation is over \$25,000, attached most recent financial statements, audit and annual report:

Please see Attachment A for a funding breakdown

Please see Attachment B for most recent financial statements, audit and annual report

On an annualized (12 month) basis, provide information on City of St. Charles residents served:

Direct service: number of individual clients/consumers served: 298

Aggregate number of direct service hours provided: 199,441 hours

Describe agency cost basis per client/consumer served (e.g., per hour cost and/or program costs allocated to City of St. Charles residents and how this was derived):

Please see Attachment A. Dividing the actual total program expenses by the total direct service units provided yields the unit cost per client hour

Identify other services provided to and for the benefit of City of St. Charles' residents (education, presentations, volunteer training and group activities/events).

Individuals participate in the Special Olympics program, the Fox Valley Recreation program and Northern Illinois Special Recreation Association. They go to movies, restaurants, shopping and sporting events with their housemates and/or friends, participate in fundraising opportunities, attend educational classes as part of the Day Training Program (incl. Nutrition & Fitness, Cooking, Exercise, News and Current Events, Safety, Art, Music and Voice, Computers, assistive technology; augmentative communication; colors, shapes, letters, numbers and sign language). Individuals participate in planned community outings which include social, leisure and educational outings. AID currently supports individuals who volunteer at the Habitat for Humanity Re-store shop in Elgin; the Centre in Elgin; Huntley Animal Shelter; Hanover Township Senior Services; Northern Illinois Food Bank; Feed My Starving Children; Hesed House; Two Rivers Food Pantry; City of Lights Dental; Red Oak Nature Center; Salvation Army; Batavia Interfaith Food Pantry; Senior Services of Kane County. Volunteering is an excellent way for individuals to learn valuable job skills and on-the-job behavior while raising their social consciousness; providing charitable services to the members of their communities. Volunteering helps individuals participate in the life of their communities. AID employees continue to research local organizations for additional volunteer opportunities.

Attach a list of current Board of Directors for your agency, listing the length of time that each Board member has served in their current term. Also attach a brief summary of how Board members are elected, the qualifications sought, and any term limits for Board members.

Please see Attachment C

Attach a copy of current 501 C3 or tax exempt status certification.

Please see Attachment D

Agency Name: Association for Individual Development

Please note that agencies that are allocated funds from the STC 708 Board in excess of \$25,000.00 in any fiscal year are also subject to the following requirements:

1. Monthly financial statements must be submitted to the Government Operations Committee of the St. Charles City Council by no later than the 15th day of the following month.
2. An annual report must be submitted to this same Committee.
3. A representative of the agency must make a brief presentation describing the purpose of the agency, it's future plans and how it's programs and services have benefited the residents of St. Charles to this Committee on an annual basis at a date and time to be determined (following the allocation recommendation process by the STC 708 Board, but prior to the actual release of any funds).

Funding request amount for current fiscal year \$ 70,000 Application Date: 6 January 2017

City of St. Charles 708 Mental Health Board Only:

Recommended funding for current fiscal year: \$ _____ Recommendation Date:

ATTACHMENT A
demographics, service hours and costs

[illegible]

ATTACHMENT B

Association for Individual Development

Comparative Balance Sheet

November 30, 2016 and 2015

		Actual 11/30/2016	Actual 11/30/2015
Assets			
Current Assets			
1010	Cash - Operations - Harris NA	\$1,522,464	\$612,808
1011	Cash - Social Security - Harris NA	\$40,770	\$34,896
1095	Petty Cash	\$1,030	\$1,030
1200	Accounts Receivable	\$3,670,217	\$4,479,316
1300	Allowance for Bad Debts	(\$99,993)	(\$100,000)
1355	Unamortized Discount on Contributio--	(\$9,605)	\$0
1420	Accounts Receivable - A&E	\$7,464	\$10,824
1430	Accounts Receivable - ARS	\$21,813	\$6,679
1440	Accounts Receivable - Kane Homes	\$45,356	\$80,199
1450	Accounts Receivable - Kaneco	\$28,052	\$56,621
1460	Accounts Receivable - Sherman Apts	\$44,175	\$45,977
1590	Investments-CFFRV--	\$2,653,553	\$2,610,052
1700	Prepaid Expenses	\$130,768	\$148,934
1710	Prepaid Insurance	\$92,081	\$132,917
1720	Prepaid Rent	\$28,490	\$31,783
Total Current Assets		\$8,176,635	\$8,152,036
Fixed Assets			
1800	Land	\$1,487,173	\$1,487,173
1805	Land improvements	\$142,858	\$126,752
1810	Buildings	\$9,354,080	\$9,354,080
1815	Building improvements	\$882,336	\$863,456
1820	Leasehold improvements	\$151,117	\$148,517
1830	Furniture & fixtures	\$271,263	\$258,413
1840	Equipment & software	\$1,330,165	\$1,255,395
1850	Vehicles	\$1,757,309	\$1,724,175
1870	Less: Accum Deprec-land improvements	(\$49,054)	(\$40,600)
1875	Less: Accum Deprec-Buildings	(\$3,559,131)	(\$3,298,566)
1880	Less: Accum Deprec-Building Improvements	(\$172,220)	(\$145,983)
1882	Less: Accum Deprec-Leasehold Improvements	(\$91,463)	(\$76,863)
1885	Less: Accum Deprec-Furniture & Fixtures	(\$218,146)	(\$202,800)
1890	Less: Accumu Deprec-Eq't & Software	(\$1,128,037)	(\$1,024,584)
1895	Less: Accum Deprec-Vehicles	(\$1,417,310)	(\$1,351,872)
Total Fixed Assets		\$8,740,939	\$9,076,692
Other Assets			
1900	Cash Surrender Value - Life Insurance	\$13,454	\$13,156
1910	Client loan receivables	\$2,724	\$2,759
1920	Mortgage closing costs (legal/title)	\$23,617	\$33,336
1990	Security Deposits	\$4,845	\$8,809
Total Other Assets		\$44,640	\$58,060
Total Assets		\$16,962,215	\$17,286,788

Association for Individual Development

Comparative Balance Sheet

November 30, 2016 and 2015

		Actual 11/30/2016	Actual 11/30/2015
Liabilities & Fund Balance			
Liabilities			
Current Liabilities			
2000	Accounts payable - trade	\$279,199	\$326,168
2020	Accounts payable - voided payroll checks	(\$1,431)	\$1,510
2030	Accounts payable - client personal	\$4,825	(\$1,479)
2070	Due to United Way	\$338	\$671
2090	Tenant security deposits	\$2,554	\$2,122
2135	Line of Credit #1 - Harris NA	\$0	\$300,000
2195	Current portion of Long Term Debt	\$225,456	\$217,637
2200	Accrued staff salaries	\$475,972	\$380,393
2205	Accrued FICA payable	\$34,447	\$29,361
2210	Accrued client salaries	\$14,483	\$15,170
2220	Accrued Paid time Off (PTO)	\$568,610	\$547,459
2230	Accrued Expenses	\$649,047	\$955,582
2240	Accrued Interest	\$7,103	\$7,534
2300	Deferred Revenue Grants	\$1,989,760	\$1,648,260
2310	Deferred Revenue Special Events	\$0	\$1,625
2402	LAN Funds--	\$0	\$4
Total Current Liabilities		\$4,250,366	\$4,432,018
Long-Term Debt			
2600	Notes payable - vehicles	\$3,154	\$9,480
2750	Mortgage payable - Harris NA	\$564,479	\$1,293,336
2760	Mortgage payable - Knights of Columbus #1	\$0	\$0
2761	Mortgage Payable - Knights of Columbus #2	\$23,810	\$52,381
2762	Mortgage payable-IFF--	\$750,301	\$794,063
2995	Current portion of Long Term Debt	(\$225,456)	(\$217,637)
Total Long-Term Debt		\$1,116,287	\$1,931,622
Total Liabilities		\$5,366,653	\$6,363,640
Fund Balance			
3000	Fund Balance	\$11,153,062	\$10,923,148
3001	Net Assets-Designated-Capital Campa--	\$442,500	\$0
Total Fund Balance		\$11,595,562	\$10,923,148
Total Liabilities & Fund Balance		\$16,962,215	\$17,286,788

Association for Individual Development

Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/201	Current Month 11/30/201	Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
REVENUE							
Developmental Disabilities							
Fee for service							
36,646	36,944	33,235	4025 DD Developmental Training 31A	178,714	215,246	(36,533)	516,591
311,659	311,513	289,191	4027 DD Developmental Training 31U	1,522,283	1,451,483	70,800	3,483,559
606,547	619,162	580,613	4030 DD CILA 60D	3,006,350	3,024,990	(18,641)	7,259,978
29,435	31,212	31,139	4050 DD Supported Employment 36/39-G/U	137,742	240,596	(102,854)	577,430
37,185	47,910	35,630	4056 DD One on One Services 53B/D/H/R/S/	203,491	274,470	(70,978)	658,728
31,958	32,298	30,538	4060 DD Home Based Services 55A	153,844	165,441	(11,597)	397,058
19,423	18,803	18,175	4070 DD Behavior Services 56,57,58 (U&G)	93,855	124,937	(31,082)	299,850
9,800	(15,074)	27,254	4099 DD Rejection Billing	49,119	0	49,119	0
6,816	20,272	2,272	4192 Project Search	29,360	33,860	(4,500)	81,264
8,760	9,918	21,670	4220 DRS Base Plus/Milestones	53,890	135,440	(81,550)	325,056
472	443	728	4230 DRS Reg Supp Employ.	2,490	2,083	407	5,000
50	0	250	4245 DRS Vocational Training-Forklift	300	0	300	0
0	20	20	4250 Ticket to Work	40	0	40	0
47,822	38,847	57,000	4310 Long Term Care - Residential for TAC	257,181	286,283	(29,101)	687,078
17,186	18,911	18,911	4320 Long Term Care - day program for TAC	92,830	86,679	6,151	208,030
15,500	15,500	24,937	4330 Long Term Care - external for Zachary	86,937	85,694	1,243	205,665
1,179,259	1,186,679	1,171,563	Total	5,868,425	6,127,201	(258,776)	14,705,287
Grants							
0	95,296	0	4010 DD Special Projects-Respite Grant	95,296	0	95,296	0
6,715	6,715	6,715	4375 DHS- DF Title XX	33,573	33,573	0	80,576
6,715	102,011	6,715	Total	128,869	33,573	95,296	80,576
1,185,974	1,288,689	1,178,278	Total Developmental Disabilities	5,997,294	6,160,775	(163,480)	14,785,863
Mental Health							
Fee for service							
27,063	26,460	28,478	4122 Aetna Crisis Line	137,376	135,000	2,376	324,000
1,173	1,173	1,173	4124 Colbert Crisis Line	5,865	5,831	34	13,994

Association for Individual Development Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/201	Current Month 11/30/201		Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
0	3,200	0	4125	WCC Crisis Line	3,200	1,667	1,533	4,000
5,256	0	0	4190	Department of Aging	15,768	15,768	0	15,768
8,480	11,520	4,000	4260	State of Illinois - PAS	40,800	50,000	(9,200)	120,000
1,902	2,159	1,347	4365	HFS - Physician Services (Adult)	8,449	12,500	(4,051)	30,000
0	80	80	4367	HFS - Transportation	320	0	320	0
8,625	7,691	7,215	4370	Medicare - Psychiatric Services	30,644	31,250	(606)	75,000
0	0	0	4372	Psychologists-contractual and self pay	0	833	(833)	2,000
52,499	52,284	42,292		Total Fee for service	242,422	252,849	(10,427)	584,762
				Fee for Service-MCO				
256,957	279,143	257,568	4160	MH Medicaid MRO	1,334,990	2,579,967	(1,244,977)	6,191,920
76,406	83,430	90,361	4162	Aetna Medicaid	398,185	0	398,185	0
39,439	49,896	53,203	4164	Cenpatco Medicaid	215,211	0	215,211	0
40,459	44,886	52,084	4165	Blue Cross Blue Shield MMAI	220,291	0	220,291	0
10,288	10,663	10,792	4166	Cigna-Healthsprings-MMAI	53,055	0	53,055	0
23,961	28,118	29,588	4167	Meridian-MMAI	122,307	0	122,307	0
8,789	13,047	9,883	4168	Humana-Beacon	48,111	0	48,111	0
6,827	8,417	10,273	4169	Harmony	45,117	0	45,117	0
14,437	17,372	15,075	4171	Family Health Network	77,887	0	77,887	0
233	0	0	4172	Community Care Alliance of Illinois-ICP/FH	1,156	0	1,156	0
477,796	534,972	528,827		Total	2,516,309	2,579,967	(63,658)	6,191,920
				Grants				
0	0	33,366	4120	Crisis Services	33,366	0	33,366	0
33,173	0	43,615	4130	Supported Residential	76,788	0	76,788	0
1,670	1,670	1,670	4270	Mental Health Court(KaneCo)	8,350	9,183	(833)	22,040
18,179	17,971	17,971	4356	Victims' Services	90,479	83,229	7,250	199,750
53,022	19,641	96,622		Total	208,983	92,412	116,571	221,790
583,318	606,897	667,741		Total Mental Health	2,967,714	2,925,228	42,486	6,998,472

United Ways & 708 Boards

Association for Individual Development Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/2016	Current Month 11/30/2016	Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
3,434	3,434	3,434	4405 United Way - Aurora	17,171	17,171	0	41,211
833	833	833	4410 United Way - Elgin	4,167	4,167	0	10,000
0	0	0	4420 United Way/CC other	0	417	(417)	1,000
317	317	317	4425 United Way - Batavia	1,583	1,583	0	3,800
83	83	83	4430 Community Chest - Geneva	417	417	0	1,000
2,918	2,918	2,918	4440 United Way McHenry	14,592	12,500	2,092	30,000
32,009	32,009	32,009	4450 708 - So. Kane County (Aurora)	160,047	148,000	12,047	355,200
2,658	2,658	2,658	4455 708 - Geneva	13,292	13,333	(42)	32,000
3,783	3,783	3,783	4460 708 - Hanover Township	18,917	18,750	167	45,000
4,500	4,500	4,500	4465 708 - St. Charles	22,500	21,667	833	52,000
2,167	2,167	2,167	4470 708 - Kendall County	10,833	10,417	417	25,000
37,500	37,500	37,500	4475 708 - McHenry County	187,500	125,000	62,500	300,000
90,204	90,204	90,204	Total United Ways & 708 Boards	451,018	373,421	77,598	896,211
Special Events							
0	172,900	5,415	4505 Auction	178,315	185,000	(6,685)	185,000
105	645	4,310	4510 Membership	5,820	27,083	(21,263)	65,000
0	0	0	4515 AID Golf Outing	11,085	11,000	85	11,000
0	0	0	4520 Color Run	0	0	0	85,000
2,778	4,968	1,485	4525 Small Events	12,349	8,333	4,016	20,000
2,883	178,513	11,210	Total Special Events	207,569	231,417	(23,848)	366,000
Contributions							
0	0	0	4550 Contributions - Grants	5,000	6,250	(1,250)	15,000
365	33,815	1,974	4555 Contributions - Restricted	37,643	71,667	(34,024)	172,000
2,724	3,230	5,880	4557 Contributions - Unrestricted	17,795	41,667	(23,872)	100,000
0	0	0	4560 Contributions - Staff	0	417	(417)	1,000
5,080	199,562	94,701	4565 Contributions-Capital Campaign- Restricted	366,565	0	366,565	0
27,292	27,292	27,292	4570 Contributions - In-Kind Rent	136,458	136,459	(1)	327,502
14,719	40,804	13,775	4575 In Kind Donations	96,663	41,667	54,996	100,000
3,870	675	160	4580 Bequests and Memorials	44,560	8,333	36,227	20,000

Association for Individual Development

Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/201	Current Month 11/30/201		Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
54,050	305,377	143,781		Total Contributions	704,684	306,459	398,225	735,502
				Program Fees				
139,337	142,802	138,681	4605	Client Program fees	705,824	677,722	28,102	1,626,534
(5,474)	(5,474)	(5,474)	4610	Intermittent CILA Payouts	(26,674)	(30,365)	3,691	(72,876)
210	210	655	4630	Client Fees - Transportation	1,535	1,750	(215)	4,200
0	0	0	4645	CBO Early Intervention Income	0	5,000	(5,000)	12,000
143	114	110	4650	Insurance Payments	6,669	32,500	(25,831)	78,000
151	6,273	323	4652	Family & 3rd Party Co-Pays	7,192	4,792	2,400	11,500
6,713	5,754	7,433	4665	Provena Mercy Aurora	28,531	25,000	3,531	60,000
0	258	258	4666	Provena Mercy Yorkville	1,031	1,250	(219)	3,000
141,080	149,937	141,986		Total Program Fees	724,108	717,649	6,459	1,722,358
				Sub-Contract Sales				
15,198	10,339	10,038	4810	Elgin Sub-Contract Sales	59,402	54,167	5,235	130,000
21,057	18,885	16,666	4820	Aurora Sub-Contract Sales	98,903	81,250	17,653	195,000
10,868	7,010	9,780	4840	Janitorial Sub-Contract - External	47,544	59,607	(12,063)	143,056
11,157	14,064	10,432	4850	Janitorial Sub-Contract - Internal	58,721	59,752	(1,031)	143,404
58,279	50,299	46,916		Total Sub-Contract Sales	264,570	254,775	9,795	611,460
				Other Income				
225	0	0	4910	Gain/Loss on sale of Fixed Assets	400	833	(433)	2,000
0	72	794	4920	Interest Income	866	0	866	0
1,132	2,071	2,662	4930	Food Income	9,247	12,708	(3,462)	30,500
1,868	2,313	765	4940	Vending Income	8,295	10,000	(1,705)	24,000
3,601	4,707	4,168	4950	Rental Income - Tenants	21,666	11,805	9,861	28,332
9,352	10,836	8,442	4962	Training income/reimbursement	53,508	52,916	593	127,000
6,231	6,231	6,231	4965	Management fees-HUD Corps	31,155	31,250	(95)	75,000
18,369	15,453	13,880	4970	LINK Income	76,432	80,989	(4,557)	194,373
95,000	95,468	88,417	4972	RTA - Ride in Kane - JARC & NF	468,885	458,333	10,551	1,100,000
2,720	2,704	1,492	4973	RTA - Ride in Kane - Mobility Mgt	14,817	12,500	2,317	30,000
180	(367)	290	4980	Miscellaneous Income	12,981	5,417	7,565	13,000

Association for Individual Development

Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/201	Current Month 11/30/201	Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
33,986	31,157	31,677	5210 Client Salaries	155,154	142,336	(12,818)	341,607
2,600	2,384	2,423	5220 Client FICA	11,869	10,791	(1,078)	25,899
2,222	2,222	2,222	5230 Client Workers Compensation Insurance	11,110	12,500	1,390	30,000
38,808	35,762	36,322	Total Client Salaries & Benefits	178,133	165,627	(12,506)	397,506
Consultants & Outside Services							
0	800	0	5300 Audiology	2,400	4,000	1,600	9,600
24,122	14,523	28,985	5310 Psychiatrists	113,566	156,250	42,684	375,000
0	4,210	0	5320 Consult & Professional Fees	4,210	15,955	11,745	38,293
263	95	0	5325 Consultants - IT	2,274	8,333	6,059	20,001
0	500	0	5327 Intern stipends	4,000	14,165	10,165	33,998
0	161	0	5332 Temporary Services	1,362	10,929	9,567	26,231
0	6,429	4,348	5340 Outside Services - Respite	10,778	0	(10,778)	0
9,708	8,164	10,954	6450 IT Licenses/Maintenance/Supplies	54,312	66,203	11,891	158,888
773	3,995	3,995	6460 Audit Fees	9,535	20,791	11,255	49,900
3,325	217	429	6465 Legal Services	7,354	12,501	5,147	30,005
38,190	39,093	48,710	Total Consultants & Outside Services	209,791	309,127	99,336	741,916
Supplies							
9,769	12,635	12,575	6005 Household/Sanitary/Safety Supplies	52,223	40,069	(12,154)	96,167
0	0	0	6028 Special Events Golf Outing Expenses	0	813	813	1,950
4,981	10,879	250	6029 Special Events Auction Expenses	18,582	10,417	(8,166)	25,000
169	639	671	6030 Special Events Color Run	1,902	5,417	3,514	13,000
0	4,922	0	6031 Special Events Expenses - clients	4,922	2,083	(2,838)	5,000
0	0	0	6032 Special Events Expenses - small events	0	42	42	100
14,719	40,804	13,775	6033 In-Kind Expenses	96,663	41,667	(54,996)	100,000
6,865	8,222	10,328	6040 Residences/Client Materials & Activities	42,458	50,153	7,695	120,368
(68)	0	13,486	6041 Program Activities/FVSR	19,899	39,412	19,512	94,588
894	925	562	6045 Sub-contract/Jan-AID Supplies	4,908	6,972	2,064	16,733
(371)	(432)	(511)	6050 Sub-Contract Shipping	(2,227)	0	2,227	0
1,624	1,252	1,769	6055 Food Service Costs	5,465	7,873	2,408	18,894
32,205	27,255	30,402	6060 Food Costs	148,415	148,917	503	357,403

Association for Individual Development Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/201	Current Month 11/30/201		Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
2,412	2,497	2,708	6065	Coffee/Water/Vending Supplies	14,277	19,308	5,031	46,340
<u>73,197</u>	<u>109,598</u>	<u>86,015</u>		Total Supplies	<u>407,488</u>	<u>373,141</u>	<u>(34,347)</u>	<u>895,543</u>
				Specific Assistance to Individuals				
0	0	0	6105	Rent for clients	0	1,042	1,042	2,500
0	0	0	6110	Utilities for clients	0	83	83	200
2,040	2,456	694	6115	Medications for clients	4,959	6,034	1,075	14,482
120	150	60	6120	Medical/Dental for clients	330	1,640	1,310	3,936
<u>2,160</u>	<u>2,606</u>	<u>754</u>		Total Specific Assistance to Individuals	<u>5,289</u>	<u>8,799</u>	<u>3,510</u>	<u>21,118</u>
				Vehicles & Transportation				
8,901	7,965	7,528	6210	Vehicle Operating Costs	32,274	39,100	6,826	93,842
10,810	3,613	8,493	6220	Vehicle Repairs & Maint	40,974	34,953	(6,020)	83,890
3,565	3,565	3,565	6230	Vehicle Insurance	17,823	17,187	(636)	41,251
28,280	28,095	28,108	6250	Staff Mileage reimbursement	121,608	116,716	(4,892)	280,120
0	200	200	6260	Resident Transportation Services	600	554	(46)	1,330
2,106	1,999	0	6262	Bethesda Transportation Service	6,651	7,886	1,235	18,927
12,372	13,809	11,573	6270	Client Transportation RIDE in KANE	59,928	52,638	(7,290)	126,332
<u>66,034</u>	<u>59,245</u>	<u>59,466</u>		Total Vehicles & Transportation	<u>279,858</u>	<u>269,035</u>	<u>(10,823)</u>	<u>645,692</u>
				Occupancy				
61,510	61,851	63,549	6305	Facilities Rental	324,469	303,829	(20,640)	729,189
1,740	1,309	2,899	6310	Utilities-Gas	8,065	18,466	10,401	44,321
14,252	17,871	13,665	6315	Utilities-Electric	79,489	102,455	22,966	245,894
6,188	6,358	7,516	6320	Utilities-Water & Sewer	27,174	18,401	(8,773)	44,164
97	836	0	6330	Supplies - Janitorial	989	2,791	1,802	6,700
9,127	8,015	7,901	6335	Telephone - Cellular	39,800	36,443	(3,356)	87,466
30,976	25,790	31,129	6340	Telephone	142,014	140,666	(1,347)	337,601
0	0	2,617	6345	Snow Removal	2,617	12,126	9,509	60,628
3,259	2,522	2,923	6350	Refuse Disposal	14,370	14,200	(171)	34,080
5,203	272	1,826	6355	Security Services	8,689	14,742	6,053	35,382
420	848	1,145	6360	Moving & Relocation Expense	4,138	3,510	(627)	8,425

Association for Individual Development

Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/201	Current Month 11/30/201	Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
9,950	5,204	6,865	6365 Building & Grounds - Maint & Repairs	29,581	32,840	3,259	78,818
11,234	11,554	10,432	6370 Building & Grounds - JanAID	56,293	60,920	4,626	146,209
7,126	5,287	8,305	6375 Lawn Maintenance	32,568	16,670	(15,898)	40,009
3,374	5,378	2,291	6378 Small Equipment and Furniture	16,431	9,494	(6,937)	22,788
7,395	7,395	7,500	6380 Prop/Gen Liab/Prof/Umbrella Insurance	37,055	39,705	2,650	95,293
1,165	1,165	1,165	6385 Directors & Officers/Crime Insurance	5,826	7,068	1,242	16,963
7,115	6,940	7,006	6395 Interest Expense - Mortgage	35,326	37,768	2,441	90,643
0	0	0	6400 Real Estate Taxes	626	708	83	1,700
180,130	168,594	178,733	Total Occupancy	865,521	872,802	7,281	2,126,273
Equipment							
467	1,239	898	6405 Equipment-Repairs/Maintenance	2,907	1,894	(1,013)	4,547
786	905	1,255	6425 Equipment Rental	4,957	4,617	(339)	11,082
1,253	2,144	2,154	Total Equipment	7,864	6,512	(1,352)	15,629
Depreciation & Amortization							
46,943	47,326	45,986	6435 Depreciation expense	235,710	241,055	5,345	578,533
810	810	810	6440 Amortization expense	4,049	4,042	(7)	9,702
47,753	48,136	46,796	Total Depreciation & Amortization	239,759	245,097	5,338	588,235
Other Expenses							
2,714	6,988	9,576	6510 Advertising/Job Recruiting	21,928	24,999	3,071	60,000
1,096	1,125	851	6512 Bank Fees	5,627	5,807	180	13,937
403	778	3,249	6515 Conferences & Meetings	7,256	8,333	1,077	20,001
621	2,439	596	6520 Publications & Subscriptions	6,735	4,167	(2,567)	10,004
2,684	6,435	5,248	6525 License, Permits, Dues, CARF Accred	25,558	39,581	14,023	94,996
5,108	8,719	5,142	6530 Office Supplies	28,042	30,322	2,280	72,774
13,574	11,989	12,108	6540 Payroll Service Fees	62,115	59,790	(2,325)	143,499
1,162	627	1,928	6545 Postage	6,307	7,083	776	17,001
530	1,187	2,003	6550 Printing	4,713	6,249	1,536	14,999
95,000	95,468	88,417	6552 RTA - Ride in Kane - JARC & NF	468,885	458,333	(10,551)	1,100,000
452	350	345	6553 RTA - Ride in Kane - Mobility Mgt	1,824	2,333	509	5,600

Association for Individual Development

Income Statement

Five Months ending November 30, 2016

Actual 2 mo. back 9/30/2016	Actual Last Mont 10/31/2016	Current Month 11/30/2016	Description	Actual YTD	Budget YTD 11/30/2016	Variance YTD	Fiscal Year Budget
658	(2,618)	620	6560 Staff Training	4,004	17,874	13,870	42,899
242	31	31	6600 Interest Expense - other	4,771	9,702	4,931	23,284
8,965	1,150	5,088	6610 Bad Debts	19,736	41,667	21,930	100,000
0	0	0	6620 Bequest & Memorials Pass Through	0	6,250	6,250	15,000
617	306	82	6630 Miscellaneous Expense	2,368	4,167	1,799	10,000
12,325	0	0	6639 HFS TAC Participation Fees	12,325	12,714	389	50,856
0	0	0	6650 Contributions	1,000	0	(1,000)	0
3,540	0	0	6675 Capital Campaign Expenses	4,805	0	(4,805)	0
0	0	0	6990 Program Support Allocation	0	(1)	(1)	0
0	0	0	6995 Admin Allocation	0	(1)	(1)	(1)
149,693	134,974	135,285	Total Other Expenses	687,998	739,368	51,370	1,794,849
2,203,369	2,219,464	2,263,259	TOTAL EXPENSES	11,158,759	11,535,405	376,646	27,736,947
51,096	589,940	143,997	NET SURPLUS/(DEFICIT)	856,449	111,068	745,381	3,124

ATTACHMENT C

AGENCY NAME: Association for Individual Development (AID) **ADDRESS:** 309 New Indian Trail Court, Aurora, IL 60506

EXECUTIVE DIRECTOR: Lynn O'Shea

PHONE: 630-966-4001

DATE OF NEXT BOARD ELECTION: 6/2017

NAME	HOME ADDRESS	OCCUPATION & EMPLOYER	EXPIRES
Patrick M. Flaherty (Chairman)	920 Hardin Rd Aurora, IL 60506 630.892.0033 pflaherty@kfkllaw.com	Attorney/Partner Kinnally Flaherty Krentz Loran Hodge & Masur PC	6/2018
Toni Vaughan (Vice Chairman)	1885 Alschuler Drive Aurora, IL 60506 630.892.7560 apvaughan@comcast.net	Board Member, Aurora School District 129 (Retired)	6/2017
Chuck Miles (Immediate Past Chairman)	1015 Westfield Course Geneva, IL 60134 630.232.6926 Cmiles6926@gmail.com	Director Materials Management, Logistics and Inventory	6/2018
Dan Lundberg (Treasurer)	1532 Charleston St Batavia, IL 60510 630.377.4195 dan.lundberg@bmo.com	Relationship Manager/Analyst, BMO Harris Bank U.S. SAMU	6/2017
Dr. Melinda Tejada (Secretary)	89 Plymouth Court Aurora, IL 60504 630.229.3306 mtejada@aubonsee.edu	Vice President of Student Development Waubonsee Community College	6/2017
Tanya Meyers	4N262 IL Rt 31 St. Charles, IL 60174 847.366.1455 tanyaevents@gmail.com	Owner & Manager Fox Valley Country Club and Pipers Banquets	6/2019
Matt Bretz	418 Barnaby Drive Oswego, IL 60543 630.779.7083 mbretz@ncscu.org	Branch Manager NorthStar Credit Union	6/2019
Clifford Klotz	3014 Fox Glen Ct St. Charles, IL 60174 630.584.3055 crkinc@sbcglobal.net	Vice President of American National Can Company (Retired)	6/2017
Mary Duncan	9N768 Whispering Springs Lane Elgin, IL 60124 404.805.2875 maryduncan@u-46.org	Speech and Language Pathologist School District U46	6/2019
David De La Fuente	1600 Martha Drive Elgin, IL 60123 847.627.0919 David_dlf@sbcglobal.net	Bi-Lingual Mental Health Counselor	6/2019
Dr. Timothy Brown	32W432 Forest Drive Aurora, IL 60504 630.898.3780 Drtb49@aol.com	Clinical Psychologist	6/2017
John Knewitz	P.O. Box 606 Burlington, IL 60109 847.683.3080 Birdsfans53@yahoo.com	Assistant Superintendent School District 303 (Retired)	6/2017
Inez Toledo	414 S. 4 th Street Geneva, IL 60134 847.361.4958 ineztoledo2@yahoo.com	Attorney State of Illinois Guardianship and Advocacy Commission (IGAC)	6/2017
Diana Law	1215 Ford Street Geneva, IL 60134 630.886.6802 diana@lawelderlaw.com	Attorney/Partner Law Elderlaw, LLP	6/2019

Board Meeting Dates: First Wednesday in August; October; December; February; April; May (annual meeting); June.

1 Year (Term Ending 6/30/17)	2 Years (Term Ending 6/30/18)	3 Years (Term Ending 6/30/19)
<p>Dr. Timothy Brown 32W432 Forest Drive Aurora, IL 60502 Off: 815-467-1342 Res: 630-898-3780 Cell: 630-863-1305 Email : drtb49@aol.com (7/14-6/17)</p> <p>Clifford Klotz 3014 Fox Glen Ct. St. Charles, IL 60174 Res : 630-584-3055 Cell : 773-960-6058 Fax : 630-584-3055 email : erkinc@sbcglobal.net (7/11-6/14 ; 7/14-6/17)</p> <p>Dr. John Knewitz P.O. Box 606 Burlington, IL 60109 Res : 847-683-3080 Cell : 630-779-5962 Email : birdsfan53@yahoo.com (7/11-6/17)</p> <p>Dan Lundberg (Treasurer) 1532 Charleston St. Batavia, IL 60510 Off : 630-312-461-3787 Res : 630-761-3506 Cell : 630-706-1898 Email : dan.lundberg@bmo.com (7/11-6/14 ; 7/14-6/17)</p> <p>Dr. Melinda Tejada (Secretary) 89 Plymouth Ct. Aurora, IL 60504 Off : 630-466-2590 Res : 630-851-6067 Cell : 630-229-3306 Fax : 630-466-6661 Email : mtejada@waubonsee.edu (8/13-6/14 ; 7/14 -6/17)</p> <p>Inez Toledo 414 S. 4th Street Geneva, IL 60134 Cell : 847-361-4958 Fax : 630-232-7452 Email : ineztoledo2@yahoo.com (7/14-6/17)</p> <p>Toni Vaughan (Vice Chairman) 1885 Alschuler Drive Aurora, IL 60506 Res : 630/892-7560 Cell : 630/606-7560 e-mail : apvaughan@comcast.net (7/08-6/11; 7/11-6/14, 7/14-6/17)</p>	<p>Patrick Flaherty (Chairman) 920 Hardin Aurora, IL 60506 Off: 630/907-0909 Res: 630/892-0033 Cell: 630/258-8947 e-mail: pflaherty@kfkllaw.com (7/09-6/12; 7/12-6/15;; 7/15-6/18)</p> <p>Chuck Miles (Immediate Past Chairman) 1015 Westfield Course Geneva, IL 60134 Res: 630/232-6926 Cell: 630/488-0670 e-mail: cmiles6926@gmail.com (7/09-6/12; 7/12-6/15; 7/15-6/18)</p>	<p>Matt Bretz 418 Barnaby Drive Oswego, IL 60543 Off: 630-393-7201, x2928 Res: 630-779-7083 Cell: 630-779-7083 email: mbretz@nscu.org (7/16-6/19)</p> <p>David De La Fuente 1600 Martha Drive Elgin, IL 60123 Off: 847/608-2682, ext. 229 Res: 847/931-4409 Cell: 847/627-0919 Fax: 847/608-2689 e-mail: david_dlf@sbcglobal.net (7/13-6/16; 7/16-6/19)</p> <p>Mary Duncan 9N768 Whispering Springs Lane Elgin, IL 60124 Off: 847-888-5000, ext. 6094 Cell: 404-805-2875 email: maryduncan@u-46.org (7/16-6/19)</p> <p>Diana Law 1215 Ford Street Geneva, IL 60134 Off: 630-585-5200 Res: 331-248-0538 Cell: 630-886-6082 Fax: 630-566-0811 Email: diana@lawelderlaw.com (7/16-6/19)</p> <p>Tanya Meyers 4N262 IL Rt. 31 St. Charles, IL 60174 Off: 630-761-3366 Cell: 847-366-1455 email: TANYAEVENTS@gmail.com (7/16-6/19)</p>

**ASSOCIATION FOR INDIVIDUAL DEVELOPMENT
RESOLUTION OF BOARD OF DIRECTORS
May 13, 2015**

WHEREAS, the Board of Directors desire to amend the bylaws of this corporation so that they will read as per the bylaws that are attached to this resolution; and

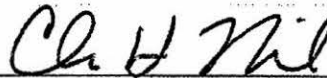
WHEREAS, in order to amend the bylaws, the proposed amendments to the bylaws must be amended by the Board of Directors at a regular or special board meeting and adopted at a subsequent regular meeting of the Board of Directors; and

WHEREAS, on April 1, 2015 the Board of Directors amended the bylaws so that they will read as per the bylaws that are attached to this resolution; and

WHEREAS, the Board of Directors now desire to ratify and adopt the bylaw amendments that were made at the regular meeting of the Board of Directors on April 1, 2015.

NOW THEREFORE, the Board of Directors do hereby ratify and adopt the bylaw amendments that were made at the regular meeting of the Board of Directors on April 1, 2015.

Date: May 13, 2015



Chairman

ATTESTED:



Secretary



BY-LAWS

Adopted	-	June 14, 1967
Revised	-	November 12, 1969
Second Revision	-	May 13, 1970
Third Revision	-	May 18, 1973
(Effective)	-	July 1, 1973
Fourth Revision	-	May 7, 1976
Fifth Revision	-	May 21, 1977
Sixth Revision	-	May 20, 1979
Seventh Revision	-	May 14, 1982
Eighth Revision	-	May 20, 1983
Ninth Revision	-	May 16, 1986
Reviewed	-	June 28, 1989
Reviewed	-	September 26, 1990
Tenth Revision	-	May 20, 1993
Eleventh Revision	-	May 25, 1995
Twelfth Revision	-	May 16, 1996
Thirteenth Revision	-	May 22, 1997
Fourteenth Revision	-	May 15, 1998
Fifteenth Revision	-	May 18, 2000
Sixteenth Revision	-	August 23, 2000
Seventeenth Revision	-	May 17, 2001
Eighteenth Revision	-	May 19, 2004
Nineteenth Revision	-	December 6, 2006
Twentieth Revision	-	May 20, 2009
Twenty-First Revision	-	May 18, 2011
Twenty-Second Revision	-	May 15, 2013
Twenty-Third Revision	-	May 13, 2015

ARTICLE VI: Board of Directors

Section 1. Powers

The business of AID shall be managed by or under the direction of its Board of Directors. The Board, subject to these bylaws, shall exercise broad stewardship and policy direction for the corporation. In its fiduciary role, the Board shall have the power to solicit, receive, use, hold, invest and reinvest gifts, bequests, devises, grants or funds from whatever source and use the same or the proceeds thereof for AID or any of its activities, or as specifically designated. It shall cause the books and financial statements of AID to be audited annually by independent certified public accountants. It shall actively promote the corporation's purposes and mission throughout the community.

The Board of Directors shall employ a President/ CEO and empower the President/CEO to transact business, administer established policies, manage the corporation's services, hire, discharge and supervise all personnel, and manage the real and personal properties of the Association, subject to the direction of the Board of Directors. The President shall serve as the registered agent for the corporation.

Section 2. Number of Directors

The number of directors shall be no fewer than 12 nor more than 17 in number, which number shall be fixed from time to time by resolution of the Board of Directors, provided, however, that a resolution to reduce the size of the Board of Directors shall not affect the unexpired term of any director then a member of the Board of Directors. A director must at all times be a member in good standing of AID. Directors shall be elected at the regular annual meeting of the Board of Directors or in the event that any directorship is to be filled by reason of an increase in the number of directors, by the Board of Directors at any regular meeting of the Board of Directors. Such regular annual meeting of the Board of Directors shall be held in the month of May of each year on a date selected by the President/CEO of this corporation. Unless otherwise specifically provided by the Board of Directors who elect a director, or by the terms of Section 5 of this Article VI, the term of an elected director shall be three years, commencing on July 1st following the annual meeting. No director shall serve for more than three (3) consecutive terms or nine (9) consecutive years, except that if a director is elected to fill a vacancy pursuant to Section 5 of Article VI with two years or less remaining in that unexpired term, then that director shall serve for not more than four (4) consecutive terms (the word "term" shall include any unexpired term for which the director is elected to fill a vacancy) or eleven (11) consecutive years.

Any provision herein to the contrary notwithstanding, in the case of a director who serves as Chairman of the Board of Directors in his or her ninth consecutive year as a director, then he or she shall be eligible to serve one additional year on the Board of Directors as a director.

A director may resign at any time by giving written notice to the Board of Directors or to the Chairman or to the Secretary. A resignation is effective when the notice is given unless the notice specifies a future date. The pending vacancy may be filled before the effective date, but the successor shall not take office until the effective date.

No employee of AID nor of any organization with which AID has a franchise relationship nor of any agency of the State of Illinois that provides funding to AID nor of any nursing home or sheltered care home that is a part of the Illinois service delivery system nor any member of his or her immediate family (spouse, parent, child, brother or sister) shall be eligible to serve as a director.

Section 3. Quorum and Action

A majority of the number of directors fixed by the Board of Directors from time to time to constitute the Board of Directors shall form a quorum for the transaction of business at any meeting of the Board of Directors, provided that if fewer than a majority of such number of directors are present at a meeting, a majority of the directors present may adjourn the meeting at any time without further notice. The directors shall hold no fewer than six regular meetings annually. The directors by resolution shall schedule meetings on a recurring basis without the necessity of notice other than such resolution.

The act of the majority of the directors present at a meeting at which a quorum is present shall be the act of the Board of Directors, unless applicable state statutes, these by-laws, or the Articles of Incorporation require the act of a greater number.

Use of a conference telephone or interactive technology, including but not limited to electronic transmission, internet usage, or remote communication, by means of which all persons participating in the meeting can communicate with each other is permissible for purposes of attendance at any meeting of the Board of Directors, unless otherwise prohibited by the Illinois General Not-for-Profit Corporation Act, as amended.

Section 4. Special Meetings

Special meetings of the Board of Directors may be called by or at the request of the Chairman or any two or more directors. The person or persons authorized to call special meetings of the Board of Directors may fix the date, time and place for the special meeting.

Notice of any special meeting shall be given at least three days previous thereto by electronic means or by written notice to each director at the director's address on the membership list. If mailed, such notice shall be deemed to be deliverable when deposited with the United States Postal Service so addressed, with postage thereon prepaid. If notice is transmitted by electronic means, such notice shall be deemed to be delivered when transmitted. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the Board of Directors need be specified in the notice or waiver of notice of such meeting.

Section 5 Vacancies.

Any vacancy occurring in the Board of Directors or any directorship to be filled by reason of an increase in the number of directors shall be filled by the Board of Directors. A director elected or appointed as the case may be, to fill in a vacancy shall be elected for the unexpired term of his or her predecessor in office. Unless otherwise specified by the Board of Directors, a director elected or appointed as the case may be, to fill a directorship by reason of an increase in the number of directors shall be elected for a term beginning on the date specified by the Board of Directors and ending on June 30th of the year which ends three years after the last June 30th.

Section 6. Written Actions

Unless specifically prohibited by the Articles of Incorporation or these by-laws, any action required to be taken at a meeting of the Board of Directors or any other action which may be taken at a meeting of the Board of Directors or of any committee thereof may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all the directors entitled to vote with respect to the subject matter thereof or by all the members of such committee, as the case may be. Any such consent signed by all the directors or all the members of the committee shall have

the same effect as a unanimous vote and may be stated as such in any document filed with the Secretary of State or with anyone else.

Section 7. Assenting Action

A director who is present at a meeting of the Board of Directors at which action on any corporate matter is taken shall be conclusively presumed to have assented to the action taken unless the director's dissent shall be entered in the minutes of the meeting or unless the director shall file a written dissent to such action with the person acting as the Secretary of the meeting before the adjournment thereof or shall forward such dissent by registered mail to the secretary of the corporation immediately after the adjournment of the meeting. Such right to dissent shall not apply to a director who voted in favor of such action.

Section 8. Removal

Any director who fails to sustain his/her eligibility as a member of the Board of Directors shall be removed for cause by action of the board. Any Director absent from three consecutive board meetings without good cause or without first notifying the Secretary shall be subject to removal by the board.

ARTICLE VII: Officers

Section 1. Officer

The officers of AID shall be a Chairman, a Vice-Chairman, a Secretary, a Treasurer, and a President/CEO.

Section 2. Election

The officers of the corporation (except for the President/CEO who is to be employed by the Board of Directors) shall be elected or appointed annually by the Board of Directors at the regular annual meeting of the Board of Directors, for a term to commence on the first day of July. If the election of officers shall not be held at such meeting, such election shall be held as soon thereafter as conveniently may be done. Vacancies may be filled or new offices created and filled at any meeting of the Board of Directors. Each officer shall hold office until a successor shall have been duly elected and shall have qualified or until the officer's death, resignation, or removal from office. Election of an officer shall not of itself create contract rights.

Section 3. Removal

Any officer elected or appointed by the Board of Directors may be removed by the Board of Directors whenever in its judgement the best interests of the corporation would be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person so removed.

Section 4. Chairman

The Chairman shall serve as the chief executive of the Board of Directors, presiding at all meetings of the membership and Board of Directors. The Chairman shall appoint and supervise the work of all committees; serve as an ex-officio member of all committees; assign to committees such responsibilities as he or she deems fit consistent with these by-laws; and, in general, shall discharge all duties incident to the office of Chairman and such other duties as may be prescribed by the Board of Directors from time to time. Except in those instances in which the authority to execute is expressly delegated to another office or agent of the corporation or a different mode of execution is expressly prescribed by the Board of Directors or these by-laws, the Chairman may execute for the corporation any contracts, deeds, mortgages, bonds, or other instruments which the Board of Directors has authorized to be executed. The Chairman may accomplish such execution either under or without the Seal of the Corporation and either individually or with the Secretary, any assistant

secretary, or any other officer thereunto authorized by the Board of Directors, according to the requirements of the form of the instrument.

Section 5. Vice Chairman

The Vice Chairman (or in the event there be more than one vice chairman, each of the vice chairmen) shall assist the Chairman in the discharge of the duties as the Chairman may direct and shall perform such other duties as from time to time may be assigned to the Vice Chairman by the Chairman. In the absence of the Chairman or in the event of the Chairman's inability to act, the Vice Chairman (or in the event there be more than one vice chairman, the vice chairmen in the order designated by the Board of Directors, or by the Chairman if the Board of Directors has not made such a designation, or in the absence of any designation, then in the order of seniority of tenure as vice chairman) shall perform the duties of the Chairman, and when so acting, shall have all the powers of and be subject to all the restrictions on the Chairman. Except in those instances in which the authority to execute is expressly delegated to another office or agent of the corporation or a different mode of execution is expressly prescribed by the Board of Directors or these by-laws, the Vice Chairman (or each of them if there are more than one) may execute for the corporation any contracts, deeds, mortgages, bonds or other instruments which the Board of Directors has authorized to be executed. The Vice Chairman may accomplish such execution either under or without the seal of the corporation and either individually or with the Secretary, any assistant secretary, or any other officer thereunto authorized by the Board of Directors, according to the requirements of the form of the instrument.

Section 6. Treasurer

The Treasurer shall be responsible for oversight of the corporation's financial affairs; shall chair the Finance Committee, and shall report the financial condition of the corporation at each regular meeting of the membership and Board of Directors. The Treasurer will perform, in general, all duties incident to the office of Treasurer and such other duties as may be required by the Articles of Incorporation, or by these by-laws, or which may be assigned from time to time by the Board of Directors.

Section 7. Secretary

The Secretary shall be responsible for the oversight of all official records of the corporation; shall certify, execute or otherwise attest to as true and accurate recordings of the actual or contemplated actions or transactions of the corporation, all corporate documents requiring Board action; will perform in general all duties incident to the office of Secretary and such other duties as from time to time may be assigned to him or her by the Chairman or by the Board of Directors, and will, in particular, do the following:

- (A) record minutes of the membership and Board of Directors meetings in one or more books provided for the purpose;
- (B) give all notices in accordance with the provisions of these by-laws or as required by law;
- (C) be custodian of the corporate records and of the seal of the corporation;
- (D) maintains a register of the post-office address of each member which shall be furnished by such member;
- (E) affix his/her signature with the Chairman, or a Vice Chairman, or any other officer thereunto authorized by the Board of Directors, to any contracts, deeds mortgages, bonds, or other instruments which the Board of Directors has authorized to be executed, according to the requirements of the form of the instrument, except when a different mode of executive is expressly prescribed by the Board of Directors or these by-laws; and
- (F) certify that the by-laws, resolutions of the Board of Directors and committees thereof, and other documents of the corporation as true and correct copies thereof.

Section 8. President/CEO

The President/CEO shall be the principal executive officer of the corporation. Subject to the direction and control of the board of directors, the President/CEO shall oversee the business of the corporation; shall see that the resolutions, directions and policies of the board of directors are carried into effect, except in those instances in which that responsibility is specifically assigned to some other person by the board of directors; and, in general, shall discharge all duties incident to the office of President/CEO and such other duties as may be prescribed by the board of directors from time to time. Except in those instances in which the authority to execute is expressly delegated to another office or agent of the corporation or a different mode of execution is expressly prescribed by the board of directors or these by-laws, the President/CEO may execute for the corporation any contracts, deeds, leases, mortgages, bonds, or other instruments on behalf of this corporation. The President/CEO may accomplish such execution either under or without the seal of the corporation and either individually or with the secretary, any assistant secretary, or any other office thereunto authorized by the board of directors, according to the requirements of the form of the instrument.

ARTICLE VIII Committees

Section 1.

- A) A majority of the directors may create one or more committees and appoint directors or such other persons as the board designates, to serve on the committee or committees. Each committee shall have two or more directors, a majority of its membership shall be directors, and all committee members shall serve at the pleasure of the board. However, committees appointed by the board or otherwise authorized by the bylaws relating to the election, nomination, qualification, or credentials of directors or other committees involved in process of electing directors may be composed entirely of non-directors.
- B) Unless the appointment by the board of directors requires a greater number, a majority of any committee shall constitute a quorum, and a majority of committee members present and voting at a meeting at which a quorum is present is necessary for committee action. A committee may act by unanimous consent in writing without a meeting and, subject to the provisions of the bylaws or action by the board of directors, the committee by majority vote of its members shall determine the time and place of meetings and the notice required therefor.
- C) To the extent specified by the board of directors in the creation of a committee, the committee may exercise the authority of the board of directors as set forth in the Illinois General Not For Profit Corporation Act of 1986, as amended, except as specifically prohibited by such Act, the articles of incorporation or these bylaws.

Section 2. Advisory Council

The Chairman of the Board of Directors may appoint advisory councils of individuals representative of various medical specialties, professional fields, or consumers to advise the Board on policies related to the care and treatment of persons with disabilities or organizational matters related to delivery of services. Advisory councils shall present recommendations to the Board of Directors.



IRS Department of the Treasury
Internal Revenue Service

P.O. Box 2508
Cincinnati OH 45201

ATTACHMENT D

In reply refer to: 0248364799
July 05, 2012 LTR 4168C E0
36-2472748 000000 00
00037151
BODC: TE

ASSOCIATION FOR INDIVIDUAL
DEVELOPMENT
309 W NEW INDIAN TRAIL CT
AURORA IL 60506



067870

Employer Identification Number: 36-2472748
Person to Contact: Mrs. K Hopton
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your June 25, 2012, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in May 1963.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section 509(a)(2).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

0248364799
July 05, 2012 LTR 4168C E0
36-2472748 000000 00
00037152

ASSOCIATION FOR INDIVIDVAL
DEVELOPMENT
309 W NEW INDIAN TRAIL CT
AURORA IL 60506

If you have any questions, please call us at the telephone number
shown in the heading of this letter.

Sincerely yours,



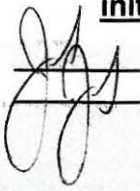
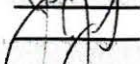
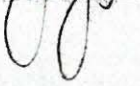
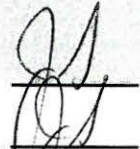
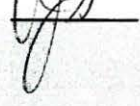



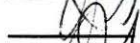
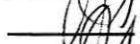
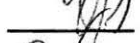
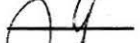





S. A. Martin, Operations Manager
Accounts Management Operations

**City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended Checklist**

It is the responsibility of the agency requesting City of St. Charles 708 Mental Health Board funding to verify all Accountability Report requested information is submitted at the time of application submission.

Please use the following checklist as a guide to compile your application packet for submission. Incomplete applications or applications submitted after **January 6, 2017 – NOON** will not be considered for funding for the 2017/2018 funding period.

Please submit your information in the order in which it appears on the application and initial on the line provided to ensure all items are included in your packet.

1.  Agency and contact information completed.
2.  2017/2018 total funding request is: \$65,000.
3.  Completed narrative mission of the organization as it relates to the City of St. Charles 708 Mental Health Board's mandate for services to residents with, or at risk of:
 - a. mental illness and psychological disorders,
 - b. developmental disabilities, including mental retardation, cerebral palsy, epilepsy and autism spectrum disorders,
 - c. addictions including chemical; alcohol and substance abuse, and other addictions (gambling, sexual, shopping, etc.).
4.  Grant requestor has specifically described organization's goals as it relates to the City of St. Charles mission.
5.  Individuals served may only be counted once, regardless of the number of times served per fiscal year through direct service hours. Grant requestor has specifically described the percent and dollar amount of expenditures toward the benefit of the City of St. Charles residents in the following categories:
 - a. 1:1 direct services with clients (e.g., 50% or \$5,000 of \$10,000 total grant funds).
 - b. Direct services to groups of clients.
 - c. Workshops, lectures, and community presentations for education and training.
 - d. Administrative expense, office support, and overhead for agency expense.
6.  If available, please include a synopsis of your outcome measurements for the funding you are requesting.
7.  **With the application provide the following information:**
 -  An annual detailed budget or year ending Financial Statements and annual report.
 -  St. Charles 708 Fund Expenditure History for past three years.
 -  The total number of individuals your agency served in the past year 5,731.
 -  Direct number of individuals living within the City of St. Charles city limits served 75.
 -  Direct number of service hours provided to City of St. Charles residents: 2,763.
 -  Quantified costs allocated to the services provided, (i.e. cost per hour and or program costs for the City of St. Charles residents) \$20.31.
 -  Identify other services provided to and for the City of St. Charles residents.
 -  Attach a list of current Board of Directors for your agency as specified in our application.
 -  Attach a copy of your current 501 (c) (3).
8.  **MAKE SURE description on your financial statement reads City of St. Charles 708 Mental Health.**
PLEASE PROVIDE 10 COPIES OF YOUR COMPLETED APPLICATION AND INFORMATION.

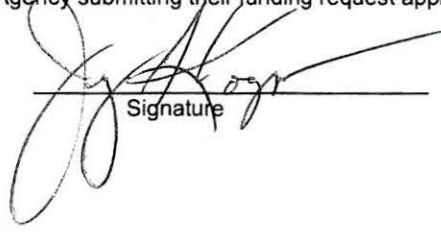
Keep in mind individuals are to be counted only once, regardless of the number of times served per fiscal year though the direct number of hours these individuals are served need to be quantified.

Renz Addiction Counseling Center
Name of Agency

Agency submitting their funding request application

Date 12/29/16

Jerry Skogmo
Print Name of Person Completed Application


Signature

Executive Director
Title/Position

City of St. Charles
708 Mental Health Board
Accountability Report for funds Expended

Agency Name: Renz Addiction Counseling Center

Contact Person: Jerry Skogmo Phone #: 847-742-3545 ext. 232

Main Office Address: One American Way, Elgin, Illinois 60120

Funding requested from City of St. Charles 708 Board for fiscal year 17/18: \$65,000

Describe mission (include narrative explaining how mission relates to City of St. Charles):

Renz Addiction Counseling Center is a not-for-profit corporation dedicated to empowering people to lead healthier lives. Through evidence-based prevention and treatment programs, the Center delivers effective and professional services with integrity and compassion. City of St. Charles 708 Mental Health Board funds will support the clinical staff to provide the most effective preventative and clinical care in a safe and nurturing environment through a teamwork approach for anyone affected by addiction or addiction-related issues. This last October, Renz Center received the prestigious Commission on Accreditation of Rehabilitation Facilities (CARF) accreditation.

In correlation to the City of St. Charles' mission, Renz Center treatment programs help individuals who are in need of substance abuse, drug abuse, and/or co-occurring mental health disorder counseling. The philosophy of treatment programs of Renz Center is based on the belief that addiction is a chronic, progressive disease with its own symptomatology. Clients receive a thorough assessment and are placed in a treatment program according to the level of care needed. City of St. Charles residents who come to the agency fit into the mission and philosophy of the Center. It should be noted that the research on the negative effects of addiction is compelling for a community. Addiction is highly correlated with increased incidence of health problems, crime, family discord, domestic violence, poverty, low productivity and unemployment. We see this pattern in all of the communities we serve including St. Charles. In the final analysis, successfully treating substance abuse helps make the City of St. Charles a healthier, safer community.

Describe specific goals that fit City's mission and indicate how goals will be accomplished.

The goal for each client is to reduce or eliminate the use of alcohol and/or drugs. The Center strongly promotes abstinence and this is the goal for the vast majority of clients. We also employ a "harm-reduction" model for clients who are not motivated to quit their consumption but are willing and able to reduce their use. These are clients who abuse drugs or alcohol but are not dependent on them (physically addicted). Those who are alcohol or drug dependent, abstinence is necessary. The program has met goals as it relates to access to treatment and treatment retention. We have decreased the time it takes for an individual contacting us to get into their first appointment and we have also increased the length of time an individual is in treatment. Both of these factors are very important because the research shows, not surprisingly, that the easier it is to access treatment and the longer an individual is in treatment the better the prognosis. We have worked very hard at meeting these two objectives. Our rating from the Illinois Department of Alcoholism and Substance Abuse (DASA) has been higher than the state-wide average for client engagement in the first 30 days and in retention of clients attending at least 10 sessions after the first 30 days.

The Center evaluates the effectiveness of the programs in a number of ways:

1. Initially all treatment plans are reviewed by a physician to help ensure that the client (patient) is receiving the proper treatment plan.
2. Each case is reviewed every 30 days by the Center's Clinical Director.
3. A client satisfaction survey is given to clients annually. The information provided from the results of the surveys measures many variables, from our responsiveness as an organization to clients' satisfaction with their progress.
4. A quality assurance professional reviews a random sample (15%) of all cases for a record review and to ascertain the quality of services being provided to each client.

5. Renz Center reports data to the state that measures treatment access engagement and treatment retention. Results of measuring these indicators are used to evaluate program effectiveness and compare to other providers.

Describe specifically how funds will be expended and quantify services provided to the City of St. Charles:

▪ **Attached a budget detail for the funds sought – See Attachment A: Budget Detail**

Funds at our St. Charles location support personnel and all other expenses related to operating the St. Charles office. City of St. Charles funds assist with payment for St. Charles residents who receive services in the St. Charles location in addition to those who may need more specialized services at our Elgin location. Personnel includes two counselors, clinical supervision, and support staff. Occupancy costs are the second largest expense although we do rent out one of our offices to another community provider, Ecker Center For Mental Health. The budget submitted also reflects costs directly related to services and includes administrative expenses, supplies and equipment.

In fiscal year 2016, 2,763 hours of service were provided to 75 City of St. Charles residents in programs ranging from special services for adolescents and women to compulsive gamblers, to offenders referred by the court system.

▪ **Include a brief program description of each item or service**

As a full-service agency, Renz Center offers alcohol and drug addiction treatment services, including individual, family and group counseling, DUI services, gambling treatment, special programming for women clients and adolescents, and psychiatric services. In addition, the Center provides case management between schools, the court system and other health care/social service providers, and toxicology tests to verify treatment compliance. See *Attachment B: St. Charles Demographics*

Available to St. Charles residents is our Second Chance program. First time offenders who are 18 years of age and older who successfully attend a three hour educational session can have their record expunged. And while Renz Center's SUPPORT and CABHI program have officially concluded, the Center's Clinical staff will continue to collaborate with Lazarus House and Ecker Center For Mental Health to provide substance abuse treatment at our St. Charles location, in addition to other specific support services in our Elgin facility including, psychiatric services, parenting support and education, and free childcare.

▪ **Funds from 708 Mental Health Board and/or funds from other sources**

Attached is a budget that outlines expected revenues and expenses for the next fiscal year for our St. Charles office. The other municipality or organizations contributing to this office in FY17 include: City of Geneva Mental Health Board (\$9,000) and the Geneva Community Chest (\$1,720) for Geneva residents and the Fox Valley United Way (\$12,641). For a complete list of agency funders, see *Attachment C: Operating and Capital Grantors*

If available, please include a synopsis of your outcome measurements for the funding you are requesting.

To help Renz Center measure treatment effectiveness, clients are given satisfaction surveys to complete. In FY16, outcome data indicated that 79% of clients agreed that as a result of the program services received, they were doing better at work and/or school. In addition, 74% felt that the program met their need to resolve the issue/problem that caused them to seek services. And in assessing abstinence from intake to follow-up during the past 30 days, the rate of change was 42%.

St Charles 708 Fund Expenditure History – explain how funds allocated from previous year were expended and purposes of those expenditures in relation to St. Charles residents of the funding you received last year. Note: if funding allocation is over \$25,000, attached most recent financial statements, audit and annual report:

Over the last three years, funds from the City of St. Charles help pay for a wide variety of clinical services to residents of St. Charles. These include three-hour comprehensive assessments, individual, family and group counseling, special programming for women clients and adolescents, psychiatric services, case management between schools, the court system and other health care/social service providers, and toxicology tests to verify treatment compliance. In addition, DUI offenders may be seen for assessments and risk-education classes. City of St. Charles residents are also eligible to receive services for problem and compulsive gambling, in addition to educational presentations—primarily drug and alcohol abuse prevention education, and HIV education.

In addition to City of St. Charles funding, Renz Center continues to use federal grant funding to help fill the gap to treat residents with special needs. Last August, Renz Center initiated an Opioid Overdose and Naloxone Education Program, where participants learn how to administer life-saving overdose techniques and receive a free kit at the program's conclusion. In January 2017, the Center will begin offering Medication-Assisted Treatment (MAT), including Opioid Treatment Programs (OTPs), which combines behavioral therapy and medications to treat substance use disorders and prevent opioid relapse and overdose.

Over the last 3 years, Renz Center has been awarded the following funding support from the City of St. Charles 708 Mental Health Board:

- \$64,000 for Clinical Services in 2014
- \$64,000 for Clinical Services in 2015
- \$63,500 for Clinical Services in 2016

See Attachment D: Financial Statement; Attachment E: Audited Financial Statement; and Attachment F: Annual Report

On an annualized (12 month) basis, provide information on City of St. Charles residents served:

Direct service: number of individual clients/consumers served: 75

Aggregate number of direct service hours provided: 2,763

Describe agency cost basis per client/consumer served (e.g., per hour cost and/or program costs allocated to City of St. Charles residents and how this was derived):

Program Cost per St. Charles Client = \$65,000 (request)/90 (estimate of clients served in FY2017) = \$722.22

Hourly Cost per St. Charles Client = \$65,000 (request)/3,200 (estimated client hours in FY2017) = \$20.31/hour

Identify other services provided to and for the benefit of City of St. Charles' residents (education, presentations, volunteer training and group activities/events).

Renz Center is licensed to provide alcohol server education and training to restaurants, bars and liquor retail establishments. Employees of these businesses can participate in a training designed to prepare them for dealing with unruly customers and eliminating uncertainty in ambiguous situations and educating them on the physiological effects of overconsumption. Renz Center also offers HIV prevention, testing and counseling to at-risk City of St. Charles residents at no cost and has several programs that target high-risk individuals.

Agency Name: Renz Addiction Counseling Center

Attach a list of current Board of Directors for your agency, listing the length of time that each Board member has served in their current term. Also attach a brief summary of how Board members are elected, the qualifications sought, and any term limits for Board members. See Attachment G: Board of Directors and Attachment H: Board Selection

Attach a copy of current 503C or tax exempt status certification. See Attachment I: IRS 501 (c)(3) Determination Letter

Please note that agencies that are allocated funds from the STC 708 Board in excess of \$25,000 in any fiscal year are also subject to the following requirements:

1. Monthly financial statements must be submitted to the Government Operations Committee of the St. Charles City Council by no later than the 15th day of the following month.
2. An annual report must be submitted to this same Committee.
3. A representative of the agency must make a brief presentation describing the purpose of the agency, it's future plans and how it's programs and services have benefited the residents of St. Charles to this Committee on an annual basis at the date and time to be determined (following the allocation recommendation process by the STC 708 Board, but prior to the actual release of any funds).

Funding request amount for current fiscal year \$65,000.

Application Date: December 29, 2017

City of St. Charles 708 Mental Health Board Only:

Recommended funding for current fiscal year: \$ _____ **Recommendation Date:** _____

Fiscal Year 2017
Renz Center St. Charles Office

Expected Revenue:

DASA Contract	50,000.00
St. Charles Mental Health Board	65,000.00
Geneva Mental Health Board	9,000.00
Geneva Community Chest	1,700.00
United Way of Central Kane County	12,500.00
Client fees & third party insurance	10,000.00
Rental Income	10,200.00
	<u>158,400.00</u>

Expenses:

Salaries	57,000.00
Fringe	17,100.00
Outside services	200.00
Mileage	1,200.00
Contract services	2,600.00
Advertising	2,200.00
Computer consulting	3,000.00
Dues and subscriptions	1,000.00
Equipment costs/rental	3,500.00
Insurance	3,100.00
Repairs and maintenance	2,000.00
Professional fees	7,600.00
Medical consulting	1,000.00
Toxicology	2,500.00
Conferences	300.00
Licensing & Accreditation	1,700.00
Misc program expenses	1,100.00
Office supplies	1,600.00
Postage and printing	1,100.00
Rent	27,000.00
Telephone	2,000.00
Utilities	2,700.00
Management and general	16,900.00
	<u>158,400.00</u>

City of St. Charles

Characteristics of the Population – FY16*

Attachment B
St. Charles Demographics

Referral Source:		Treated for:		Educational Level:	
Criminal Justice: Diversionary Prgm= 5 (2 nd Chance) DUI = 2 Oth Court(Court Supervision) = 1 Prison (Sheridan) = 1 Probation/Parole = 17 State / Federal Court = 1 Other = 1	28	Alcohol	43	Less than 10 years	3
		Benzodiazepines	1	10 through 11 years	10
		Cocaine/Crack	3	12 years	38
		Gambling	1	13 through 14 years	12
		Heroin	5	15 through 16 years	11
Addiction Treatment Provider	1	Marijuana/Hashish	19	17+	1
Employer (EAP)	3	Not treated for Substance	2		
Individual	7	Other Opiates & Synthetics	1		
Other Community Referral	26				
Other Health Care Provider	1				
School	1				
Child Welfare (DCFS)	4				
Unknown	4				
Total	75	Total	75	Total	75
Problem Area:		Prior Treatment Episodes:			
Alcohol	20	No prior treatment	38	African American	6
Drugs	12	One	18	Caucasian	55
Both Alcohol and Drugs	39	Two	10	Hispanic	13
Alcohol, Drugs and Gambling	1	Three	3	Does not know	1
Gambling	1	Five or more	1		
Unknown*	2	Unknown*	5		
Total	75	Total	75	Total	75
AGE:		GENDER:		OTHER:	
13 - 20	11	Male	22	Veterans	1
21 - 30	23	Female	53	MISA Clients	29
31 - 40	20			Pregnant Clients	1
41 - 50	9			Income <20,001	51
51 - 60	11				
61 and over	1				
Total	75	Total	75		

Clients / Hours Served :	
35 Clients received treatment in the St. Charles Office:	386.00
38 Clients received treatment in the Elgin Office:	2372.00
2 Clients received treatment in the Second Chance Program*	5.00
75 Clients	Hours Served: 2763.00

* Some demographic information is not collected

**Agency Operating and Capital Grantors
Fiscal Year 2017**

Funding Commitments	Amount Awarded or Pending Requests*
Illinois Department of Human Services - Alcoholism & Substance Abuse Treatment	\$675,529**
Substance Abuse Mental Health Services Administration (SAMHSA)	\$282,718
HIV Grants – Region 7 & 8	\$236,196
Illinois Department of Public Health	\$ 87,500
Hanover Township 708	\$ 60,000**
City of St. Charles Mental Health 708	\$ 63,500
School District 300	\$ 19,000
Kane County Probation	\$ 15,000**
US Probation	\$ 28,000**
City of Elgin Township Grant	\$ 20,000
Sheridan Correctional Facility	\$ 8,000**
Kane County Second Chance	\$ 8,000**
Fox Valley United Way	\$ 12,641
City of Geneva 708	\$ 9,000
Geneva Community Chest	\$ 1,720
EFS Foundation	\$ 10,000
First Congregational United Church of Christ	\$ 2,500*
Mutual of America Foundation – Community Partnership Award	\$ 25,000*
Grant Victoria Foundation	\$ 50,000*
Seigle Family Foundation	\$ 2,000
Zurich Foundation	\$ 1,500*
Zonta West – Illinois	\$ 600

*pending

**Fee-for-service; funding not guaranteed

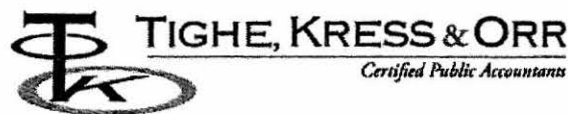
Renz Addiction Counseling Center

Financial Statements

One Month and Five Months Ended
November 30, 2016 and 2015

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Accountant's Compilation Report

To the Members of the Board
Renz Addiction Counseling Center
One American Way, 2nd Floor West
Elgin, IL 60120

Management is responsible for the accompanying financial statements of Renz Addiction Counseling Center (a nonprofit organization), which comprise the statements of financial position as of November 30, 2016 and 2015 and the related statements of activities and cash flows for the one month and five months then ended in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services Committee of the AICPA. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, nor provide any form of assurance on these financial statements.

The supplemental information contained on pages 6-11 is presented for purposes of additional analysis and is not a required part of the basic financial statements. The supplementary information has been compiled from information that is the representation of management. We have not audited or reviewed the supplementary information and, accordingly, do not express an opinion or provide any assurance on such supplementary information.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Organization's financial position, changes in net assets, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

We are not independent with respect to Renz Addiction Counseling Center.

Tighe, Kress & Orr, P.C.

Elgin, IL

December 28, 2016

**Renz Addiction Counseling Center
Statements of Financial Position**

	Assets	
	<u>November 30, 2016</u>	<u>November 30, 2015</u>
Current Assets		
Cash		
Cash	\$ 388,271.94	\$ 552,705.10
Cash-CD's	43,267.81	43,224.24
Total Cash	<u>431,539.75</u>	<u>595,929.34</u>
Accounts Receivable		
Accounts receivable	657,718.80	486,369.44
Allowance for bad debt	(125,000.00)	(75,500.00)
Total Accounts Receivable	<u>532,718.80</u>	<u>410,869.44</u>
Total Current Assets	<u>964,258.55</u>	<u>1,006,798.78</u>
 Property and Equipment		
Land and building	1,760,968.09	1,760,968.09
Equipment	346,876.49	346,876.49
Computer equipment	65,368.99	65,368.99
Leasehold improvements	647,765.84	637,931.84
Software	10,636.84	10,636.84
Total Property and Equipment	<u>2,831,616.25</u>	<u>2,821,782.25</u>
Less: accumulated depreciation	(1,003,622.29)	(945,020.79)
Net Property and Equipment	<u>1,827,993.96</u>	<u>1,876,761.46</u>
 Other Assets		
Refinance costs	3,500.00	3,500.00
Accumulated amortization	(3,441.65)	(2,741.53)
Prepaid expense	17,051.55	7,745.72
Security deposit	3,150.00	3,150.00
	<u>20,259.90</u>	<u>11,654.19</u>
Total Assets	<u><u>\$ 2,812,512.41</u></u>	<u><u>\$ 2,895,214.43</u></u>
	Liabilities and Fund Balance	
Current Liabilities		
Accounts payable	\$ 50,186.99	\$ 51,600.97
Accrued expenses	66,501.61	86,442.92
Real estate tax payable	2,955.13	2,953.78
Security deposit	1,881.00	1,881.00
Unearned revenue	8,333.32	9,666.68
Total Current Liabilities	<u>129,858.05</u>	<u>152,545.35</u>
 Other Liabilities		
Long term loans payable	291,374.60	342,936.23
Total Other Liabilities	<u>291,374.60</u>	<u>342,936.23</u>
 Fund Balance		
Board designated funds	145,000.00	145,000.00
Unrestricted net assets	2,246,279.76	2,254,732.85
Total Fund Balance	<u>2,391,279.76</u>	<u>2,399,732.85</u>
Total Liabilities and Net Assets	<u><u>\$ 2,812,512.41</u></u>	<u><u>\$ 2,895,214.43</u></u>

See Accountant's Compilation Report

**Renz Addiction Counseling Center
Statements of Activities**

	<u>One Month Ended November 30, 2016</u>		<u>Five Months Ended November 30, 2016</u>		<u>One Month Ended November 30, 2015</u>		<u>Five Months Ended November 30, 2015</u>	
Revenue - Programs:								
DASA contracts	\$ 57,561.84	35.9	\$ 282,738.20	29.8	\$ 54,970.00	25.7	\$ 274,850.00	23.4
Medicaid and misc DASA	2,218.21	1.4	13,155.31	1.4	4,197.92	2.0	31,594.97	2.7
Medicaid - Managed care	6,231.27	3.9	24,588.76	2.6	10,071.54	4.7	47,007.95	4.0
Drug Free Community grant	10,416.67	6.5	52,083.35	5.5	10,416.67	4.9	20,833.34	1.8
SAMHSA - STOP grant	3,928.75	2.5	7,857.50	0.8	0.00	0.0	0.00	0.0
CABHI	0.00	0.0	61,569.69	6.5	20,523.23	9.6	111,932.35	9.5
United Way contracts	1,053.42	0.7	5,267.10	0.6	1,053.42	0.5	5,267.10	0.4
IDPH Minority grant	10,000.00	6.2	40,000.00	4.2	0.00	0.0	0.00	0.0
IDPH - Category 2	0.00	0.0	0.00	0.0	10,887.00	5.1	54,435.00	4.6
IDPH - Cancer prevention	2,916.67	1.8	14,583.35	1.5	0.00	0.0	0.00	0.0
IDPH - Wellness on wheels - HIV prev	4,000.00	2.5	16,000.00	1.7	0.00	0.0	0.00	0.0
Lake County HIV	8,883.00	5.5	72,792.62	7.7	9,133.33	4.3	45,666.65	3.9
Cook County HIV	11,050.00	6.9	57,101.00	6.0	11,050.00	5.2	62,650.00	5.3
SAMHSA grant - Media	0.00	0.0	0.00	0.0	0.00	0.0	31,249.98	2.7
SAMHSA grant - SUPPORT	0.00	0.0	86,666.66	9.1	43,333.33	20.3	216,666.65	18.5
Second Chance Program	640.00	0.4	1,760.00	0.2	360.00	0.2	2,520.00	0.2
Client fees	3,906.22	2.4	24,395.22	2.6	3,489.15	1.6	27,024.62	2.3
Client fees - third party	2,213.42	1.4	22,465.24	2.4	2,135.20	1.0	20,175.44	1.7
US Probation and Pretrial fees	1,793.00	1.1	7,779.00	0.8	2,402.00	1.1	9,438.00	0.8
Kane County Probation fees	1,785.00	1.1	8,026.00	0.8	736.00	0.3	7,024.00	0.6
Township grants	0.00	0.0	7,500.00	0.8	1,000.00	0.5	8,750.00	0.7
Hanover Township	5,000.00	3.1	25,000.00	2.6	5,083.33	2.4	25,416.65	2.2
School District 300	0.00	0.0	0.00	0.0	3,285.00	1.5	13,680.00	1.2
Other foundations and grants	0.00	0.0	20,645.00	2.2	1,397.41	0.7	12,955.39	1.1
Geneva 708	1,000.00	0.6	5,000.00	0.5	1,000.00	0.5	4,500.00	0.4
Geneva Community Chest	158.00	0.1	790.00	0.1	158.00	0.1	636.34	0.1
Sheridan Correctional Facility	0.00	0.0	203.00	0.0	1,974.72	0.9	4,654.12	0.4
St Charles Mental Health	5,333.33	3.3	26,666.65	2.8	5,333.33	2.5	26,666.65	2.3
Total Program Revenue	\$ 140,088.80	87.4	\$ 884,633.65	93.2	\$ 203,990.58	95.6	\$ 1,065,595.20	90.9

**Renz Addiction Counseling Center
Statements of Activities (continued)**

	<u>One Month Ended November 30, 2016</u>		<u>Five Months Ended November 30, 2016</u>		<u>One Month Ended November 30, 2015</u>		<u>Five Months Ended November 30, 2015</u>	
Revenue-Program	\$ 140,088.80	87.4	\$ 884,633.65	93.2	\$ 203,990.58	95.6	\$ 1,065,595.20	90.9
Revenue-Fundraising(Net)	1,622.06	1.0	10,847.99	1.1	929.98	0.4	6,419.69	3.0
Revenue-Employee Assistance Svcs	0.00	0.0	5,201.12	0.5	0.00	0.0	5,651.12	2.6
Revenue-Administrative:								
Contributions	10,848.74	6.8	12,816.46	1.4	1,802.15	0.8	67,547.38	5.8
Interest and dividends	776.35	0.5	794.88	0.1	2,126.64	1.0	2,202.51	0.2
One American Way rental income	2,586.39	1.6	13,131.81	1.4	2,546.24	1.2	12,925.98	1.1
Management fee	2,258.30	1.4	10,417.82	1.1	2,071.54	1.0	10,364.30	0.9
Other income	2,083.33	1.3	11,416.65	1.2	3.00	0.0	1,533.66	0.1
Total Operating Revenue	<u>160,263.97</u>	<u>100.0</u>	<u>949,260.38</u>	<u>100.0</u>	<u>213,470.13</u>	<u>100.0</u>	<u>1,172,239.84</u>	<u>100.0</u>
Program expenditures	132,859.60	82.9	769,480.10	81.1	170,498.60	79.9	895,950.91	76.4
Employee Assistance Svcs expenditures	689.88	0.4	4,058.07	0.4	804.88	0.4	3,782.78	0.3
Administrative expenditures	33,800.83	21.1	161,001.28	17.0	35,662.53	16.7	171,710.10	14.6
Total Expenditures	<u>167,350.31</u>	<u>104.4</u>	<u>934,539.45</u>	<u>98.4</u>	<u>206,966.01</u>	<u>96.9</u>	<u>1,071,443.79</u>	<u>91.4</u>
Increase(Decrease)	(7,086.34)	(4.4)	14,720.93	1.6	6,504.12	3.0	100,796.05	8.6
Other Sources:								
Contributions-Capital Campaign	10.00	0.0	50.00	0.0	15.00	0.0	247.92	0.0
Increase(Decrease) net assets	<u>\$ (7,076.34)</u>	<u>(4.4)</u>	<u>14,770.93</u>	<u>1.6</u>	<u>\$ 6,519.12</u>	<u>3.1</u>	<u>101,043.97</u>	<u>8.6</u>
Beginning unrestricted net assets			2,231,508.83				2,153,688.88	
Ending unrestricted net assets			<u>\$ 2,246,279.76</u>				<u>\$ 2,254,732.85</u>	

See Accountant's Compilation Report

**Renz Addiction Counseling Center
Statements of Cash Flows**

	<u>One Month Ended November 30, 2016</u>	<u>Five Months Ended November 30, 2016</u>	<u>One Month Ended November 30, 2015</u>	<u>Five Months Ended November 30, 2015</u>
Cash Flows From Operating Activities				
Net income (Loss)	\$ (7,076.34)	\$ 14,770.93	\$ 6,519.12	\$ 101,043.97
Items not requiring cash currently:				
Depreciation and amortization	4,857.51	24,287.55	4,871.45	24,357.25
Changes in operating assets and liabilities:				
Accounts receivable	(100,119.11)	53,078.94	49,896.44	167,829.43
Security deposit	0.00	0.00	0.00	0.00
Prepaid expenses	3,961.47	343.95	3,476.78	9,477.32
Accounts payable	(4,596.84)	(9,187.16)	13,654.78	24,563.98
Accrued real estate taxes	290.84	1,454.20	278.53	(177.70)
Due to State of Illinois	0.00	0.00	0.00	0.00
Accrued liabilities	392.31	(503.27)	(2,205.99)	(38,891.96)
Unearned revenue	(2,083.33)	(37,202.37)	(1,944.44)	(11,583.32)
Net Cash Provided By (Used in) Operating Activities	<u>(104,373.49)</u>	<u>47,042.77</u>	<u>74,546.67</u>	<u>276,618.97</u>
Cash Provided By (Used For) Investing Activities				
Net Cash Provided By (Used In)				
Cash Flows From Financing Activities				
Long term debt	<u>(1,404.74)</u>	<u>(9,345.99)</u>	<u>(9,548.16)</u>	<u>(45,919.77)</u>
Net Cash Provided By (Used In) Financing Activities	<u>(1,404.74)</u>	<u>(9,345.99)</u>	<u>(9,548.16)</u>	<u>(45,919.77)</u>
Net Increase (Decrease) In Cash And Cash Equivalents	(105,778.23)	37,696.78	64,998.51	230,699.20
Cash And Cash Equivalents At Beginning Of Period	<u>537,317.98</u>	<u>393,842.97</u>	<u>530,930.83</u>	<u>365,230.14</u>
Cash And Cash Equivalents At End Of Period	<u><u>\$ 431,539.75</u></u>	<u><u>\$ 431,539.75</u></u>	<u><u>\$ 595,929.34</u></u>	<u><u>\$ 595,929.34</u></u>
<u>Supplemental Disclosure</u>				
Interest expense	\$ 1,761.16	\$ 6,483.51	\$ 1,851.29	\$ 4,077.48

See Accountant's Compilation Report

SUPPLEMENTAL INFORMATION

**Renz Addiction Counseling Center
Schedules of Program Expenditures**

	<u>One Month Ended November 30, 2016</u>		<u>Five Months Ended November 30, 2016</u>		<u>One Month Ended November 30, 2015</u>		<u>Five Months Ended November 30, 2015</u>	
Expenditures - Program								
Salaries	\$ 75,480.14	47.1	\$ 390,508.36	41.1	\$ 77,501.59	36.3	\$ 401,597.25	6.6
Payroll taxes	5,322.73	3.3	30,979.45	3.3	5,766.83	2.7	34,734.31	0.5
Health, life, dental and disability	8,683.57	5.4	43,494.31	4.6	7,149.22	3.3	34,472.70	0.6
Contract and outside labor	7,165.60	4.5	114,840.90	12.1	43,413.59	20.3	205,212.90	3.7
Computer consulting	1,458.50	0.9	13,955.46	1.5	3,042.50	1.4	23,999.33	0.3
Insurance	3,879.74	2.4	18,856.18	2.0	2,929.00	1.4	15,278.18	0.2
Licensing and accreditation	50.00	0.0	200.00	0.0	0.00	0.0	150.00	0.0
Recruiting	26.01	0.0	336.58	0.0	202.35	0.1	602.35	0.0
Auto expense	953.50	0.6	6,600.91	0.7	1,299.42	0.6	8,957.01	0.1
Advertising	3,559.75	2.2	16,016.89	1.7	457.25	0.2	5,631.28	0.0
Conferences and conventions	270.00	0.2	270.00	0.0	0.00	0.0	569.15	0.0
Dues and subscriptions	96.99	0.1	1,868.11	0.2	335.00	0.2	3,693.81	0.0
Retirement	1,853.25	1.2	9,266.25	1.0	1,853.25	0.9	9,266.25	0.2
Educational materials	0.00	0.0	104.25	0.0	0.00	0.0	5,718.25	0.0
Training and tuition reimbursement	684.03	0.4	1,099.41	0.1	150.00	0.1	485.00	0.0
Meals and dinners	389.39	0.2	476.02	0.1	102.36	0.0	727.52	0.0
Program supplies	1,514.53	0.9	11,412.19	1.2	1,710.69	0.8	14,220.96	0.1
Office supplies	733.83	0.5	4,383.17	0.5	1,077.82	0.5	4,782.65	0.1
Postage	53.32	0.0	787.83	0.1	0.00	0.0	1,312.77	0.0
Printing	176.25	0.1	712.31	0.1	125.65	0.1	2,216.52	0.0
Rent	2,475.00	1.5	12,343.08	1.3	2,464.36	1.2	12,171.80	0.2
Repairs and maintenance	1,596.29	1.0	8,015.48	0.8	2,508.64	1.2	12,723.78	0.2
Telephone	2,378.20	1.5	12,595.74	1.3	2,694.08	1.3	13,222.64	0.2
Utilities	1,344.92	0.8	8,499.16	0.9	1,469.15	0.7	8,028.81	0.1
Legal and accounting	8,170.95	5.1	27,708.80	2.9	6,935.00	3.2	28,405.00	0.6
Travel and Entertainment	100.08	0.1	2,945.48	0.3	983.15	0.5	16,634.44	0.1
Interest expense	440.29	0.3	1,620.89	0.2	530.42	0.2	1,452.60	0.0
Bank fees	32.00	0.0	104.34	0.0	1.47	0.0	25.44	0.0
Depreciation	3,407.43	2.1	17,037.15	1.8	3,321.06	1.6	16,605.29	0.3
Equipment rent	563.31	0.4	12,441.40	1.3	2,474.75	1.2	13,052.92	0.2
	<u>\$ 132,859.60</u>	<u>82.8</u>	<u>\$ 769,480.10</u>	<u>81.1</u>	<u>\$ 170,498.60</u>	<u>80.0</u>	<u>\$ 895,950.91</u>	<u>14.5</u>

See Accountant's Compilation Report

**Renz Addiction Counseling Center
Schedules of Program Expenditures**

	<u>One Month Ended November 30, 2016</u>		<u>Five Months Ended November 30, 2016</u>		<u>One Month Ended November 30, 2015</u>		<u>One Month Ended November 30, 2015</u>	
Expenditures - administration								
Salaries	\$ 20,952.84	13.1	\$106,930.25	11.3	\$ 24,409.66	11.4	\$117,960.72	10.1
Payroll taxes	2,715.28	1.7	11,007.36	1.2	1,993.09	0.9	10,385.22	0.9
Advertising	1.00	0.0	72.90	0.0	125.00	0.1	375.00	0.0
Computer Consulting Expense	525.00	0.3	1,956.23	0.2	542.00	0.3	2,729.99	0.0
Conferences and meetings - Admin	0.00	0.0	10.00	0.0	0.00	0.0	0.00	0.0
Dues and Subscriptions - Admin	336.15	0.2	1,524.84	0.2	271.20	0.1	1,218.14	0.0
Training - Admin	600.00	0.4	600.00	0.1	0.00	0.0	69.00	0.0
Recruiting - Admin	0.00	0.0	129.17	0.0	60.00	0.0	110.00	0.0
Legal and Accounting - Admin	344.04	0.2	1,166.76	0.1	604.50	0.3	1,850.50	0.2
Licensing and Accred - Admin	0.00	0.0	120.00	0.0	0.00	0.0	0.00	0.0
Repair and maintenance - Admin	29.29	0.0	234.41	0.0	67.29	0.0	1,703.80	0.1
Telephone - Admin	468.92	0.3	2,141.41	0.2	367.48	0.2	2,297.78	0.2
Utilities - Admin	205.07	0.1	1,027.25	0.1	239.60	0.1	1,565.32	0.1
Depreciation - Admin	191.97	0.1	959.85	0.1	288.79	0.1	1,443.94	0.1
Interest expense - Admin	440.29	0.3	1,620.86	0.2	440.29	0.2	874.95	0.1
Rent equipment-Admin	0.00	0.0	549.50	0.1	42.56	0.0	170.24	0.0
Travel and Entertainment - Admin	340.77	0.2	1,507.44	0.2	15.75	0.0	58.75	0.0
Auto	250.00	0.2	1,664.53	0.2	462.40	0.2	1,879.25	0.2
Health, life, dental, and disability	943.86	0.6	3,498.17	0.4	592.41	0.3	2,174.13	0.2
Insurance	174.67	0.1	856.92	0.1	123.32	0.1	576.66	0.0
Meals and dinners	152.61	0.1	585.80	0.1	545.50	0.3	962.96	0.1
Miscellaneous	483.39	0.3	3,015.17	0.3	883.92	0.4	5,552.16	0.5
Postage	40.25	0.0	299.87	0.0	0.00	0.0	467.76	0.0
Office supplies	1,066.28	0.7	2,767.68	0.3	102.28	0.0	693.13	0.1
Contract and outside labor	0.00	0.0	282.66	0.0	0.00	0.0	724.00	0.1
Retirement	704.43	0.4	3,522.15	0.4	704.43	0.3	3,522.15	0.3
Subtotal administration expenditures	<u>30,966.11</u>	<u>19.3</u>	<u>148,051.18</u>	<u>15.8</u>	<u>32,881.47</u>	<u>15.4</u>	<u>159,365.55</u>	<u>13.6</u>
One American Way								
Outside services - OAW	0.00	0.0	0.00	0.0	0.00	0.0	23.99	0.0
Amortization Expense	58.33	0.0	291.65	0.0	58.33	0.0	291.65	0.0
Depreciation - OAW	1,199.78	0.7	5,998.90	0.6	1,203.27	0.6	6,016.37	0.5
Interest expense - OAW	880.58	0.5	3,241.76	0.3	880.58	0.4	1,749.93	0.1
Property taxes - OAW	290.84	0.2	1,454.20	0.2	278.53	0.1	1,392.65	0.1
Repair and maintenance - OAW	62.31	0.0	300.13	0.0	36.05	0.0	891.31	0.1
Utilities - OAW	342.88	0.2	1,663.46	0.2	324.30	0.2	1,978.65	0.2
Subtotal One American Way Expenditures	<u>2,834.72</u>	<u>1.6</u>	<u>12,950.10</u>	<u>1.3</u>	<u>2,781.06</u>	<u>1.3</u>	<u>12,344.55</u>	<u>1.1</u>
Total Administration Expenditures	<u>\$ 33,800.83</u>	<u>20.9</u>	<u>\$161,001.28</u>	<u>17.1</u>	<u>\$ 35,662.53</u>	<u>16.7</u>	<u>\$171,710.10</u>	<u>14.6</u>

**Renz Addiction Counseling Center
Schedules of Program Expenditures**

	<u>One Month Ended November 30, 2016</u>		<u>Five Months Ended November 30, 2016</u>		<u>One Month Ended November 30, 2015</u>		<u>Five Months Ended November 30, 2015</u>	
Revenues-Employee Assistance Services								
Contract revenue	\$ 0.00	0.0	\$ 5,201.12	100.0	\$ 0.00	0.0	\$ 5,651.12	100.0
	<u>0.00</u>	<u>0.0</u>	<u>5,201.12</u>	<u>100.0</u>	<u>0.00</u>	<u>0.0</u>	<u>5,651.12</u>	<u>100.0</u>
Expenditures - Employee Assistance Services								
Salaries-managing director	445.00	64.5	1,630.71	40.8	585.00	73.4	1,332.50	35.9
Payroll taxes	0.00	0.0	35.94	0.9	0.00	0.0	40.50	1.1
Health, life, dental and disability	96.02	13.9	490.41	12.3	87.59	11.0	433.43	11.7
Contract and outside labor	0.00	0.0	24.00	0.6	8.00	1.0	26.50	0.7
Insurance	36.04	5.2	182.15	4.6	30.83	3.9	143.75	3.9
Auto expense	0.00	0.0	0.00	0.0	0.00	0.0	7.20	0.2
Advertising	0.25	0.0	0.25	0.0	0.00	0.0	0.00	0.0
Dues and subscriptions	0.00	0.0	1,259.75	31.5	0.00	0.0	1,320.00	35.5
Supplies	3.00	0.4	17.24	0.4	0.00	0.0	6.49	0.2
Office expense	1.84	0.3	5.52	0.1	0.00	0.0	6.64	0.2
Postage	0.00	0.0	16.00	0.4	0.00	0.0	56.99	1.5
Printing	0.00	0.0	0.00	0.0	0.00	0.0	17.63	0.5
Telephone	21.72	3.1	103.66	2.6	20.46	2.6	92.15	2.5
Legal and accounting	86.01	12.5	292.44	7.3	73.00	9.2	299.00	8.0
	<u>\$ 689.88</u>	<u>100.0</u>	<u>\$ 4,058.07</u>	<u>101.5</u>	<u>\$ 804.88</u>	<u>101.0</u>	<u>\$ 3,782.78</u>	<u>101.8</u>

See Accountant's Compilation Report

**Renz Addiction Counseling Center
Schedule of Activities - Departmentalized
One Month Ended November 30, 2016**

Revenues:	Elgin	Streamwood	St Charles	Prevention - HIV	Prev-ATOD	EAS	Total
Dasa contracts	\$ 32,985.03	\$ 1,164.18	\$ 4,656.71	\$ 0.00	\$ 18,755.92	\$ 0.00	\$ 57,561.84
Medicaid and misc.	2,218.21	0.00	0.00	0.00	0.00	0.00	2,218.21
Medicaid - Managed care	6,231.27	0.00	0.00	0.00	0.00	0.00	6,231.27
Drug Free Community grant	0.00	0.00	0.00	0.00	10,416.67	0.00	10,416.67
SAMSHA - STOP grant	0.00	0.00	0.00	0.00	3,928.75	0.00	3,928.75
United Way	0.00	0.00	1,053.42	0.00	0.00	0.00	1,053.42
Lake County HIV	0.00	0.00	0.00	8,883.00	0.00	0.00	8,883.00
Cook County HIV	0.00	0.00	0.00	11,050.00	0.00	0.00	11,050.00
IDPH HIV minority grant	0.00	0.00	0.00	10,000.00	0.00	0.00	10,000.00
IDPH - Cancer prevention	0.00	0.00	0.00	2,916.67	0.00	0.00	2,916.67
IDPH - Wellness on wheels - HIV prev	0.00	0.00	0.00	4,000.00	0.00	0.00	4,000.00
Client fees	3,401.22	0.00	505.00	0.00	0.00	0.00	3,906.22
Client fees - third party	2,213.42	0.00	0.00	0.00	0.00	0.00	2,213.42
Second Chance Program	640.00	0.00	0.00	0.00	0.00	0.00	640.00
Kane County Probation	1,785.00	0.00	0.00	0.00	0.00	0.00	1,785.00
US Probation and Pretrial	1,793.00	0.00	0.00	0.00	0.00	0.00	1,793.00
Geneva 708	0.00	0.00	1,000.00	0.00	0.00	0.00	1,000.00
Geneva Community Chest	0.00	0.00	158.00	0.00	0.00	0.00	158.00
Hanover Township	0.00	5,000.00	0.00	0.00	0.00	0.00	5,000.00
St Charles Mental Health	0.00	0.00	5,333.33	0.00	0.00	0.00	5,333.33
Total revenue	51,267.15	6,164.18	12,706.46	36,849.67	33,101.34	0.00	140,088.80

See Accountant's Compilation Report

**Renz Addiction Counseling Center
Schedule of Activities - Departmentalized
One Month Ended November 30, 2016**

	<u>Elgin</u>	<u>Streamwood</u>	<u>St Charles</u>	<u>Prevention - HIV</u>	<u>Prev-ATOD</u>	<u>EAS</u>	<u>Total</u>
Expenditures:							
Salaries	43,067.64	2,081.97	2,425.90	14,711.31	13,193.32	445.00	75,925.14
Payroll taxes	3,859.97	34.45	0.00	1,089.16	339.15	0.00	5,322.73
Employee benefits	3,390.91	326.74	1,079.19	1,838.29	2,048.44	96.02	8,779.59
Retirement	1,164.98	32.51	0.00	437.17	218.59	0.00	1,853.25
Contract and outside labor	4,303.52	47.41	189.67	0.00	2,625.00	0.00	7,165.60
Computer consulting	487.48	2.20	493.82	170.00	305.00	0.00	1,458.50
Auto expense	147.25	0.00	50.85	724.17	31.23	0.00	953.50
Advertising	2,888.31	102.24	561.46	3.87	3.87	0.25	3,560.00
Conferences and conventions	0.00	0.00	0.00	0.00	270.00	0.00	270.00
Dues and subscriptions	237.54	8.97	29.90	(244.00)	64.58	0.00	96.99
Program materials	314.38	0.00	0.00	123.91	101.07	0.00	539.36
Licensing	50.00	0.00	0.00	0.00	0.00	0.00	50.00
Insurance	1,893.58	166.00	384.95	717.61	717.60	36.04	3,915.78
Training and tuition reimbursement	349.00	0.00	0.00	0.00	335.03	0.00	684.03
Legal and accounting	4,160.46	258.03	860.10	1,446.18	1,446.18	86.01	8,256.96
Meals and dinners	102.33	0.00	0.00	287.06	0.00	0.00	389.39
Program supplies	720.74	0.00	0.00	324.67	469.12	3.00	1,517.53
Interest expense	0.00	0.00	0.00	440.29	0.00	0.00	440.29
Bank fees	32.00	0.00	0.00	0.00	0.00	0.00	32.00
Office and printing	563.97	0.00	85.46	42.20	218.45	1.84	911.92
Postage	53.32	0.00	0.00	0.00	0.00	0.00	53.32
Recruiting	26.01	0.00	0.00	0.00	0.00	0.00	26.01
Rent	0.00	100.00	2,375.00	0.00	0.00	0.00	2,475.00
Repairs and maintenance	620.96	0.00	139.41	522.31	313.61	0.00	1,596.29
Telephone and utilities	2,083.12	97.05	361.99	659.06	521.90	21.72	3,744.84
Travel and entertainment	30.00	0.00	0.00	0.00	70.08	0.00	100.08
Depreciation	1,703.71	0.00	0.00	851.86	851.86	0.00	3,407.43
Equipment rental	0.00	5.53	18.42	0.00	0.00	0.00	23.95
Total expenses	<u>72,251.18</u>	<u>3,263.10</u>	<u>9,056.12</u>	<u>24,145.12</u>	<u>24,144.08</u>	<u>689.88</u>	<u>133,549.48</u>
Net program income	(20,984.03)	2,901.08	3,650.34	12,704.55	8,957.26	(689.88)	6,539.32
Administrative revenue allocated	11,701.59	403.50	997.76	3,631.53	3,239.02	201.76	20,175.16
Administrative cost allocated	(19,604.48)	(676.02)	(2,286.03)	(6,084.15)	(4,812.14)	(338.01)	(33,800.83)
Net income	<u>\$ (28,886.92)</u>	<u>\$ 2,628.56</u>	<u>\$ 2,362.07</u>	<u>\$ 10,251.93</u>	<u>\$ 7,384.14</u>	<u>\$ (826.13)</u>	<u>(7,086.35)</u>

See Accountant's Compilation Report

Renz Addiction Counseling Center
Schedule of Activities - Departmentalized
Five Months Ended November 30, 2016

Revenues:	<u>Elgin</u>	<u>Streamwood</u>	<u>St Charles</u>	<u>Prevention - HIV</u>	<u>Prevention - ATOD</u>	<u>EAS</u>	<u>Total</u>
DASA contracts	\$ 164,925.15	\$ 10,477.61	\$ 18,626.84	\$ 0.00	\$ 88,708.60	\$ 0.00	\$ 282,738.20
Medicaid and misc.	13,155.31	0.00	0.00	0.00	0.00	0.00	13,155.31
Medicaid - Managed care	24,588.76	0.00	0.00	0.00	0.00	0.00	24,588.76
Drug Free Community Grant	0.00	0.00	0.00	0.00	52,083.35	0.00	52,083.35
SAMHSA - STOP grant	0.00	0.00	0.00	0.00	7,857.50	0.00	7,857.50
CABHI	61,569.69	0.00	0.00	0.00	0.00	0.00	61,569.69
United Way	0.00	0.00	5,267.10	0.00	0.00	0.00	5,267.10
Lake County HIV	0.00	0.00	0.00	72,792.62	0.00	0.00	72,792.62
Cook County HIV	0.00	0.00	0.00	57,101.00	0.00	0.00	57,101.00
SAMHSA - SUPPORT	86,666.66	0.00	0.00	0.00	0.00	0.00	86,666.66
IDPH HIV minority grant	0.00	0.00	0.00	40,000.00	0.00	0.00	40,000.00
IDPH - Cancer Prevention	0.00	0.00	0.00	14,583.35	0.00	0.00	14,583.35
IDPH - Wellness on wheels - HIV prev	0.00	0.00	0.00	16,000.00	0.00	0.00	16,000.00
Kane County Probation	8,026.00	0.00	0.00	0.00	0.00	0.00	8,026.00
Township grants	7,500.00	0.00	0.00	0.00	0.00	0.00	7,500.00
Client fees	21,675.22	0.00	2,720.00	0.00	0.00	0.00	24,395.22
Client fees - third party	22,465.24	0.00	0.00	0.00	0.00	0.00	22,465.24
Second Chance program	1,760.00	0.00	0.00	0.00	0.00	0.00	1,760.00
US Probation and Pretrial	7,779.00	0.00	0.00	0.00	0.00	0.00	7,779.00
Geneva 708	0.00	0.00	5,000.00	0.00	0.00	0.00	5,000.00
Geneva Community Chest	0.00	0.00	790.00	0.00	0.00	0.00	790.00
Hanover Township	0.00	25,000.00	0.00	0.00	0.00	0.00	25,000.00
Employee Assistance Services revenue	0.00	0.00	0.00	0.00	0.00	5,201.12	5,201.12
Sheridan Correctional Facility	203.00	0.00	0.00	0.00	0.00	0.00	203.00
Other foundations and grants	10,000.00	0.00	0.00	4,500.00	6,145.00	0.00	20,645.00
St Charles Mental Health	0.00	0.00	26,666.65	0.00	0.00	0.00	26,666.65
Total revenue	<u>\$ 430,314.03</u>	<u>\$ 35,477.61</u>	<u>\$ 59,070.59</u>	<u>\$ 204,976.97</u>	<u>\$ 154,794.45</u>	<u>\$ 5,201.12</u>	<u>\$ 889,834.77</u>

See Accountant's Compilation Report

**Renz Addiction Counseling Center
Schedule of Activities - Departmentalized
Five Months Ended November 30, 2016**

	<u>Elgin</u>	<u>Streamwood</u>	<u>St Charles</u>	<u>Prevention - HIV</u>	<u>Prevention - ATOD</u>	<u>EAS</u>	<u>Total</u>
Expenditures:							
Salaries	\$ 227,408.91	\$ 9,943.21	\$ 10,072.52	\$ 70,915.99	\$ 72,167.73	\$ 1,630.71	\$ 392,139.07
Payroll taxes	22,055.49	266.66	359.30	5,895.86	2,402.14	35.94	31,015.39
Employee benefits	17,733.84	1,618.50	5,221.76	9,262.25	9,657.96	490.41	43,984.72
Retirement	5,824.90	162.55	0.00	2,185.85	1,092.95	0.00	9,266.25
Contract and outside labor	97,520.59	283.97	1,446.02	2,704.36	12,885.96	24.00	114,864.90
Computer consulting	8,330.37	136.10	1,048.14	1,179.06	3,261.79	0.00	13,955.46
Auto expense	2,013.61	0.00	297.93	4,113.78	175.59	0.00	6,600.91
Advertising	13,172.77	455.28	2,381.10	3.87	3.87	0.25	16,017.14
Conferences and conventions	0.00	0.00	0.00	0.00	270.00	0.00	270.00
Dues and subscriptions	1,086.53	216.40	169.60	0.00	395.58	1,259.75	3,127.86
Program materials	1,172.80	0.00	0.00	462.48	475.37	0.00	2,110.65
Training and tuition reimbursement	652.00	0.00	0.00	0.00	447.41	0.00	1,099.41
Legal and accounting	13,834.24	874.32	2,914.40	5,042.92	5,042.92	292.44	28,001.24
Licensing	200.00	0.00	0.00	0.00	0.00	0.00	200.00
Insurance	8,982.75	835.85	1,944.25	3,582.33	3,511.00	182.15	19,038.33
Meals and dinners	168.96	0.00	0.00	307.06	0.00	0.00	476.02
Program supplies	2,957.46	7.21	24.03	1,936.54	6,486.95	17.24	11,429.43
Interest expense	0.00	0.00	0.00	1,620.89	0.00	0.00	1,620.89
Bank fees	104.34	0.00	0.00	0.00	0.00	0.00	104.34
Office and printing	3,351.28	79.99	196.91	299.31	1,167.99	5.52	5,101.00
Postage	680.16	13.35	0.00	47.50	46.82	16.00	803.83
Recruiting	336.58	0.00	0.00	0.00	0.00	0.00	336.58
Rent	0.00	500.00	11,725.00	0.00	118.08	0.00	12,343.08
Repairs and maintenance	2,948.44	3.35	695.45	2,670.99	1,697.25	0.00	8,015.48
Telephone and utilities	12,137.65	354.29	2,141.16	3,803.67	2,658.13	103.66	21,198.56
Travel and entertainment	1,015.39	0.00	40.50	0.00	1,889.59	0.00	2,945.48
Depreciation	8,518.55	0.00	0.00	4,259.30	4,259.30	0.00	17,037.15
Equipment rental	5,148.75	341.14	1,137.01	1,860.90	1,947.20	0.00	10,435.00
Total expenses	<u>457,356.36</u>	<u>16,092.17</u>	<u>41,815.08</u>	<u>122,154.91</u>	<u>132,061.58</u>	<u>4,058.07</u>	<u>773,538.17</u>
Net program income	(27,042.33)	19,385.44	17,255.51	82,822.06	22,732.87	1,143.05	116,296.60
Administrative revenue allocated	34,466.84	1,188.51	1,782.77	10,696.61	10,696.61	594.26	59,425.60
Administrative cost allocated	(93,380.73)	(3,220.03)	(4,830.04)	(28,980.23)	(28,980.24)	(1,610.01)	(161,001.28)
Net income	<u>\$ (85,956.22)</u>	<u>\$ 17,353.92</u>	<u>\$ 14,208.24</u>	<u>\$ 64,538.44</u>	<u>\$ 4,449.24</u>	<u>\$ 127.30</u>	<u>\$ 14,720.92</u>

See Accountant's Compilation Report

RENZ ADDICTION COUNSELING CENTER
SUMMARY - UNAUDITED RESULTS
5 MONTHS ENDED NOVEMBER 30, 2016

Revenue Source	1-Month Ended 11/30/16	5-Months Ended 11/30/16	Budget Year-To-Date	5-Months Ended 11/30/15
Programs	\$ 140,089	\$ 884,634	\$ 805,878	\$ 1,065,595
Fundraising	1,622	10,848	8,958	6,420
EAS	0	5,201	6,021	5,651
Administrative	18,553	48,578	50,050	94,574
Total Revenues	\$ 160,264	\$ 949,260	\$ 870,907	\$ 1,172,240
Expenditures				
Programs	\$ 132,860	\$ 769,480	\$ 701,925	\$ 895,951
EAS	690	4,058	4,731	3,783
Administrative	33,800	161,001	165,918	171,710
Total Expenditures	167,350	934,539	872,574	1,071,444
Profit(Loss)	(7,086)	14,721	(1,667)	100,796
Contributions - capital campaign	10	50	1,667	248
Interest -accounts receivable	0	0	0	0
Real estate tax refund	0	0	0	0
Total Profit(Loss)	\$ (7,076)	\$ 14,771	\$ 0	\$ 101,044

Activities Departmentalized

Elgin	\$ (20,984)	\$ (27,042)
Streamwood	2,901	19,385
St. Charles	3,650	17,256
Prevention - HIV	12,705	82,822
Prevention - ATOD	8,957	22,733
EAS	(690)	1,143
Operating profit	6,539	116,297
Net administration	(13,625)	(101,576)
Profit(Loss)	(7,086)	14,721
Contributions - capital campaign	10	50
Interest -accounts receivable	0	0
Total Profit(Loss)	\$ (7,076)	\$ 14,771

Assets

Cash	\$ 431,540	\$ 595,929
Accounts receivable	657,719	486,369
Allowance for bad debt	(125,000)	(75,500)
Net property and equipment	1,827,994	1,876,762
Other assets	20,260	11,654
Total Assets	\$ 2,812,513	\$ 2,895,214

Liabilities

Current liabilities	\$ 129,858	\$ 152,545
Mortgage payable	291,375	342,936
Board designated fund balance	145,000	145,000
Fund balance	2,246,280	2,254,733
Total Liabilities and Fund Balance	\$ 2,812,513	\$ 2,895,214

**Renz Addiction Counseling Center
Statement of Activities- Budget and Actual**

**Five Months Ended
November 30, 2016**

	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Annual Budget</u>
Revenue - programs:				
DASA Contracts	\$ 282,738.20	\$ 291,041.70	\$ (8,303.50)	\$ 698,500.00
Medicaid and misc.	13,155.31	34,166.65	(21,011.34)	82,000.00
Medicaid - Managed care	24,588.76	60,000.00	(35,411.24)	144,000.00
Drug Free Community grant	52,083.35	52,083.35	0.00	125,000.00
SAMHSA -STOP grant	7,857.50	0.00	7,857.50	0.00
CABHI	61,569.69	20,420.00	41,149.69	49,008.00
United Way contracts	5,267.10	5,208.35	58.75	12,500.00
Lake County HIV	72,792.62	47,916.65	24,875.97	115,000.00
SAMHSA grant - SUPPORT	86,666.66	36,250.00	50,416.66	87,000.00
IDPH HIV minority grant	40,000.00	16,666.65	23,333.35	40,000.00
IDPH - Cancer prevention	14,583.35	6,250.00	8,333.35	15,000.00
IDPH - Wellness on wheels - HIV prev	16,000.00	0.00	16,000.00	0.00
Cook County HIV	57,101.00	60,416.65	(3,315.65)	145,000.00
Client fees	24,395.22	32,083.30	(7,688.08)	77,000.00
Client fees - third party	22,465.24	23,750.00	(1,284.76)	57,000.00
US Probation and Pretrial	7,779.00	11,666.65	(3,887.65)	28,000.00
Kane County Probation	8,026.00	6,250.00	1,776.00	15,000.00
Second Chance program	1,760.00	3,333.35	(1,573.35)	8,000.00
Township grants	7,500.00	5,833.35	1,666.65	14,000.00
Geneva 708	5,000.00	3,333.35	1,666.65	8,000.00
Geneva Community Chest	790.00	666.65	123.35	1,600.00
Hanover Township	25,000.00	29,166.65	(4,166.65)	70,000.00
School District 300	0.00	7,916.65	(7,916.65)	19,000.00
Sheridan Correctional Facility	203.00	3,333.35	(3,130.35)	8,000.00
Other foundations and grants	20,645.00	21,458.35	(813.35)	51,500.00
St Charles Mental Health	26,666.65	26,666.65	0.00	64,000.00
	<u>884,633.65</u>	<u>805,878.30</u>	<u>78,755.35</u>	<u>1,934,108.00</u>
Revenue-EAS	5,201.12	6,020.85	(819.73)	14,450.00
Revenue-Fundraising	10,847.99	8,958.30	1,889.69	21,500.00
Revenue-Administrative:				
Contributions	12,816.46	13,750.00	(933.54)	33,000.00
Interest and dividends	794.88	208.35	586.53	500.00
Rental income	0.00	3,833.35	(3,833.35)	9,200.00
One American Way rental income	13,131.81	13,125.00	6.81	31,500.00
Management fee	10,417.82	10,416.65	1.17	25,000.00
Other income	11,416.65	8,716.65	2,700.00	20,920.00
Total Revenue	<u>949,260.38</u>	<u>870,907.45</u>	<u>78,352.93</u>	<u>2,090,178.00</u>
Program expenditures	769,480.10	701,925.00	(67,555.10)	1,684,620.00
EAS expenditures	4,058.07	4,730.50	(672.43)	11,353.00
Administrative expenditures	161,001.28	165,918.80	(4,917.52)	398,205.00
Total expenditures	<u>934,539.45</u>	<u>872,574.30</u>	<u>(61,965.15)</u>	<u>2,094,178.00</u>
Increase(decrease)	14,720.93	(1,666.85)	16,387.78	(4,000.00)
Other Sources:				
Contributions-Capital Campaign	50.00	1,666.65	(1,616.65)	4,000.00
Excess revenues over expenditures	<u>\$ 14,770.93</u>	<u>\$ (0.20)</u>	<u>\$ 14,771.13</u>	<u>\$ 0.00</u>

**Renz Addiction Counseling Center
Actual vs Budgeted Expenditures**

**Five Months Ended
November 30, 2016**

Expenditures - program	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Annual Budget</u>
Salaries	\$ 390,508.36	\$ 341,250.00	\$ (49,258.36)	\$ 819,000.00
Payroll taxes	30,979.45	29,187.55	(1,791.90)	70,050.00
Health, life, dental and disability insurance	43,494.31	30,916.65	(12,577.66)	74,200.00
Contract and labor	114,840.90	93,333.30	(21,507.60)	224,000.00
Computer consulting	13,955.46	17,291.65	3,336.19	41,500.00
Recruiting	336.58	1,541.70	1,205.12	3,700.00
Auto expense	6,600.91	6,883.35	282.44	16,520.00
Advertising	16,016.89	8,583.40	(7,433.49)	20,600.00
Conferences	270.00	2,416.70	2,146.70	5,800.00
Dues and subscriptions	1,868.11	4,124.95	2,256.84	9,900.00
Retirement	9,266.25	7,958.30	(1,307.95)	19,100.00
Educational materials	104.25	6,625.00	6,520.75	15,900.00
Training and tuition teimburse	1,099.41	1,666.70	567.29	4,000.00
Meals and dinners	476.02	958.30	482.28	2,300.00
Miscellaneous program expense	11,412.19	10,041.65	(1,370.54)	24,100.00
Office supplies	4,383.17	5,333.30	950.13	12,800.00
Postage	787.83	1,458.30	670.47	3,500.00
Printing	712.31	4,208.30	3,495.99	10,100.00
Rent	12,343.08	12,000.00	(343.08)	28,800.00
Repairs and maintenance	8,015.48	11,166.70	3,151.22	26,800.00
Telephone	12,595.74	11,916.70	(679.04)	28,600.00
Utilities	8,499.16	8,125.00	(374.16)	19,500.00
Legal and accounting	27,708.80	24,125.05	(3,583.75)	57,900.00
Travel and entertainment	2,945.48	9,416.70	6,471.22	22,600.00
Insurance	18,856.18	14,895.85	(3,960.33)	35,750.00
Licensing and accreditation	200.00	4,500.00	4,300.00	10,800.00
Interest expense	1,620.89	1,791.65	170.76	4,300.00
Bank fees	104.34	41.65	(62.69)	100.00
Depreciation	17,037.15	17,416.70	379.55	41,800.00
Equipment rent	12,441.40	12,749.90	308.50	30,600.00
	<u>769,480.10</u>	<u>701,925.00</u>	<u>(67,555.10)</u>	<u>1,684,620.00</u>
Employee assistance services - expenditures				
Salaries - Managing Director - EAS	1,630.71	1,250.00	(380.71)	3,000.00
FICA Taxes - EAS	0.00	84.60	84.60	203.00
IL unemployment tax - EAS	35.94	41.65	5.71	100.00
Employee disability ins - EAS	26.30	41.65	15.35	100.00
Employee life and health ins - EAS	464.11	416.65	(47.46)	1,000.00
Outside services - EAS	0.00	208.35	208.35	500.00
Workers' comp - EAS	18.25	83.35	65.10	200.00
Mileage expense - EAS	0.00	83.35	83.35	200.00
Salaries - EAS - T. Lee	(45.00)	333.35	378.35	800.00
Salaries - EAS- R. AHLBERG	45.00	375.00	330.00	900.00
Advertising - EAS	0.25	208.35	208.10	500.00
Computer consultanting - EAS	24.00	125.00	101.00	300.00
Dues and subscriptions - EAS	1,259.75	458.35	(801.40)	1,100.00
Insurance - EAS	163.90	208.35	44.45	500.00
Legal and Accounting - EAS	292.44	291.65	(0.79)	700.00
Meals - EAS	0.00	41.65	41.65	100.00
Supplies - EAS	17.24	83.35	66.11	200.00
Office expense - EAS	5.52	20.85	15.33	50.00
Postage and Delivery - EAS	16.00	62.50	46.50	150.00
Printing - EAS	0.00	62.50	62.50	150.00
Telephone - EAS	103.66	166.65	62.99	400.00
Training - EAS	0.00	83.35	83.35	200.00
	<u>\$ 4,058.07</u>	<u>\$ 4,730.50</u>	<u>\$ 672.43</u>	<u>\$ 11,353.00</u>

**Renz Addiction Counseling Center
Actual vs Budgeted Expenditures**

**Five Months Ended
November 30, 2016**

	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Annual Budget</u>
Expenditures - administration				
Salaries-directors	\$ 106,930.25	\$ 112,500.00	\$ 5,569.75	\$ 270,000.00
Advertising	72.90	208.35	135.45	500.00
Bad debt	0.00	416.65	416.65	1,000.00
Computer Consulting Expense	1,956.23	2,500.00	543.77	6,000.00
Conferences and meetings - Admin	10.00	208.35	198.35	500.00
Dues and Subscriptions - Admin	1,524.84	1,000.00	(524.84)	2,400.00
Training - Admin	600.00	83.35	(516.65)	200.00
Recruiting - Admin	129.17	208.35	79.18	500.00
Legal and Accounting - Admin	1,166.76	1,458.35	291.59	3,500.00
Licensing and Accred - Admin	120.00	0.00	(120.00)	0.00
Repair and maintenance - Admin	234.41	1,250.00	1,015.59	3,000.00
Telephone - Admin	2,141.41	2,291.65	150.24	5,500.00
Utilities - Admin	1,027.25	1,666.65	639.40	4,000.00
Depreciation - Admin	959.85	1,625.00	665.15	3,900.00
Interest expense - Admin	1,620.86	1,041.65	(579.21)	2,500.00
Rent equipment-Admin	549.50	333.35	(216.15)	800.00
Travel and Entertainment - Admin	1,507.44	83.35	(1,424.09)	200.00
Auto	1,664.53	1,666.65	2.12	4,000.00
Health, life and dental insurance	3,498.17	3,625.00	126.83	8,700.00
Insurance	856.92	750.00	(106.92)	1,800.00
Meals and dinners	585.80	1,041.65	455.85	2,500.00
Miscellaneous	3,015.17	4,166.65	1,151.48	10,000.00
Postage	299.87	500.00	200.13	1,200.00
Office supplies	2,767.68	1,229.15	(1,538.53)	2,950.00
Contract and outside labor	282.66	1,291.70	1,009.04	3,100.00
Payroll and other taxes	11,007.36	8,897.95	(2,109.41)	21,355.00
Retirement	3,522.15	2,500.00	(1,022.15)	6,000.00
Subtotal administration	<u>148,051.18</u>	<u>152,543.80</u>	<u>4,492.62</u>	<u>366,105.00</u>
One American Way				
Outside services - OAW	0.00	41.65	41.65	100.00
Amortization Expense	291.65	291.65	0.00	700.00
Depreciation - OAW	5,998.90	6,250.00	251.10	15,000.00
Interest expense - OAW	3,241.76	2,083.35	(1,158.41)	5,000.00
Property taxes - OAW	1,454.20	1,583.35	129.15	3,800.00
Repair and maintenance - OAW	300.13	833.35	533.22	2,000.00
Utilities - OAW	1,663.46	2,291.65	628.19	5,500.00
Subtotal One American Way	<u>12,950.10</u>	<u>13,375.00</u>	<u>424.90</u>	<u>32,100.00</u>
Total administration	<u>\$ 161,001.28</u>	<u>\$ 165,918.80</u>	<u>\$ 4,917.52</u>	<u>\$ 398,205.00</u>

RENZ ADDICTION COUNSELING CENTER

**Report on Audited Financial Statements
and Other Supplementary Information**

June 30, 2016

**MANNING SILVERMAN & COMPANY
CERTIFIED PUBLIC ACCOUNTANTS
(847) 459-8850**

RENZ ADDICTION COUNSELING CENTER
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INDEPENDENT AUDITOR'S REPORT

Board of Directors
Renz Addiction Counseling Center
Elgin, Illinois

We have audited the accompanying financial statements of Renz Addiction Counseling Center (a nonprofit organization), which comprise the statement of financial position as of June 30, 2016, and the related statements of activities and changes in net assets, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Renz Addiction Counseling Center as of June 30, 2016, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

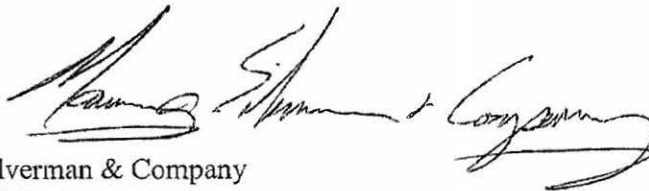
Other Matters

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements for Cost, Principles and Audit Requirements for Federal Awards (Uniform Guidance)*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 30, 2016, on our consideration of Renz Addiction Counseling Center's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Renz Addiction Counseling Center's internal control over financial reporting and compliance.

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Manning Silverman & Company
Certified Public Accountants
Lincolnshire, Illinois

October 30, 2016

RENZ ADDICTION COUNSELING CENTER
STATEMENT OF FINANCIAL POSITION
JUNE 30, 2016

ASSETS

Current Assets:	
Cash and Cash Equivalents	\$ 393,843
Accounts Receivable (Net of Allowance for Doubtful Accounts of \$125,500)	585,798
Prepaid Expenses and Deposits	20,546
Refinance Costs (Net of Amortization of \$3,150)	<u>350</u>
Total Current Assets	1,000,537
Property and Equipment:	
Land	510,028
Building and Improvements	1,898,706
Furniture and Equipment	<u>422,882</u>
Total Property and Equipment	2,831,616
Less: Accumulated Depreciation	<u>(979,626)</u>
Total Property and Equipment	<u>1,851,990</u>
Total Assets	<u>\$ 2,852,527</u>

LIABILITIES AND NET ASSETS

Current Liabilities:	
Accounts Payable	\$ 59,374
Current Portion of Long-Term Debt	300,720
Accrued Compensation	16,578
Accrued Real Estate Taxes	1,501
Accrued Vacation Pay	50,427
Unearned Revenue	45,536
Security Deposit	<u>1,881</u>
Total Current Liabilities	476,017
Long-Term Liabilities:	
First Mortgages Payable, Less Current Portion Above	<u>-</u>
Total Liabilities	476,017
Unrestricted Net Assets	<u>2,376,510</u>
Total Liabilities and Net Assets	<u>\$ 2,852,527</u>

See Independent Auditor's Report.
The accompanying notes are an integral part of these financial statements.

RENZ ADDICTION COUNSELING CENTER
STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS
FOR THE YEAR ENDED JUNE 30, 2016

Public Support and Other Revenue:

Public Support	
Federal, State and Local Governmental Agencies	\$ 2,138,922
Other Organizations	37,632
Other Revenue	
Employee Assistance Services	12,655
Client Fees and Third Party Reimbursements	235,257
Miscellaneous Income	45,948
Interest Income	198
Donations	88,667
Fundraising Events	20,542
Rental Income - One American Way	<u>31,575</u>
Total Public Support and Other Revenue	<u>2,611,396</u>

Expenses:

Program Services	
Elgin and Passage Outpatient Substance Abuse	1,303,581
Streamwood Outpatient Substance Abuse	38,434
St. Charles Outpatient Substance Abuse	107,774
Prevention - HIV	351,044
Prevention - ATOD	303,772
Employee Assistance Services	<u>7,597</u>
Total Program Services	2,112,202
Supporting Services, Management and General	406,070
Rental Expense - One American Way	<u>15,303</u>
Total Expenses	<u>2,533,575</u>

Excess of Public Support and Other Revenue over Expenses 77,821

Net Assets, Beginning of Year 2,298,689

Net Assets, End of Year \$ 2,376,510

See Independent Auditor's Report.
The accompanying notes are an integral part of these financial statements.

**RENZ ADDICTION COUNSELING CENTER
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2016**

	Program Services							Supporting Services, Management and General	Total Program and Supporting Services
	Elgin and Passage Outpatient Substance Abuse	Streamwood Outpatient Substance Abuse	St. Charles Outpatient Substance Abuse	HIV Prevention	ATOD Prevention	Employee Assistance Services	Total Program Services		
Personnel Services	\$ 555,613	\$ 22,503	\$ 28,850	\$ 146,649	\$ 182,919	\$ 3,373	\$ 939,907	\$ 275,299	\$ 1,215,206
Other Employee Expense, Including Fringe Benefits	155,235	4,142	12,048	35,286	18,053	1,133	225,897	43,229	269,126
Contract Services	266,528	857	7,404	67,376	15,741	198	358,104	1,501	359,605
Commodities	217,222	3,559	14,531	52,320	60,179	2,648	350,459	38,820	389,279
Occupancy, Including Rent	50,799	5,888	39,991	34,020	16,781	245	147,724	29,903	177,627
Bad Debt	28,214	1,485	4,950	8,512	6,684	-	49,845	1,485	51,330
Fundraising Expense	-	-	-	-	-	-	-	12,031	12,031
Total Expenses Before Depreciation and Amortization	1,273,611	38,434	107,774	344,163	300,357	7,597	2,071,936	402,268	2,474,204
Depreciation and Amortization	29,970	-	-	6,881	3,415	-	40,266	19,105	59,371
Total Expenses	<u>\$ 1,303,581</u>	<u>\$ 38,434</u>	<u>\$ 107,774</u>	<u>\$ 351,044</u>	<u>\$ 303,772</u>	<u>\$ 7,597</u>	<u>\$ 2,112,202</u>	<u>\$ 421,373</u>	<u>\$ 2,533,575</u>

See Independent Auditor's Report.
The accompanying notes are an integral part of these financial statements.

RENZ ADDICTION COUNSELING CENTER
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2016

Cash Flows from Operating Activities:	
Increase in Net Assets	\$ 77,821
Adjustments to Reconcile Increase in Net Assets to Net Cash	
Provided by (Used in) Operating Activities:	
Depreciation and Amortization	59,371
Changes in Assets and Liabilities:	
(Increase) in Accounts Receivable	(7,099)
(Increase) in Prepaid Expenses	(173)
Increase in Accounts Payable	32,337
(Decrease) in Accrued Real Estate Taxes	(1,630)
(Decrease) in Accrued Compensation and Vacation Pay	(58,330)
Increase in Unearned Revenue	<u>24,286</u>
Net Cash Provided by Operating Activities	<u>126,583</u>
Cash Flows from Investing Activities:	
Purchases of Fixed Assets	<u>(9,834)</u>
Net Cash (Used in) Investing Activities	<u>(9,834)</u>
Cash Flows from Financing Activities:	
Repayment of Mortgage	<u>(88,136)</u>
Net Cash (Used in) Financing Activities	<u>(88,136)</u>
Net Increase in Cash and Cash Equivalents	28,613
Cash and Cash Equivalents, Beginning of Year	<u>365,230</u>
Cash and Cash Equivalents, End of Year	<u>\$ 393,843</u>
Supplemental Information:	
Cash Paid for Interest	<u>\$ 12,423</u>

See Independent Auditor's Report.
The accompanying notes are an integral part of these financial statements.

RENZ ADDICTION COUNSELING CENTER
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2016

1. Organization and Nature of Operations

Renz Addiction Counseling Center is a non-profit corporation organized under the laws of the state of Illinois to specialize in the treatment of substance abuse on an outpatient basis and the delivery of prevention programming. The Center's primary service area includes northern Kane County and the northwestern portions of Cook and DuPage Counties.

2. Summary of Significant Accounting Policies

Basis of Accounting

The accounts of the Center are maintained on the accrual basis.

Information regarding the financial position and activities of the Center are reported in three classes of net assets (as applicable): unrestricted, temporarily restricted or permanently restricted, the latter two of which are based on the existence or absence of externally (donor) imposed restrictions on contributions. Accordingly, net assets of the Center and changes therein are classified and reported as follows:

- Unrestricted Net Assets – Unrestricted net assets are not subject to donor-imposed stipulations. They include all activities of the Center, except for those that are temporarily or permanently restricted by donors. Board designated amounts are part of unrestricted net assets.
- Temporarily Restricted Net Assets – Temporarily restricted net assets are subject to donor-imposed stipulations that can be removed through the passage of time (time restrictions) or actions of the Center (purpose restrictions).
- Permanently Restricted Net Assets – Permanently restricted net assets are subject to the restrictions imposed by donors who require that the principal of this class of net assets be retained in perpetuity as an endowment with only the income to be reclassified to unrestricted net assets.

Cash and Cash Equivalents

The Center considers all highly liquid investments with an initial maturity of three months or less to be cash equivalents, excluding those amounts contained in the investment portfolios.

RENZ ADDICTION COUNSELING CENTER
NOTES TO FINANCIAL STATEMENTS (Continued)
JUNE 30, 2016

Allowance for Doubtful Accounts

Accounts receivable are stated net of an allowance for doubtful accounts. The Center estimated the allowance based on its historical experience of the relationship between actual bad debts and net amounts collected.

Property and Equipment

Property and equipment is recorded at historical cost. The Center capitalizes fixed asset additions over \$1,000. Depreciation is computed using the straight-line method for all property and equipment. The estimated useful lives in computing depreciation are as follows:

<u>Description</u>	<u>Years</u>
Furniture and Office Equipment	5 - 7
Building and Improvements	39

Maintenance and repairs, which neither materially add to the value of property nor appreciably prolong the lives, are charged to expense as incurred. Gains or losses on dispositions of property and equipment are included in the statement of activities and changes in net assets.

Contributions, Grants and Contracts

All contributions are considered to be available for unrestricted use unless specifically restricted by the donor, grantor or contracting agency. Amounts received that are designated for future periods or are restricted for specific purposes are reported as temporarily restricted or permanently restricted support that increases those net asset classes. Unconditional promises to give, which do not state a due date, are presumed to be unrestricted net assets.

A donor restriction expires when a stipulated time restriction ends, when an unconditional promise with an implied time restriction is collected, or when a purpose restriction is accomplished. Upon expiration, temporarily restricted net assets are reclassified to unrestricted net assets and are reported in the statement of activities and changes in net assets as net assets released from restrictions. Permanently restricted net assets include the principal amount of contributions accepted with the stipulations from the donors that the principal be maintained in perpetuity, with only the income therefrom to be expended for either general purposes or a purpose specified by the donor.

RENZ ADDICTION COUNSELING CENTER
NOTES TO FINANCIAL STATEMENTS (Continued)
JUNE 30, 2016

Functional Allocation of Expenses

The costs of providing the various programs and other activities have been summarized on a functional basis in the statement of activities and changes in net assets. Expenses that are easily and directly associated with a particular program or supporting service are allocated directly to that functional category. Certain costs have been allocated among the programs and supporting services benefited based on time devoted to the functional areas and other appropriate methods.

Income Taxes

The Center is exempt from federal and state income taxes under Section 501(c)(3) of the Internal Revenue Code and is not considered to be a private foundation. It is also required to recognize or derecognize in its financial statements positions taken or expected to be taken in a tax return on a "more likely than not" threshold. The Center does not believe its financial statements include any uncertain tax positions. The Center's income tax filings for the years 2013 and thereafter remain subject to examination by the Internal Revenue Service.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the relevant period. Actual results could differ from those estimates.

3. Concentrations of Credit Risk

Certain financial instruments potentially subject the Center to concentrations of credit risk. These financial instruments consist primarily of cash and cash equivalents and receivables. The Center maintains its cash in various bank deposit accounts which, at times, may exceed federally insured limits. The Center has not experienced any losses in such accounts. Concentrations of credit risk with respect to receivables are generally diversified due to the large number of entities and individuals composing the Center's program and donor base. The Center performs ongoing credit evaluations and writes off uncollectible amounts as they become known.

RENZ ADDICTION COUNSELING CENTER
NOTES TO FINANCIAL STATEMENTS (Continued)
JUNE 30, 2016

4. Support from Governmental Units and Contingencies

The Center receives a significant amount of its support from federal, state and local governments. A substantial reduction in the level of this support, if it were to occur, could have a significant effect on the Center's programs and activities.

Various programs are subject to review by government agency grantors or their representatives. The reviews of certain of these programs for the year ended June 30, 2016 have not been conducted. Accordingly, the Center's compliance with applicable requirements will be established at some future date. The amount, if any, of expenditure that may be disallowed by the government agencies cannot be determined at this time, although the Center expects such amounts, if any, to be immaterial.

5. Property and Equipment

Renz Addiction Counseling Center purchased an adjacent property at One American Way for \$928,978 on November 30, 2007. The building units are leased to a non-profit governmental unit and a for-profit insurance office. The lease with the non-profit governmental unit terminates as of September 30, 2016. The lease with the insurance company will be terminated as of January 31, 2019. As there is a for-profit component in the rents received, the building is partially subject to real estate tax liability and potentially some unrelated business income tax liability.

6. First Mortgages Payable

The original mortgage loan of \$735,011 to purchase the One American Way building was refinanced as of January 20, 2013 at 4.68%. The new maturity date of this loan is January 20, 2017 and the loan is secured by the property. The Board approved a pay down of the loan by \$384,497 as of January 1, 2014. The loan balance as of June 30, 2016 was \$300,720.

The Center borrowed \$257,474 at 5.625% on June 1, 2011 to remodel the building at Two American Way. This loan has a maturity date of May 1, 2016 and is secured by the property. The loan balance as of June 30, 2016 was \$0.

Scheduled maturities of the obligations are as follows:

<u>Year Ending</u> <u>June 30,</u>	<u>Amount</u>
2017	<u>\$ 300,720</u>
Total	<u>\$ 300,720</u>

RENZ ADDICTION COUNSELING CENTER
NOTES TO FINANCIAL STATEMENTS (Continued)
JUNE 30, 2016

7. Operating Leases

The Center rents office space located in St. Charles, Illinois and equipment under various operating leases with unrelated parties. Rent expense for the year ended June 30, 2016 was \$50,122.

Future minimum lease obligations under the St. Charles non-cancelable office lease are as follows:

<u>Year Ending</u> <u>June 30,</u>	<u>Amount</u>
2017	\$ 30,725
2018	<u>7,125</u>
Total	<u>\$ 37,850</u>

8. Subsequent Events

Subsequent events have been evaluated through October 30, 2016 which is the date the financial statements were available to be issued; there are no subsequent events requiring recognition and/or disclosure.

SUPPLEMENTARY INFORMATION



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**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Board of Directors
Renz Addiction Counseling Center
Elgin, Illinois

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Renz Addiction Counseling Center (a nonprofit organization), which comprise the statement of financial position as of June 30, 2016, and the related statements of activities and changes in net assets, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 30, 2016.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered Renz Addiction Counseling Center's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Renz Addiction Counseling Center's internal control. Accordingly, we do not express an opinion on the effectiveness of the Organization's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

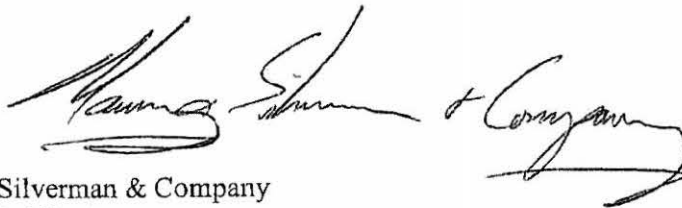
Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Renz Addiction Counseling Center's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Organization's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Organization's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

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Manning Silverman & Company
Certified Public Accountants
Lincolnshire, Illinois

October 30, 2016



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**INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH
MAJOR PROGRAM AND ON INTERNAL CONTROL
OVER COMPLIANCE REQUIRED BY THE UNIFORM GUIDANCE**

Board of Directors
Renz Addiction Counseling Center
Elgin, Illinois

Report on Compliance for Each Major Federal Program

We have audited Renz Addiction Counseling Center's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of Renz Addiction Counseling Center's major federal programs for the year ended June 30, 2016. Renz Addiction Counseling Center's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of Renz Addiction Counseling Center's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements contained in Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements for Cost, Principles and Audit Requirements for Federal Awards (Uniform Guidance)*. Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An

audit includes examining, on a test basis, evidence about Renz Addiction Counseling Center's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of Renz Addiction Counseling Center's compliance.

Opinion on Each Major Federal Program

In our opinion, Renz Addiction Counseling Center complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2016.

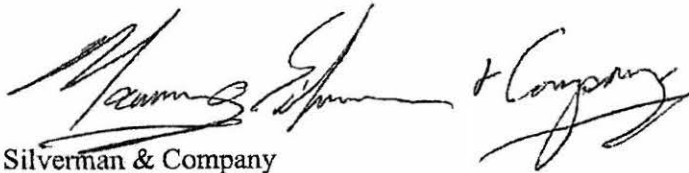
Report on Internal Control over Compliance

Management of Renz Addiction Counseling Center is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Renz Addiction Counseling Center's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Renz Addiction Counseling Center's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

A handwritten signature in cursive script, appearing to read "Manning Silverman & Company", written in dark ink.

Manning Silverman & Company
Certified Public Accountants
Lincolnshire, Illinois

October 30, 2016

**RENZ ADDICTION COUNSELING CENTER
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2016**

<u>Pass-Through Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-Through Number</u>	<u>Federal Disbursements/ Expenditures</u>
<u>MAJOR PROGRAMS</u>			
Passed Through Illinois Department of Human Services, Office of Alcoholism and Substance Abuse:			
Department of Health and Human Services:			
Substance Abuse and Mental Health Services	93.243		\$ 551,250
Directly Received from CABHI:	93.243		204,173
			<u>755,423</u>
<u>NON-MAJOR PROGRAMS</u>			
Department of Health and Human Services:			
Drug Free Community	93.276		93,750
Title XX Block Grant	93.667		2,133
Passed Through Illinois Department of Public Health			
Cook County HIV Grant	93.940		140,000
Cancer Prevention	93.940		17,500
IDPH-Minority Grant	93.940		40,000
IDPH-RX Category 2	93.940		65,322
			<u>262,822</u>
Passed Through Illinois Department of Human Services, Office of Alcoholism and Substance Abuse:			
Substance Abuse Prevention and Treatment			
Block Grant	93.959		209,858
Block Grant	93.959		235,742
			<u>445,600</u>
Total			<u>\$ 1,559,728</u>

1. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards of Renz Addiction Counseling Center is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of the OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations." Therefore, the amounts presented in this schedule may differ from amounts presented in, or used in preparation of, the basic financial statements.

2. Amounts Provided to Subrecipients

None

3. Non-Cash Assistance, Insurance, Loans or Guarantees

None

See Independent Auditor's Report.

**RENZ ADDICTION COUNSELING CENTER
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2016**

SECTION 1—SUMMARY OF AUDITOR'S RESULTS

Financial Statements

Type of auditor's report issued: Unqualified

- Material weaknesses identified? _____ Yes X No
- Significant deficiencies identified that are not considered to be material weaknesses? _____ Yes X No
- Noncompliance material to financial statements noted? _____ Yes X No

Federal Awards

Internal control over major programs:

- Material weaknesses identified? _____ Yes X No
- Reportable conditions identified that are not considered to be material weaknesses? _____ Yes X No

Type of auditor's report issued on compliance for major programs: Unqualified

Are audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? _____ Yes X No

Identification of major programs:	Name of Federal Program or Cluster
<u>CFDA Numbers</u>	<u>Substance Abuse and Mental Health</u>
93.243	

Dollar threshold used to distinguish between Type A and Type B programs: \$750,000

Auditee qualified as low-risk auditee: X Yes _____ No

**RENZ ADDICTION COUNSELING CENTER
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2016**

SECTION 2 – FINANCIAL STATEMENT FINDINGS

None

SECTION 3 – FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

None

SECTION 4 – PRIOR AUDIT FINDINGS

None



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INDEPENDENT AUDITOR'S REPORT ON GRANT REPORT

Board of Directors
Renz Addiction Counseling Center
Elgin, Illinois

Our audit was made for the purpose of forming an opinion on the basic financial statements of Renz Addiction Counseling Center as of June 30, 2016 and for the year then ended, taken as a whole. The accompanying Grant Report for the year ended June 30, 2016 on pages 22 - 23 is presented for the purpose of additional analysis as required by the Illinois Department of Human Services and is not a required part of the basic financial statements. However, such information has been subjected to the auditing procedures applied in our audit of the basic financial statements, and, in our opinion, is fairly stated in all material respects, in relation to the basic financial statements taken as a whole.

The additional information on pages 24 - 27 marked "Unaudited," has not been subjected to auditing procedures applied in the audit of the basic financial statements and, accordingly, we express no opinion on it.



Manning Silverman & Company
Certified Public Accountants
Lincolnshire, Illinois

October 30, 2016



State of Illinois
Department of Human Services

Grant Allowable Cost Summary

GRANT REPORT for the period July 1 through June 30, 2016

AGENCY NAME: Rsnz Addiction Counseling Center

FEIN: 36-2447195

	Program Name/Number/Contract Number/Other Identification	DHS GRANT - FUNDED SERVICES					All other Programs	Mgmt. & General	Total
		Program 1	Program 2	Program 3	Program 4	Program 5			
		FCSUP0150							
A	Direct Program expenses	183,722					1,888,214	402,268	2,474,204
B	Allocate Management and General Costs (Note 1)	26,136					376,132	402,268	-0-
C	SUBTOTAL A & B	209,858					2,264,346	-0-	2,474,204
D	Subtract Unallowable costs per page 2								
E	Add other approved uses (attach documentation)								
F	TOTAL Allowable costs								
G	Special provisions (see instructions)								
H	Interest Earned (see instructions)								

NOTE 1: Management and General costs are allocated based on: ☒ direct salaries, ☐ total direct costs, ☐ other basis (attach explanation)

Page 1 of 2

IL444-2581 (R-4-10)

Page 1 of 1

See Independent Auditor's Report on Information Accompanying Audited Financial Statements.
The accompanying notes are an integral part of these financial statements.



State of Illinois - Department of Human Services

UNALLOWABLE COST REPORTAgency Name: Renz Addiction Counseling Center FEIN: 36-2447195

Program Name/Number/Contract Number	DHS Grant-Funded Services				
	Program 1	Program 2	Program 3	Program 4	Program 5
	FCSUP01501				

Unallowable Costs (see instructions)					
Compensation of Governing Body					
Entertainment					
Association Dues					
Meetings and Conventions					
Fundraising					
Bad Debt					
Charity and Grants					
Unallowable Interest					
Inventories					
Depreciation of DHS-funded Assets					
Cost of Production					
In-Kind Expenses					
Alcoholic Beverages					
Personal Automobile					
Fines and Penalties					
Personal Use Items					
Lobbying					
Unallowable Relocation					
Gratuities					
Political Contributions					
Related Party Transactions					
Costs Where a Conflict of Interest Exists					
Unallowable Cost if Program is Federally Funded or Cost Restricted by Contract (See instructions)					
Explain:					
Explain:					
Total Unallowable Costs (to line D of Grant Report) - See below if None	NONE				

If no unallowable costs are listed, sign and date as follows:

I certify that no unallowable costs are included in either direct costs or allocated Management and General costs on the Grant Report.

Signature: [Signature] Date: 10/11/18Printed Name and Title: Jerry Skogmo, Executive Director

Reset Form

Page 2 of 2

IL 444-2082 (R-4-10)

Page 1 of 1

See Independent Auditor's Report on Information Accompanying Audited Financial Statements.
The accompanying notes are an integral part of these financial statements.

Provider Demographic Information

C

Please confirm/correct the information below, fill in blanks when applicable, and
post this form to the CRV with your financial submission

Note: Information on this form is used by the DHS Office of Contract Administration to fulfill various administrative responsibilities. Changes to information on this form will not affect information used by other offices within DHS.

Change to Information

FEIN 362447195

Provider RENZ ADDICTION COUNSELING CENTER

DBA

Fiscal Year End 6/30/2015

6/30/16

Not For Profit Yes

Mark your required forms ☒ IRS 990 ☒ AG 990 IL ☐ 990T

For Profit No

Taxpayer Type* Corporation, other than hospital

Contact JERRY SKOGMO, MA

Title EXECUTIVE DIRECTOR

Address Line 1 1 AMERICAN WAY

Address Line 2

City, State, Zip ELGIN, IL 60120

Phone (847) 742-3545 **Ext.** 232

FAX (847) 697-5583

EMail Primary JSKOGMO@RENZCENTER.org

EMail Secondary renzcenter@renzcenter.org

* Valid Taxpayer Types are: Corporation, other than hospital
Hospital
Individual
Partnership
Regional Office of Education
School District
Sole Proprietorship
Unit of State Government
Unit of County Government
Unit of Municipal Government
University, College or Junior College

Medical Corporation
Other Governmental Unit
Estate or Trust
Pharmacy-Non Corporate
Nonresident Alien
Pharmacy/Funeral Home/Cemetery Corp.
Tax Exempt
Limited Liability Company

Unaudited

PERSONNEL/ADMINISTRATIVE INFORMATION

Please complete this form and return to DHS with your financial report submission.

Agency Name: Renz Addiction Counseling Center FEIN #: 36-2447195
 Number of employees in agency on the last day of most recent fiscal year? 45
 Number of newly hired employees during the most recent fiscal year? 4
 Number of budgeted vacancies on the last day of most recent fiscal year? 0

Supplemental Fiscal Information

Please list the names and titles of all bonded employees:

<u>Name</u>	<u>Title</u>
_____	_____
_____	_____
_____	_____
_____	_____

Please list the names and titles of all employees issued corporate credit cards:

<u>Name</u>	<u>Title</u>
<u>Jerry Skogmo</u>	<u>Exec. Director</u>
<u>Lora Tovar</u>	<u>Dir. of Admin Svc.</u>
<u>Deb Howe</u>	<u>Marketing Director</u>
<u>Ricardo Basca</u>	<u>Prevention Specialist</u>
<u>Catherine Stauffer</u>	<u>HIV Prevention Specialist</u>
<u>Colleen Koncilja</u>	<u>Dir. of Clinical Svc.</u>

Please list the current members of the Board of Directors and their terms, identifying the officers:

<u>Name</u>	<u>Title</u>
<u>see attached board listing</u>	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

[Please attach additional pages, if necessary, or a separate listing]

Please indicate the frequency of the entity's Board of Directors= regular meetings: monthly

Please give the dates of the three (3) most recent Board meetings:

Meeting Date(s)
September 1, 2016
August 4, 2016
June 2, 2016

May 2008

Unaudited

RENZ ADDICTION COUNSELING CENTER - CONFIDENTIAL BOARD OF DIRECTORS LIST

Name	Tel/Fax/Cell	Email	Yrs/Term Served	Begin Term	End Term	Mailing Address
Brian Monson President	O: 847-653-7359 C: 815-289-8015 F:	B.Monson@mbfinancial.com	6/2	Dec 2010 Pres. - 6/5/14	Dec 2016	1443 Woodscreek Circle Crystal Lake, IL 60014
Sherry Totzke Vice President	O: 847-240-4492 H: 630-289-5760 F: 847-240-8050 C: 630-561-8234	stotzke@sbcglobal.net sheryl.totzke@zurichna.com	0/1 VP - 10/2016	Jan 2016	Jan 2019	133 McCabe Streamwood, IL 60107
Michael Walsh Secretary/Treasurer	H: C: 847-528-6410	mow345@cox.net	7/3	Apr 2009 New term - 6/5/14	Apr 2018	2934 Pine Valley Dr Miramar Beach, FL 32550
Patricia Arroyo	O: 847-695-3200 ext. 5179 F: 847-931-5550 C: 847-942-7786	Patricia.Arroyo@PresenceHealth.org	6/2	April 2011	April 2017	77 North Airline Street Elgin, IL 60123-4912
Donna Kruse	O: 224-783-8088 H: 847-464-1264	donna.kruse@advocatehealth.com	0/1	Jan 2016	Jan 2019	9N169 N. Muirhead Rd Elgin, IL 60124
James Lamkin	O: 847-348-7006 F: 847-923-2384 H: 224-535-8291 C: 630-514-2120	jlamkin@ci.schaumburg.il.us	6/3	June 2010	June 2019	1221 Falcon Ridge Drive Elgin, IL 60124
Claudia Martinez Carter	O: 630-213-5500 H: 314-497-4951 C: 314-497-4951	claudiamartinezcarter@u-46.org	5/2	Oct. 2011	Oct. 2017	2070 Clearwater Elgin, IL 60123
Laura Newman	O: 630-454-2050 F: 630-454-2001 H: 630-879-1954 C: 312-339-9179	lnewmz@gmail.com	3/1	Dec. 2013	Dec. 2016	345 N. Batavia Ave. Batavia, IL 60510
Greg Pratt	O: 630-587-8722 H: 630-444-0639 F: 630-587-8869	gregpratt@yahoo.com	2/1	July 2014	July 2017	104 N. 4th Ave St. Charles, IL 60174
Linda Siete	O: 847-806-1411 H: 847-888-8234 F: 847-806-1112	linda.siete@reflejos.com	5/2	June 2011	June 2017	155 E. Algonquin Rd. Arlington Heights, IL 60005
Bhopal Singh	O: 847-248-2133 H: 847-854-4296 C: 630-347-1600	B57592@yahoo.com	2/1	Sept. 2014	Sept. 2017	750 Majestic Dr. Algonquin, IL 60102

Updated 8/29/16

C

DHS FY16 FINANCIAL REPORTING CHECKLIST
THIS FORM MUST BE SIGNED AND POSTED WITH REPORTING PACKAGE

Provider Name: RENZ ADDICTION COUNSELING CENTER

FED#: 362447195

Funding Date of Provider's Fiscal Year: 6/30/2016

Report Due Date: 12/27/2016

Name of Provider Contact: JERRY SKOGMO, MA

Phone #: (887) 742-3543

The items marked with "X" below should be included in the Provider's financial reporting package submitted to DHS.
Please see attachment for proper naming instructions

ADMINISTRATION / AGENCY INFORMATION

- ☒ Personnel/Administrative Information Form
- ☒ Provider Demographics Information Form
- ☒ Signed DHS FY16 Financial Reporting Checklist

AUDITED FINANCIALS / SINGLE AUDIT

- ☒ Independently Audited Financial Statements in Accordance with Government Auditing Standards
- ☒ Single Audit Report and Data Collection Form (Not For Profit Only) Note: If there is an "X" next to this item, your agency is assumed to have expended \$750,000 or more in Federal funds from all sources and you are required to submit to DHS an audit in compliance with 2 CFR 200 unless you declare exemption by completing the required waiver located on the DHS website.
- ☐ Program Specific Audit (For Profit Only) Note: If there is an "X" next to this item, your agency is assumed to have expended \$750,000 or more in Federal funds from DHS and you are required to submit to DHS an audit in compliance with 2 CFR 200 unless you declare exemption by completing the required waiver located on the DHS website.
- ☐ We expended less than \$750,000 in Federal funds from all sources, so are not required to do a Single Audit or Program Specific Audit. We understand that by waiving the requirements to do a Single Audit we are now subject to submit an Independent Auditor's "In Relation To" Opinion and, if applicable, the same type of opinion or report on the Grant Report

CONSOLIDATED FINANCIAL REPORT

- ☒ CFR Schedule 1: Schedule of Program Costs
- ☒ CFR Schedule 2: Schedule of Program Revenues
- ☒ CFR Schedule 3: Program Personnel
- ☒ CFR Schedule 4: Program Consultant and Contractual
- ☒ CFR Schedule 5: Report of Service Units/Days/Nights
- ☐ Independent Auditor's "In Relation To" Opinion on CFR Schedule of Program Costs and Schedule of Program

GRANT REPORT

- ☒ Grant Report
- ☐ Independent Auditor's "In Relation To" Opinion on Grant Report
- ☐ Independent Auditor's Report on Applying Agreed-Upon Procedures on Grant Report

IRS FORMS

- ☒ IRS Return or Report (IRS 990, 990 EZ, 990 PF or other)
- ☒ AG 990 IL
- ☒ 990T (UBIT), if applicable

Management Certification

By signing this report, I certify to the best of my knowledge and belief that these reports are true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal/State awards. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise.

Signature of CEO or CMO

Date

11/3/16

Signature of Board President or Board Treasurer

Date

11/3/16

Unaudited



175 Olde Half Day Rd, Suite 290
Lincolnshire, IL 60069
P: (847) 459-8850
F: (847) 537-8954
www.manningsilverman.com

**INDEPENDENT AUDITOR'S REPORT ON AGREED-UPON PROCEDURES TO
REVIEW GRANT REPORT AND CFR SCHEDULE OF PROGRAM COSTS**

Office of Contract Administration
Illinois Department of Human Services
222 South College, Second Floor
Springfield, Illinois 62704

We have performed the procedures enumerated below, which were agreed to by management of Renz Addiction Counseling Center and the Manager, Office of Contract Administration, Illinois Department of Human Services (DHS). These procedures were performed solely to assist DHS in evaluating the accompanying Grant Report and CFR Schedule of Program Costs of Renz Addiction Counseling Center for the year ended June 30, 2016. This agreed-upon procedures engagement was performed in accordance with standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of DHS. Consequently, we make no representation regarding the sufficiency of the procedures described below, either for the purpose for which this report has been requested or for any other purpose.

We have obtained DHS' Instructions for preparing the Grant Report and CFR Schedule of Program Costs and reviewed the ledgers used for recording Direct Program Expenses and Management and General Costs against the DHS Instructions to determine whether costs were reasonably related to the cost of delivering the program services and properly classified as direct or indirect costs. Any exceptions have been noted.

We have verified that the Method of Allocation (Row B) used for Management and General Costs identified in "Note 2" was the method actually used in the completion of the Grant Report.

We have compared the Detailed List of Unallowable Costs (Part 2 of 2) to the DHS Instructions to determine if they were properly classified. Any exceptions have been noted.

We have mathematically checked all rows and columns on the entire Grant Report and CFR Schedule of Program Costs.

We are not engaged to, and did not perform an audit, the objective of which would be the expression of an opinion on the Grant Report and CFR Schedule of Program Costs. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is designed for the use of the management of Renz Addiction Counseling Center, its Board of Directors and DHS, and should not be used by those who have not agreed to the procedures and taken responsibility for the sufficiency of the procedures for their purpose. However, this report is a matter of public record and its distribution is not limited.

A handwritten signature in cursive script, appearing to read "Manning Silverman & Company", is written over the printed name of the firm.

Manning Silverman & Company
Certified Public Accountants
Lincolnshire, Illinois

October 30, 2016

Renz Addiction Counseling Center **Year - End FY2016 - Schedule of Program Costs**

Account Title	Agency Total	All Other Not Allocated	ADDITIONAL PRESENTATION COMPREHENSIVE E
Program Expenses			FCS/Supervise
1. Program Staff Salaries	938,967.00	827,487.00	112,500.00
2. Program Clerical Staff Salaries	0.00	0.00	0.00
3. Program Payroll Taxes and Fringe Benefits	77,051.00	43,340.00	33,711.00
4. Program Consultants	0.00	(8,320.00)	8,320.00
5. Consumer Wages and Fringe Benefits	0.00	0.00	0.00
6. Medicine and Drugs	0.00	0.00	0.00
7. All Other Program Equipment and Supplies	442,563.00	432,242.00	10,321.00
8. Staff Transportation	23,247.00	20,250.00	2,997.00
9. Client Transportation	0.00	0.00	0.00
10. Transportation To / From School	0.00	0.00	0.00
11. Direct Service Staff Conferences & Conventions	0.00	0.00	0.00
12. Program Insurance	0.00	0.00	0.00
13. Direct Client Specific Assistance	0.00	0.00	0.00
14. Telecommunication Costs Assigned to Program	0.00	0.00	0.00
15. Forfeiture Payments	0.00	0.00	0.00
16.1. Other (contractual)	463,327.00	458,603.00	3,518.00
16.2.	0.00	0.00	0.00
16.3.	0.00	0.00	0.00
16.4.	0.00	0.00	0.00
17. Total Program Expenses	1,946,557.00	1,774,983.00	171,764.00
Support Expenses			
18. Support Salaries	0.00	0.00	0.00
19. Support Payroll Taxes and Fringe Benefits	0.00	0.00	0.00
20. Dietary Supplies	0.00	0.00	0.00
21. Housekeeping and Laundry Supplies	0.00	0.00	0.00
22.1. Other (Specialty)	0.00	0.00	0.00
22.2.	0.00	0.00	0.00
22.3.	0.00	0.00	0.00
22.4.	0.00	0.00	0.00
23. Total Support Expenses	0.00	0.00	0.00
Occupancy Expenses			
24. Occupancy Salaries	0.00	0.00	0.00
25. Occupancy Payroll Taxes and Fringe Benefits	0.00	0.00	0.00

10/27/2016

See Independent Auditor's Report on Agreed-Upon Procedures to Review Grant Report and CFR Schedule of Program Costs

Renz Addiction Counseling Center
Year - End FY2016 - Schedule of Program Costs

Account Title	Agency Total	All Other Not Allocated	ADDITION PREVENTION COMPREHENSIV E FCSUP01502
26. Building & Equip. Operations and Maintenance	0.00	0.00	0.00
27. Vehicle Depreciation	0.00	0.00	0.00
28. All Other Depreciation & Amortization	0.00	0.00	0.00
29. Vehicle Rent	0.00	0.00	0.00
30. All Other Leases / Rent / Taxes	0.00	0.00	0.00
31. Equipment Under \$500	0.00	0.00	0.00
32. Mortgage & Installment Interest	0.00	0.00	0.00
33. Operating Interest	0.00	0.00	0.00
34.1. Other (See Agency and Admin)	119,200.00	77,106.00	38,094.00
34.2.	0.00	0.00	0.00
34.3.	0.00	0.00	0.00
34.4.	0.00	0.00	0.00
35. Total Occupancy Expenses	119,200.00	77,106.00	38,094.00
Admin & Office Expenses			
36. Administrative Salaries	275,239.00	275,239.00	0.00
37. Administrative Payroll Taxes and Fringe Benefits	34,945.00	34,945.00	0.00
38. Administrative Consultants	0.00	0.00	0.00
39. Telecommunication Costs Not Assigned to Program	0.00	0.00	0.00
40. Office Supplies and Equip	0.00	0.00	0.00
41. Indirect Costs	0.00	0.00	0.00
42.1. One American Way Expenses	30,942.00	30,942.00	0.00
42.2. Other (Specify)	72,161.00	72,161.00	0.00
42.3.	0.00	0.00	0.00
42.4.	0.00	0.00	0.00
42.5.	0.00	0.00	0.00
43. Total Admin & Office Expenses	412,447.00	412,447.00	0.00
44. Total Expenses (Sum lines 17, 23, 35, 43)	2,474,204.90	2,294,346.00	209,858.90
45. Depreciation on DMH/DD Funded Capital Assets Included Above	0.00	0.00	0.00
46. Cost of Production and Workshop Client Waivers Included Above	0.00	0.00	0.00
47.1. Other (Specify)	0.00	0.00	0.00
47.2.	0.00	0.00	0.00
47.3.	0.00	0.00	0.00

10-27-2016

See Independent Auditor's Report on Agreed-Upon Procedures to Review
Grant Report and CFR Schedule of Program Costs

Renz Addiction Counseling Center
Year - End FY2016 - Schedule of Program Costs

Account Title	Agency Total	All Other Not Allocated	ADDITIONAL PREVENTION COMPREHENSIV E FESLP01502
47.4	0.00	0.00	0.00
48. 1000 Non-Programs/Exercises	0.00	0.00	0.00
49. Net Expenses (Line 44 minus Line 48)	2,474,204.00	2,284,346.00	204,858.00

See Independent Auditor's Report on Agreed-Upon Procedures to Review
Grant Report and CFR Schedule of Program Costs

Renz Addiction Counseling Center
Year - End FY2016 - Schedule of Program Revenue

Last Four Digits FB# 7106
 OLANS: 160627007

Account Title	Agency Total	All Other Not Allocated	ADDICTION PREVENTION COMPREHENSIV E FGSUP01502
Fees + Purchase of Service			
1. Department of Aging	0.00	0.00	0.00
2. Department of Children and Family Services	0.00	0.00	0.00
3. Department of Corrections	0.00	0.00	0.00
4. Medicaid Rehab Option (MRO) Payments	0.00	0.00	0.00
5. Department of Human Services	0.00	0.00	0.00
6. Department of Public Aid	0.00	0.00	0.00
7. Department of Public Health	0.00	0.00	0.00
8. Local Education Agency/ School District	0.00	0.00	0.00
9. Local Government	0.00	0.00	0.00
10. Federal Government	0.00	0.00	0.00
11. Other Government Agencies	0.00	0.00	0.00
12. Client / Family Program Fees (incl. SSI, SSA, pensions, etc.)	0.00	0.00	0.00
13. Special Service Fees for Individual Clients	0.00	0.00	0.00
14. Diagnostic Service Fees	0.00	0.00	0.00
15.1. Other (Specify)	0.00	0.00	0.00
15.2.	0.00	0.00	0.00
15.3.	0.00	0.00	0.00
15.4.	0.00	0.00	0.00
16. Total Fees + Purchase of Service	0.00	0.00	0.00
Grant Revenues			
17. Department of Aging	0.00	0.00	0.00
18. Department of Children and Family Services	0.00	0.00	0.00
19. Department of Corrections	0.00	0.00	0.00
20. Donated/Certified Funds Initiative (DFI / CFI)	0.00	0.00	0.00
21. Department of Human Services	209,585.00	0.00	209,585.00
22. Department of Public Aid	0.00	0.00	0.00
23. Department of Public Health	0.00	0.00	0.00
24. Local Education Agency/ School District	0.00	0.00	0.00
25. Local Government Awards	0.00	0.00	0.00
26. Federal Government Awards	0.00	0.00	0.00
27. Other Government Awards	0.00	0.00	0.00
28. JTPA / CETA	0.00	0.00	0.00
29.1. DASA	421,564.00	421,564.00	0.00

10-27-2016

Page 1 of 2

Unaudited
 33

Renz Addiction Counseling Center
Year - End FY2016 - Schedule of Program Revenue

Last Four Digits FPD#: 7115
 CUNS: 90621007

Account Title	Agency Total	All Other Not Allocated	ADDITION PREVENTION COMPREHENSIV E FCSUPC1502
28.2. PROGRAM GRANTS AND OTHER INCOME	1,786,326.00	1,786,326.00	0.00
29.3.	0.00	0.00	0.00
29.4.	0.00	0.00	0.00
29.5.	0.00	0.00	0.00
30. Total Grant Revenues	2,417,475.00	2,207,890.00	209,585.00
Contribution & Other			
31. Restricted to Operations	0.00	0.00	0.00
32. Restricted to Capital	0.00	0.00	0.00
33. Unrestricted	0.00	0.00	0.00
34. Contributions - Goods and Services	0.00	0.00	0.00
35. Child & Adult Food Programs (school meals, commodities)	0.00	0.00	0.00
36. School Transportation Payments (to/from school)	0.00	0.00	0.00
37. Sales of Goods and Services	0.00	0.00	0.00
38. Rent Income	31,574.00	31,574.00	0.00
39. Gain on Sale of Assets	0.00	0.00	0.00
40. Cafeteria and Vending Machine	0.00	0.00	0.00
41.1. Contributions	88,401.00	88,401.00	0.00
41.2. Employee Assistance	12,652.00	12,652.00	0.00
41.3. Fundraisers	8,520.00	8,520.00	0.00
41.4. Other Income	52,774.00	52,774.00	0.00
41.5.	0.00	0.00	0.00
41.6.	0.00	0.00	0.00
41.7.	0.00	0.00	0.00
42. Total Contribution & Other	193,921.00	193,921.00	0.00
Investment Income			
43. Income on Restricted Assets / Investments	0.00	0.00	0.00
44. Income on Unrestricted Assets / Investments	0.00	0.00	0.00
45. Total Investment Income	0.00	0.00	0.00
46. Total Revenues (Sum Lines 16, 30, 42, 45)	2,611,396.00	2,401,811.00	209,585.00

Renz Addiction Counseling Center **Year - End FY2016 - Program Personnel**

Last Four Digits FEIN: 7195
DUNS: 106821887

Program Staff Positions	Agency Total				ADDICTION PREVENTION COMPREHENSIVE FCSUP01502		
	Total Hours	Total Agency Amnt	Total Head Count	Amount Not Allocated	% Allocated	Total Program Amnt	Total Head Count
1. Audiologist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2. Behavior Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3. Dietary Technician	0.00	0.00	0.00	0.00	0.00	0.00	0.00
4. Dietitian	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5. Habilitation Aide / Child Care Aide	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6. Habilitation Professional or Supervisory Staff	0.00	0.00	0.00	0.00	0.00	0.00	0.00
7. LPN	0.00	0.00	0.00	0.00	0.00	0.00	0.00
8. Occupational Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
9. Physical Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
10. Physician	0.00	0.00	0.00	0.00	0.00	0.00	0.00
11. Principal	0.00	0.00	0.00	0.00	0.00	0.00	0.00
12. Program Director	0.00	247,448.00	0.00	203,348.00	17.82	44,100.00	0.00
13. Program Clerical Staff	0.00	0.00	0.00	0.00	0.00	0.00	0.00
14. Psychiatrist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
15. Psychologist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
16. Recreation Staff	0.00	0.00	0.00	0.00	0.00	0.00	0.00
17. Registered Nurse	0.00	0.00	0.00	0.00	0.00	0.00	0.00
18. Social Worker	0.00	0.00	0.00	0.00	0.00	0.00	0.00
19. Speech Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
20. Substance Abuse Counselor / Professional	0.00	0.00	0.00	0.00	0.00	0.00	0.00
21. Substance Abuse Paraprofessional	0.00	0.00	0.00	0.00	0.00	0.00	0.00
22. Teacher	0.00	0.00	0.00	0.00	0.00	0.00	0.00
23. Teacher Aide	0.00	0.00	3.00	0.00	0.00	0.00	0.00
24. Vocational Staff (incl. Job Coach, workshop staff, etc.)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
25. Other Academic Instruction	0.00	0.00	0.00	0.00	0.00	0.00	0.00
26. Other Medical Care	0.00	0.00	0.00	0.00	0.00	0.00	0.00
27. Other Habilitation / Rehabilitation	0.00	0.00	0.00	0.00	0.00	0.00	0.00
28. Other Substance Abuse	0.00	0.00	0.00	0.00	0.00	0.00	0.00
29. Case Manager	0.00	0.00	0.00	0.00	0.00	0.00	0.00
30. Case Manager Assistant	0.00	0.00	0.00	0.00	0.00	0.00	0.00
31. Health Educator	0.00	68,400.00	0.00	0.00	100.00	68,400.00	0.00
32.1. Counselors	0.00	624,119.00	0.00	624,119.00	0.00	0.00	0.00
32.2.	0.00	0.00	0.00	0.00	0.00	0.00	0.00
32.3	0.00	0.00	0.00	5.00	0.00	0.00	0.00
32.4.	0.00	0.00	0.00	0.00	0.00	0.00	0.00
33. Total All Positions (1)	0.00	939,967.00	0.00	827,467.00		112,500.00	0.00

**Renz Addiction Counseling Center
Year - End FY2016 - Program Personnel**

Last Four Digits Form: 7165
DUINS: 106927627

Program Staff Positions	Agency Total			ADDICTION PREVENTION COMPREHENSIVE FCSUP01502			
	Total Hours	Total Agency Amnt	Total Head Count	Amount Not Allocated	% Allocated	Total Program Amnt	Total Head Count
Standard Weekly Hours: 40.0							
34. Mental Health Professional (MHP)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
35. Qualified Mental Health Professional (QMHP)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36. Qualified Mental Retardation Professional (QMRP)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
37. Rehabilitative Services Associate (RSA)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
38. Total All Positions	0.00	0.00	0.00	0.00		0.00	0.00

(1) Totals must equal line 1 + line 2 of the Schedule of Program Costs.

Medicaid Reporting: All Medicaid programs are required to report all substitute care contract positions/salaries into the following categories: MHP, QMHP, QMRP and RSA (Lines 34-37). The expenses reported in Lines 34-37 are from the salaries that were included in the totals of Line 33.

Unaudited
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Renz Addiction Counseling Center

Year - End FY2016 - Program Consultant and Contractual

Last Four Digits F.L.N.: 7116
LUNS: 133521087

Program Contract Positions	Agency Total		ADDICTION PREVENTION COMPREHENSIVE FCSUP01502				
	Total Hours	Total Agency Amnt	Total Head Count	Amount Not Allocated	% Allocated	Total Program Amnt	Total Head Count
1. Audiologist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2. Behavior Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3. Dietary Technician	0.00	0.00	0.00	0.00	0.00	0.00	0.00
4. Dietitian	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5. Habilitation Aide / Child Care Aide	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6. Habilitation Professional or Supervisory Staff	0.00	0.00	0.00	0.00	0.00	0.00	0.00
7. LPN	0.00	0.00	0.00	0.00	0.00	0.00	0.00
8. Occupational Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
9. Physical Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
10. Physician	0.00	0.00	0.00	0.00	0.00	0.00	0.00
11. Principal	0.00	0.00	0.00	0.00	0.00	0.00	0.00
12. Program Director	0.00	0.00	0.00	(2,080.00)	0.00	2,080.00	1.00
13. Program Clerical Staff	0.00	0.00	0.00	0.00	0.00	0.00	0.00
14. Psychiatrist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
15. Psychologist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
16. Recreation Staff	0.00	0.00	0.00	0.00	0.00	0.00	0.00
17. Registered Nurse	0.00	0.00	0.00	0.00	0.00	0.00	0.00
18. Social Worker	0.00	0.00	0.00	0.00	0.00	0.00	0.00
19. Speech Therapist	0.00	0.00	0.00	0.00	0.00	0.00	0.00
20. Substance Abuse Counselor / Professional	0.00	0.00	0.00	0.00	0.00	0.00	0.00
21. Substance Abuse Paraprofessional	0.00	0.00	0.00	0.00	0.00	0.00	0.00
22. Teacher	0.00	0.00	0.00	0.00	0.00	0.00	0.00
23. Teacher Aide	0.00	0.00	0.00	0.00	0.00	0.00	0.00
24. Vocational Staff (incl. Job Coach, workshop staff, etc.)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
25. Other Academic Instruction	0.00	0.00	0.00	0.00	0.00	0.00	0.00
26. Other Medical Care	0.00	0.00	0.00	0.00	0.00	0.00	0.00
27. Other Habilitation / Rehabilitation	0.00	0.00	0.00	0.00	0.00	0.00	0.00
28. Other Substance Abuse	0.00	0.00	0.00	0.00	0.00	0.00	0.00
29. Case Manager	0.00	0.00	0.00	0.00	0.00	0.00	0.00
30. Case Manager Assistant	0.00	0.00	0.00	0.00	0.00	0.00	0.00
31. Health Educator	0.00	0.00	0.00	(6,240.00)	0.00	6,240.00	3.00
32.1. All Oth Not Req Spec	0.00	0.00	0.00	0.00	0.00	0.00	0.00
32.2.	0.00	0.00	0.00	0.00	0.00	0.00	0.00
32.3.	0.00	0.00	0.00	0.00	0.00	0.00	0.00
32.4.	0.00	0.00	0.00	0.00	0.00	0.00	0.00
33 Total All Positions (1)	0.00	0.00	0.00	(8,320.00)		8,320.00	4.00

Renz Addiction Counseling Center
Year - End FY2016 - Program Consultant and Contractual

Last Four Digits F41N: 7159
DUNS: 108921687

Program Contract Positions	Agency Total			ADDICTION PREVENTION COMPREHENSIVE FCSUP01502			
	Total Hours	Total Agency Amnt	Total Head Count	Amount Not Allocated	% Allocated	Total Program Amnt	Total Head Count
34. Mental Health Professional (MHP)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
35. Qualified Mental Health Professional (QMHP)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36. Qualified Mental Retardation Professional (QMRP)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
37. Rehabilitative Services Associate (RSA)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
38. Total All Positions	0.00	0.00	0.00	0.00		0.00	0.00

(1) Totals must equal line 4 of the Schedule of Program Costs.

Medicaid Reporting: All Medicaid programs are required to report all substitute care contract positions/salaries into the following categories: MHP, QMHP, QMRP and RSA (Lines 34-37). The expenses reported in Lines 34-37 are from the salaries that were included in the totals of Line 33.

Unaudited
38

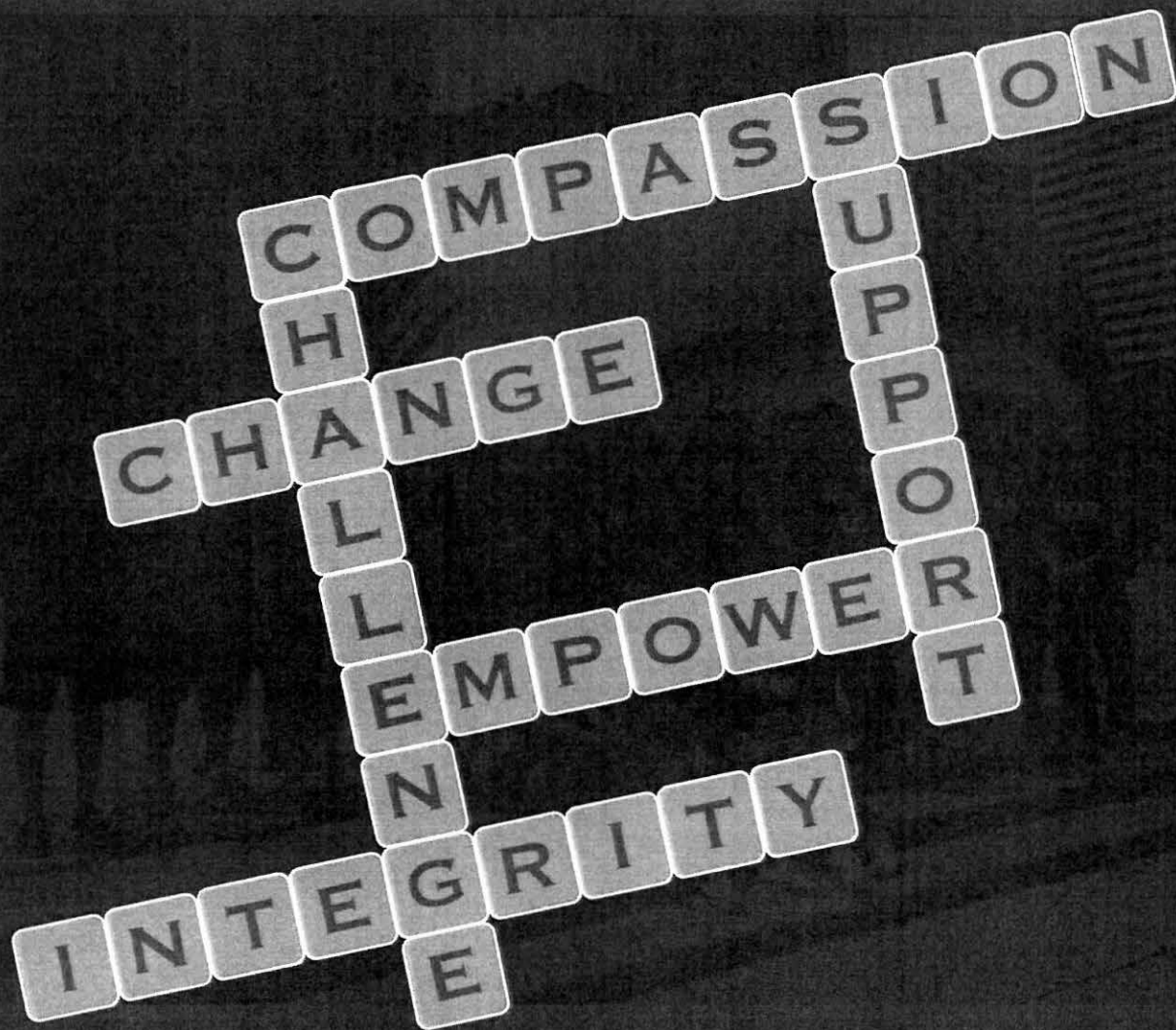
Renz Addiction Counseling Center
Year - End FY2016 - Report of Service Units

Last Four Digits FIC# 7195
 DUNS: 106921087

Description	ADDITION PREVENTION COMPREHENSIV E
	FCSUP01502
Unit Type	
# of Clients Served	3,911
# Client Units of Enrollment	0
# Client Units Delivered/Provided	0
Number of Days Program Operated	0
License Capacity Beginning of Report Period (1)	0
License Capacity End of Report Period (1)	0
Date of Change	
(1) Report Maximum Approved Program Capacity for Programs that do not require a license.	

Unaudited
 39

Restoring Hope . . . Recovering Lives . . . For a Healthier, Safer Community



2015
Annual Report



**Renz Addiction
Counseling Center**



2014-2015 Board of Directors

Brian Monson
President
STC Capital Bank

Mary Hyatt
Vice-President
Retired Kane County
Probation

Michael C. Walsh
Treasurer
Certified Public Accountant

Patricia Arroyo
Provena St. Joseph Hospital

Allen Belanger
Lutheran Ministry of Metro
Chicago

James Lamkin
City of Schaumburg
Chief of Police

Claudia Martinez
School District U-46

Laura Newman
Mitutoyo America Corporation

Tom Nitz
Advocate Sherman Hospital

Gregory Pratt
Farmers Insurance
Mark Hauser Agency

Linda Siete
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Ron Razowsky
Director Emeritus

Administration

Jerry Skogmo
Executive Director

Deb Howe
**Marketing & Development
Director**

Colleen Koncilia
Clinical Services Director

Lora Tovar
**Administrative Services
Coordinator**

Changes And Challenges

2015 was a year characterized by change in the addiction prevention and treatment field. Change of course is nothing new but the speed which altered many systems that drive this industry was unprecedented.

The Affordable Care Act (ACA) is at the forefront of the movement. Medicaid eligibility expanded and increased numbers of individuals signed up for private health insurance. All in all a good thing but these changes have a significant effect on community-based non-profits, such as Renz Center, who have traditionally relied on (some say too much) a grant-in-aid system. State grants are decreasing in amounts and when the payer source changes it has a ripple effect throughout an organization as billing, contracting, marketing and many services are affected and need to radically adjust. Again, not a bad thing but a demanding one, that will threaten community-based non-profits unless responsive and effective changes within our own system take place. And it should be noted, all this is taking place in a climate that has become much more competitive as more and more providers, primarily large non-profits and for profit private practices vie for behavioral health care patients.



Other changes that were prominent in 2015 and which will continue in 2016 and beyond include identifying qualified and dedicated staff and recruiting them while being mindful that the cost of hiring and retaining them will present increased pressure to meet the salary and benefit demands of the marketplace. In short, fewer people are going into the field at a time when competition for these professionals is fierce. And of course, there is a continuing trend in the integration of primary care and behavioral health care, a good thing to be sure but especially difficult in a state, such as Illinois, which is faced with revenue funding limitations.

These are just some of the changes and challenges facing our organization and many community non-profits. In the meantime we will do what we do best: provide the area's most comprehensive prevention and treatment programs for those affected by addiction and addiction-related factors.

Sincerely,



Jerry Skogmo
Executive Director

Renz Center is funded in part by the federal government, Department of Human Services, Central Kane County United Way and other local organizations and individuals. Priority treatment services are provided to pregnant women and women with children.



Financial Information

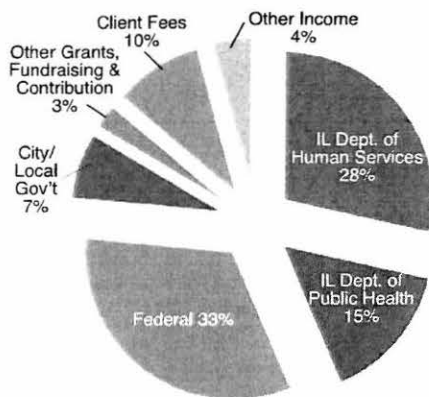
2015 Revenue Sources

State DASA	\$ 812,601.00
State IDPH	\$ 431,945.00
Federal	\$ 936,856.00
County/Local Gov't	\$ 201,683.00
Other Grants, Fundraising & Contributions	\$ 72,255.00
Client Fees	\$ 276,516.00
Other Income	\$ 122,340.00
Total	\$ 2,854,196.00

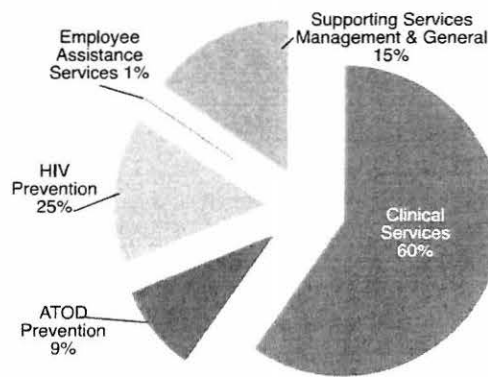
2015 Program Expenses

Clinical Services	\$ 1,630,985.00
ATOD Prevention	\$ 250,996.00
HIV Prevention	\$ 426,291.00
Employee Assistance Services	\$ 7,232.00
Management & General	\$ 419,149.00
Total	\$ 2,734,653.00

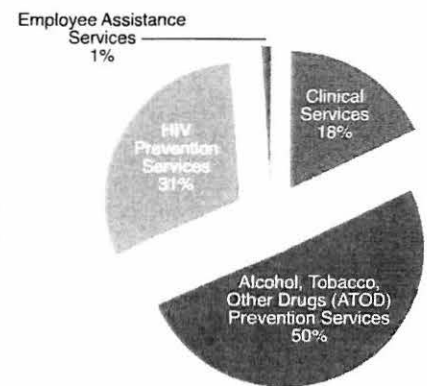
2015 Revenue Sources



2015 Program Expenses



People Served by Program



Program Information



Employee Assistance Services (EAS)

EAS continues to provide timely and personalized behavioral health services to the employees or students of contracted organizations. Last year we provided individual services to 62 individuals. This specialized 24-hour professional counseling service responds to individual and/or supervisor concerns. Services may also include group training sessions developed to meet the unique needs of the organization. For more on Employee Assistance Services, visit www.employeeassistanceservices.com or call Jerry Skogmo at 847-742-3545 x232.

Adriana Carpio and Carlos Chavez receive recognition from Renz Center's Health Promoters for their work on a Breast and Cervical Cancer grant.



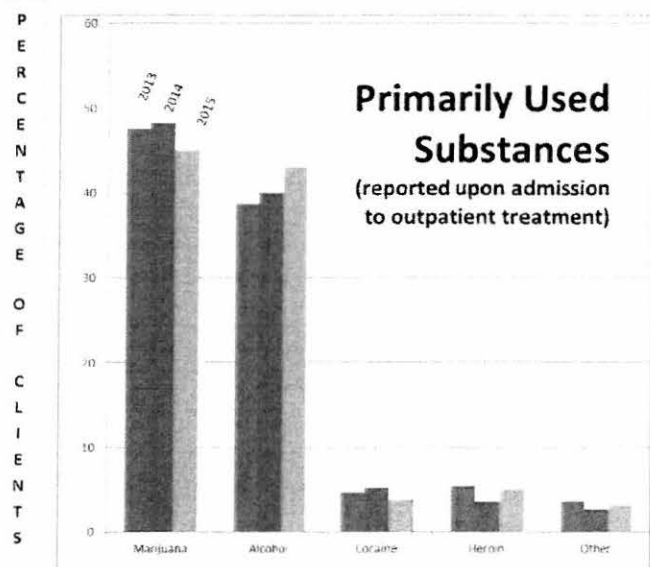
Jim's Friends Offers Support Beyond Treatment

The "Jim's Friends" Alumni Bridge group celebrated its one year anniversary and provides a safe place for people to give and receive support, share fellowship, and create future connections. The group meets the second Thursday of every month from 7-8:30p.m.



Clinical Services

Clinical Services offers an array of programs to those who suffer from substance abuse and/or problem and compulsive gambling, as well as those who are affected by another's addiction. **Committed to facilitate healthy behavior and lifestyle changes as well as emotional health to help families heal, experienced staff support each individual as they begin the process of recovery and a life of health and serenity.** Individualized care is offered through a menu of services, including specialized groups, individual, and family sessions.



Renz client satisfaction surveys are distributed to clients each year to measure clients' assessment of timeliness of service to the effectiveness of the help they have received from Renz Center. Highlights of client feedback include:

- 98% of clients felt they were scheduled for an assessment appointment within a reasonable time frame.
- 91% of clients said individual counseling was helpful.
- 84% find group counseling helpful.

982 individuals received substance abuse and/or problem gambling treatment. In addition, 282 of these clients received individual HIV counseling and testing sessions to promote wellness. We have intervened at critical times and have assisted people in choosing a better life. Families were changed, healing began and lives were saved because of our vital work.

Renz Addiction Counseling Center has expanded substance abuse treatment services for minority women with funding provided by a federal grant from the Substance Abuse Mental Health Services Administration (SAMHSA). Minority women, age 18 and over, have access to trauma informed services that will provide free substance abuse treatment and a myriad of other supportive services to decrease barriers to treatment and increase their ability to begin recovery. Program services are free to eligible minority women and are offered in both English and Spanish.

The SUPPORT Program has demonstrated success! The program is tailored to address the cultural nuances and issues specifically related to minority women. In the past year, an independent evaluator assessed, clients were very pleased with the following: case managers, group counseling, HIV testing, hepatitis screening and primary health care. The strongest indicator of program success has been the clients' positive responses regarding their case managers at Renz and the clinical partner, Breaking Free located in Aurora. The program has served over 150 women, and continues to increase in its capacity to provide quality substance abuse treatment and mental health care.

During FY15 the Clinical Team expanded our programming and expertise by engaging in extensive training in the following evidenced based treatment programs, striving to improve our overall quality of care.

Matrix Model (Implemented January 2015)

We use the Matrix Model in both our Level I and Level II outpatient and intensive outpatient treatment programs. It is a manualized program that integrates cognitive behavioral therapy, contingency management, motivational interviewing, and other elements to give clients the skills and understanding they need to overcome addiction. Matrix Model consists of relapse-prevention groups, education groups, social-support groups, individual counseling, and urine and breath testing. The group facilitators function simultaneously as teacher and coach, fostering a positive, encouraging relationship with the patient and using that relationship to reinforce positive behavior change.

Wellness Recovery Action Plan (WRAP) (Implemented April 2015)

WRAP is a manualized group intervention for adults with mental illness. WRAP is a curriculum that we use in our Integration group which has dually diagnosed clients who are dealing with issues of substance abuse and mental illness. We also use WRAP in individual sessions with clients throughout their treatment stay. WRAP guides participants through the process of identifying and understanding their personal wellness resources ("wellness tools") and then helps them develop an individualized plan to use these resources on a daily basis to manage their mental illness.

We also began facilitating the first **SMART Recovery** in Kane County. Self-Management and Recovery Training (or SMART Recovery) is a self-empowering addiction recovery support group that is facilitated by a SMART Recovery trained facilitator who is a Renz Center counselor. The group assists with maintaining abstinence from any type of addictive behavior.



Epicurean Delight Fundraiser

Renz Center's 7th annual Epicurean Delight fundraiser was another success! The event took a turn on the "wild" side held at McGraw Wildlife Foundation's Pond Cottage. We were fortunate to have the expert emcee services of Rick Jakle, of WRMN and Fox Valley Broadcasting.

Liz Eakins, Renz Center's executive director of Lazarus House (pictured with Renz Center Board President Brian Monson) accepted Julie McCormack award for Lazarus House's collaborative work with various grants to serve the homeless and vulnerabl populations.



The donations from the event supported our alcohol/drug prevention education programs for youth and treatment programs for adolescents and adults. We appreciate all the support we receive from our guests, annual donors of the event and our sponsors that include Tighe Kress & Orr P.C., Advocate Sherman Hospital, American Chartered Bank, First Community Bank, STC Capital Bank, IHC Construction Companies, Hausner Foundation, Park & Longstreet, P.C., Corkill Insurance, Hargrave Builders, Smiles for Life Dental, Hurley & Volk Orthodontics, Dr. Cindy Rauschenberger, Bear Family McDonalds, Pampered Pet Services & Resort Spa, Farmer's Insurance—Mark Hauser Agency, and Lundstrom Insurance.

Alcohol, Tobacco and Other Drug (ATOD) Prevention Services

ATOD Prevention Services provides evidence-based curriculum programming within the Carpentersville and Elgin school districts in addition to outreach education to the community. Prevention Services staff work in cooperation with members of the Coalition for a Safe and Healthy Elgin (CSHE) to provide programming in Elgin and prevent underage drinking and other risky behaviors that keep youth from reaching their full potential.



The **Too Good for Drugs** curriculum was implemented to 872 Lakewood Elementary (6th grade) and Carpentersville Middle School (7th grade) students to address risk factors as well as develop protective factors such as emotional literacy, decision-making skills, and peer pressure refusal strategies.

The **Project Towards No Drug Abuse** curriculum was provided, for the second year, to 1,905 freshman students at Larkin High and Elgin High Schools in Elgin. The interactive program is designed to increase knowledge and reduce negative risk-taking behaviors.

Students discuss many topics related to healthy life skills including; decision-making and communication, chemical dependency and the family, bullying, stress management, goals, self-control, and tobacco cessation.

Our summer program, **Leadership Empowerment And Development (LEAD)**, provided substance abuse and pregnancy prevention education to 40 school-aged youth in addition to incorporating trips to local colleges/universities, library, police department and the Art Institute of Chicago. Other activities included sessions on healthy meal preparation, team-building exercises at an equine facility, and volunteer service at a local non-profit. Each student was also given computer time and a flash drive to work on (and save) their college application essays. In July, 30 teens were able to attend the Cebrin Goodman Teen Institute (CGTI), a week-long training at Eastern Illinois University to enhance their leadership skills and bring back a drug prevention education/communication campaign to their school during the 2015/2016 year.



Summer Camp youth visited Hope Reins, an equine therapy farm and participated in team-building exercises to strengthen their leadership skills.



HIV Annual Report 2015

HIV Prevention Services encompasses a variety of prevention education programming utilizing outreach, support groups for Latino gay, bisexual and transgender men, cultural competency skill building for other health professionals, as well as HIV testing and counseling. All services are provided to clients free of charge. Experienced volunteer Health Promoters also assist with prevention education and outreach services to get valuable life-saving information into the hands of individuals who need it the most.

Highlights of the past year:

- Disseminated prevention education and support services to a total of 1,681 men and women in the areas of HIV, Hepatitis and other sexually transmitted infections, as well as breast and cervical cancer.
- An HIV/STI education and awareness social media project was implemented and resulted in the creation of many awareness activities including a Facebook page—"Informate" and short videos.
- Renz Center facilitated a Mother/Daughter Conference designed to increase communication skills around the subject of HIV and sexuality. It is an emotional day where mothers and daughters learn to feel comfortable sharing their feelings with one another.
- OASIS retreats for men helped to provide education and support for men at risk for HIV and other sexually transmitted diseases.

All teens and mothers who attended the conference were asked to write a letter to each other and they shared the letters at the end of the day. Here is an excerpt of one of the letters:

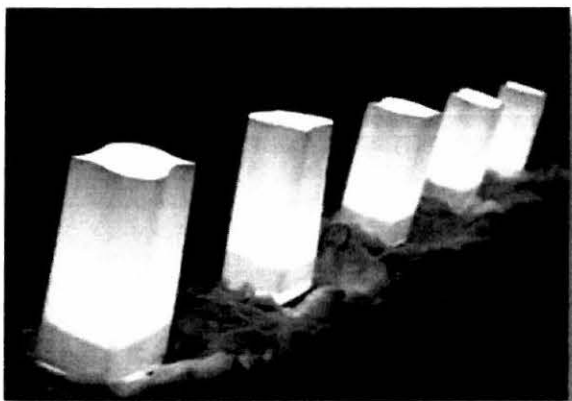
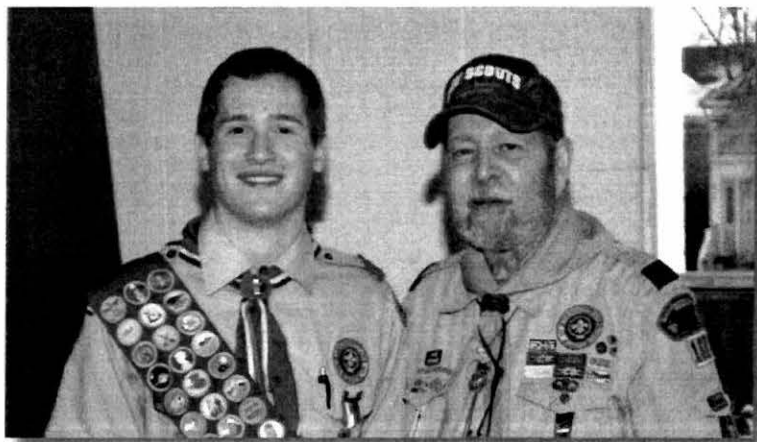
Dear Mami (Mommy),

The more I grow up, the more I start to realize how amazing you are. I am proud and honored to have such a strong, intelligent and resourceful woman as my mother. In the past our relationship was not as close as I needed help or support, not because I didn't want to but because I didn't know how to approach you or what you would say and think. Lately, we have been more open with each other than I ever thought we would be. As hard it is for me to open up about what is going on in my life and my feelings, I want to try to break down that wall, and I want you to break yours down too. I know you get sad sometimes too, you've been through so much. And I just want you to know that I want to know what's going on with you or what you need, because you are definitely not alone, you'll always have the support of your daughters.

So after we complete this program today and after you read this letter, I hope that we can both continue to allow our relationship to grow. Talk to me and let me in just as I will do with you, and always keep in mind that I love and appreciate you.

Your daughter

Renz Center is grateful for Josh Pullman who installed a disc golf course and made other improvements to Renz Center's outdoor Serenity Garden for his Eagle Scout project. Josh is pictured with Dave Crement, an employee of Renz Center who attended Josh's Eagle Scout ceremony.



During Recovery Month in September, clients in the treatment program memorialized friends or relatives they knew who have died from an addiction-related issue by writing their name(s) on the paper luminary bags. The luminaries were then placed along Renz Center's Serenity Garden Path. Staff and clients walked along the path holding their own candles to remember their friends and recognize their work on recovering a healthier life.



We appreciate the participation in our annual events and the generous donations made on behalf of RenZ Center. Each donor has a special way of making a difference for the agency and the people we serve.

Adelle's Fine American Fare
Adventure Stage Chicago
Advocate Sherman Hospital
Allison Sperling
American Chartered Bank
Danielle Anderson
Athenaeum Theatre
Christine Awe
Bartlett Park District
David Bear
Henry Behrens
Rev. Allen & Mary Belanger
Benedictine University
Scott Beu
Karen Beyer
Bob Chinn's Crab House
Restaurant
Brittain's Car Wash Inc.
Brittain's Express Oil & Lube
Denice and John Brogan
Terry Bryant
Business Matters
Cadence Fitness & Health
Center
Cafe Roma
James W. Ceithaml
Cheesecake Factory
Chicago Bears
Chicago Botanic Gardens
Chicago Cubs
Chicago White Sox
Chicago Wolves Pro Hockey
Chicago Zoological
Society/Brookfield Zoo
Gina Christoffersen
Circus World
City of Elgin
City of Elgin Parks &
Recreation Department
City of St. Charles
Laura Clark
Classic Cinemas/Cinema 12
Colonial Cafe & Ice Cream
Community Foundation Of The
Fox River Valley
Cooking Skills Academy
Corkill Insurance
Costco Wholesale
Country Club Estates Golf
Course
Country House Restaurant
John and Lorraine Darr
Dave & Busters
Jacqueline DeLaney
Don DeWitte
Thomas Diehl
Dundee Township
Dundee Township Park District
Walt Dwyer
EFS Foundation

Elgin Symphony Orchestra
Pat Elliot
Richard & Doris Evers
Judy Fanslow
June Fanslow
Farmer's Insurance-Mark
Hauser
First Community Bank
First Congregational United
Church Of Christ
First Folio Theatre
Fogo De Chao Restaurants
Margaret Forster
Karen & Dan Fox
William & Margaret Freeman
Fried Green Tomatoes
Funway Entertainment Center
Jaime Garcia
Geneva Community Mental
Health Board
Georgio's Chicago Pizzeria &
Pub
Mark Gibson
Hanover Township Mental
Health Board
Harbor Shores On Lake Geneva
Michelle Harrah
Cory Hattenschweiler
Hausner Foundation
Jennifer Hellmer
Cynthia Hitzemann
Honorable Allen Anderson
Dr. Eli & Melanie Horowitz
Deb Howe
Marv & Marilyn Howe
Hurley & Volk Orthodontics
Rick & Mary Hyatt
IHC Group Foundation
Illinois Railway Museum
Improv Comedy Club
Rick & Sharon Jakle
James & Darlene Coats
Jim & Kathy Lamkin
Joe Schelstreet
Joffrey Ballet
Kane County Cougars
Annette Karlin
Robin Karlin
Michael & Carol Kenyon
Kingston Mines
Kiwanis Club of Elgin
Mark Koenen
Frank & Colleen Koncilja
Don & Toni Kumorek
Lake Geneva Cruise Line
Annette Lamkin
Cindi Lamkin
Lamp Inc.
Laugh Factory
Laugh Out Loud Theater

Lazarus House
Sharon Leschke
Lettuce Entertain You, Inc.
Lincolnshire Marriott Resort -
Marriott Theatre
Little Home Church by the
Wayside
Loma Livernois
Lone Star Steakhouse
Loyola University Performing
Arts Center
Michael & Joyce Lueth
Lundstrom Insurance
Lynfred Winery
Miriam Lytle
Maggiano's Little Italy
Karen Maki
Mainati Organization, Inc.,
Manning Silverman & Company
Marina Bay Boat Rentals
Market House Restaurant
Jim & Rita Martin
Claudia Martinez-Carter
Mayslake Peabody Estate
Jim McClung
Joseph McMahon
Medieval Times Dinner &
Tournament
Metropolis Performing Arts
Centre
Brian Monson
Bill & Sue Moylan
Nageswara Nagarakanti
NAIFA Fox Valley
New Balance
Laura Newman
Christine Nilles
Tom Nitz
North Central College Fine &
Performing Arts
Northlight Theatre
Barbara Pachmayer
Paintball Explosion Park
Pampered Pet Services Resort
& Spa, Inc.
Park & Longstreet
Maralee Parker
Annette Peat
Michael & Betsy Penny
Angie Peralez
Judy Petit
Phelan Household
Poplar Creek Bowl
Port Edward Restaurant
Andy Poulos
Prairie Center for the Arts -
Village Of Schaumburg
Prairie Stone Sports &
Wellness Center
Greg Pratt

Presence Health System,
Pastoral Care
Presence St. Joseph Hospital
Lysette Pullman
Rauschenberger DDS, Cindy
Rauschenberger Partners, LLC
Ray's Family Restaurant
Reliable Office Supplies
Lois Renz
Jennifer Ross
Rotary Club of Elgin
Santa's Village Azoosment
Park
Schaumburg Park District
SciTech Hands On Museum
Seasons 52
Second City
Servpro of Elgin/Northwest
Kane County
Jack & Marlene Shales
Kenneth Shanoff
Shedd Aquarium
Linda Siete
Silver Beach Pizza
Bhopal Singh
Singles Roofing Company, Inc.
Jerry & Mindy Skogmo
Skydive Chicago
Smile for Life Dental
Linda Stack
Katie Stauffer
STC Capital Bank
Steve & Betty Rauschenberger
Stockholm's
Sycamore Speedway
The Cheeze Factory Restaurant
The Field Museum
The Morton Arboretum
Tighe, Kress & Orr, P.C.
Robert & Chrissy Tighe
Timeline Theatre Company
Tin Fish
Sherry Totzke
Lora Tovar
Town of Elgin
Trader Joe's
Trap Door Theatre
Matthew Udelhoven
Mike & Sheila Walsh
Walt Disney World
Barbara Welner
David Wermes
Jeffery Wilger
Stephanie Williams
Susan Witwicki
Xtreme Trampolines
Zanies Comedy Nite Club
Zurich Foundation

*Represents donations from
7/1/2014 - 6/30/2015.*



2015 Funding Sources

Renz Center is a non-profit 501(c)3 organization funded in part by:

City of Geneva Mental Health 708 Board
 City of St. Charles Mental Health 708 Board
 Cook County Department of Public Health
 Elgin Township
 Geneva Community Chest
 Hanover Township Mental Health 708 Board
 Illinois Department of Human Services - Community Health & Prevention
 Illinois Department of Human Services-Division of Alcohol & Substance Abuse
 Illinois Department of Public Health
 Illinois Public Health Association
 Kane County Probation & Second Chance Program
 School District #300
 Sheridan Correctional Facility
 Substance Abuse and Mental Health Services Administration
 U.S. Probation and Pretrial Service
 United Way of Central Kane County

Mission

Empower individuals to lead healthier lives.

Value Statements

The following value statements are incorporated into the daily work of Renz Center and the guiding principles behind the board and staff leadership.

Supportive—With compassion and encouragement, empower individuals to achieve positive healthy lifestyles.

Outcome Driven—Regularly assess agency evidence-based outcomes to ensure quality and innovative services that promote positive and healthy change.

Effective—Implement proven and quality methods of care resulting in improved health, self-empowerment and continual maintenance of healthy behavior.

Integrity—Consistent and transparent actions, values, methods, measures, principles and expectations for the best outcomes.

Professionalism—Provide excellent services in an optimistic, conscientious and respectful manner.

Non Profit
 Organization
 U.S. Postage
 PAID
 Algonquin, Illinois
 Permit No. 13

Renz Addiction Counseling Center



Elgin Outpatient & Passage Program
 Two American Way, Elgin, IL 60120
 (847) 742-3545 TDD: (847) 742-0242
 Fax: (847) 742-3559 (Outpatient)
 Fax: (847) 697-6583 (Passage)

St. Charles Outpatient
 409 Illinois Ave., #1A
 (630) 513-6886 Fax: (630) 513-6892

Streamwood Outpatient
 1535 Burgundy Parkway, Streamwood, IL 60107
 (630) 837-6445 Fax: (630) 837-7184

Prevention Office, Administration & Employee Assistance Services
 One American Way, Elgin, IL 60120
 (847) 742-3545 Fax: (847) 697-5583 (Admin. & EAS)

(847) 697-2719 (Prevention Office)

www.RenzCenter.org

**RENZ ADDICTION COUNSELING CENTER
BOARD OF DIRECTORS**


Title	Name	Occupation/Employer	Beginning of Term	Term Expires Month/Year	Mailing Address/ Daytime Telephone Number
President	Brian Monson	Vice Pres. Sr. Field Credit Officer MB Financial Bank	December '10 Pres – 6/5/14	December '16	MB Financial Bank, N.A. 800 W. Madison St., 3 rd floor Chicago, IL 60607 847-653-7359
Vice President	Sherry Totzke	Collections Account Rep Zurich North America	January '16 VP – 10-6-16	January '17	133 McCabe Streamwood, IL 60107
Secretary/ Treasurer	Michael Walsh	Retired Certified Public Accountant	April '09 New term – 6/5/14	April '18	12865 Rock Creek Circle, Huntley, IL 60142 847-515-8821
Director	Patricia Arroyo	Supervisor of Community Health and Interpreting Services Presence Saint Joseph Hospital	April '11	April '17	Presence Saint Joseph Hospital 77 North Airlite Street Elgin, IL 60123-4912 847-695-3200, ext. 5179
Director	Donna Kruse	RN, Magnet Coordinator/Stroke Coordinator Advocate Sherman Hospital	Jan '16	Jan '19	Advocate Sherman Hospital 1425 Randall Rd. Elgin, IL 60123 224-783-8088
Director	James Lamkin	Chief of Police Village of Schaumburg Police Department	June '10	June '19	1221 Falcon Ridge Drive Elgin, IL 60124 847-348-7006
Director	Claudia Martinez-Carter	Teacher Larkin High School	Oct. '11	Oct. '17	2070 Clearwater Elgin, IL 60123 314-497-4951
Director	Laura Newman	Batavia City Administrator	December '13	December '16	345 N. Batavia Ave. Batavia, IL 60510 312-339-9179
Director	Katy Potts	Sergeant, Elgin Police Dept	November '16	November '16	200 Shannon Parkway Elgin, IL 60124
Director	Gregory Pratt		July '14	July '17	104 N. 4th Ave St. Charles, IL 60174 (773) 368-9762
Director	Linda M. Siete	Manager, Sales & Operations Reflejos Publications, LLC	June '11	June '17	155 E. Algonquin Rd. Arlington Heights, IL 60005 847-806-1411
Director	Bhopal Singh	Senior Developer AT&T, Inc.	September '14	September '17	750 Majestic Dr. Algonquin, IL 60102 630-347-1600

DIRECTOR EMERITUS	Ron Razowsky	Retired			625 Wood Ridge Ct. Elgin, IL 60123 847-888-3774
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Board Selection

Renz Addiction Counseling Center has a Board Development Committee that is comprised of board members and the Center's Executive Director and Development and Marketing Director. Prospective Board members' qualifications are discussed at both formal and informal committee meetings. The Committee seeks individuals who are committed to the mission of Renz Center and attempts to fill board vacancies with members that represent the communities we serve. We evaluate each prospective board member individually and strive to recruit involved citizens who can bring their past experiences and vocational/technical skills to the Board. We find that board members can be a very good resource for the agency. Board members can serve no more than 3 terms (3 years per term), for a total of 9 years, without taking a break from service for one year.

Currently the Renz Board is well-balanced with a variety of individuals with backgrounds in medical care, school administration, law enforcement, banking, media, and private business.

 **IRS** Department of the Treasury
Internal Revenue Service
P.O. Box 2508
Cincinnati OH 45201

In reply refer to: 0248226129
Mar. 31, 2009 LTR 4168C E0
36-2447195 000000 00 000
00019107
BODC: TE

RENZ ADDICTION COUNSELING CENTER
TWO AMERICAN WAY
ELGIN IL 60120-4341



002006

Employer Identification Number: 36-2447195
Person to Contact: Ms. K. Hilson
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your request of Mar. 20, 2009, regarding your tax-exempt status.

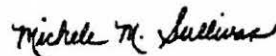
Our records indicate that a determination letter was issued in May 1965, that recognized you as exempt from Federal income tax, and discloses that you are currently exempt under section 501(c)(3) of the Internal Revenue Code.

Our records also indicate you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Michele M. Sullivan, Oper. Mgr.
Accounts Management Operations I

City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended Checklist

It is the responsibility of the agency requesting City of St. Charles 708 Mental Health Board funding to verify all Accountability Report requested information is submitted at the time of application submission.

Please use the following checklist as a guide to compile your application packet for submission. Incomplete applications or applications submitted after January 6, 2017 – NOON will not be considered for funding for the 2017/2018 funding period.

Please submit your information in the order in which it appears on the application and initial on the line provided to ensure all items are included in your packet.

1. LE ED Agency and contact information completed.
2. LE ED 2017/2018 total funding request is: \$43,010.
3. LE ED Completed narrative mission of the organization as it relates to the City of St. Charles 708 Mental Health Board's mandate for services to residents with, or at risk of:
 - a. mental illness and psychological disorders,
 - b. developmental disabilities, including mental retardation, cerebral palsy, epilepsy and autism spectrum disorders,
 - c. addictions including chemical; alcohol and substance abuse, and other addictions (gambling, sexual, shopping, etc.).
4. LE ED Grant requestor has specifically described organization's goals as it relates to the City of St. Charles mission.
5. LE ED Individuals served may only be counted once, regardless of the number of times served per fiscal year through direct service hours. Grant requestor has specifically described the percent and dollar amount of expenditures toward the benefit of the City of St. Charles residents in the following categories:
 - a. 1:1 direct services with clients (e.g., 50% or \$5,000 of \$10,000 total grant funds).
 - b. Direct services to groups of clients.
 - c. Workshops, lectures, and community presentations for education and training.
 - d. Administrative expense, office support, and overhead for agency expense.
6. LE ED If available, please include a synopsis of your outcome measurements for the funding you are requesting.
7. LE ED With the application provide the following information:
 - LE ED An annual detailed budget or year ending Financial Statements and annual report.
 - LE ED St. Charles 708 Fund Expenditure History for past three years.
 - LE ED The total number of individuals your agency served in the past year 292.
 - LE ED Direct number of individuals living within the City of St. Charles city limits served 139.
 - LE ED Direct number of service hours provided to City of St. Charles residents: 16,249 days of service
 - LE ED Quantified costs allocated to the services provided, (i.e. cost per hour and or program costs for the City of St. Charles residents) \$552,695.
 - LE ED Identify other services provided to and for the City of St. Charles residents.
 - LE ED Attach a list of current Board of Directors for your agency as specified in our application.
 - LE ED Attach a copy of your current 501 (c) (3).
 - LE ED MAKE SURE description on your financial statement reads City of St. Charles 708 Mental Health.
8. LE ED PLEASE PROVIDE 10 COPIES OF YOUR COMPLETED APPLICATION AND INFORMATION.

Keep in mind individuals are to be counted only once, regardless of the number of times served per fiscal year though the direct number of hours these individuals are served need to be quantified.

Lazarus House

Name of Agency

Agency submitting their funding request application

Date 12/28/2016

Liz Eakins

Print Name of Person Completed Application

Signature

Executive Director

Title/Position

**City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended**

Agency Name: Lazarus House

Contact Person: Liz Eakins Phone #: 630-587-2144

Main Office Address: 214 Walnut Street, St. Charles, IL 60174

- **Funding requested from City of St. Charles 708 Board for fiscal year 2017/18: \$43,010**

** This request is based on 11% of the estimated cost of service to those with mental health, developmental disabilities, substance abuse, and /or drug abuse issues and their children. It does not include the projected cost of care that Lazarus House provides to other St Charles residents that are at risk of these issues (such as domestic violence victims and their children.)*

- **Describe mission (include narrative explaining how mission relates to City of St. Charles):**

The mission of Lazarus House is to offer guests connected to our communities who are homeless or in need hope for the future by providing hospitality, food, safe shelter, and education – tools for life. The purpose of services is to assist clients to increase self-sufficiency with the goal of achieving and maintaining permanent housing. Lazarus House has an Emergency Shelter Program and a Center for Transitional Living Program-both serving those connected to Batavia, Geneva, St. Charles, and western rural Kane County, Illinois. In 2006 a new Women and Children's Day Center (which is part of the Emergency Shelter program) was added along with an expanded Homeless Prevention/Outreach program. In 2008, a nearby building was purchased to provide desperately needed space for the Outreach program and for other operating needs. This building, the Community Resource Center, opened in October 2008. The ability to provide ongoing emergency shelter, transitional housing and homeless prevention services in a single permanent location with a coordinated case management approach is cost effective and enhances positive outcomes for those that are served from St. Charles. This methodology serves those in the community who are homeless or in need who also have special needs including those with mental illness, developmental disabilities, chronic medical conditions, alcohol/drug addictions, and victims of domestic violence.

- **Describe specific goals that fit City's mission and indicate how goals will be accomplished.**

Lazarus House goals that support the City's mission include:

- Help homeless persons return to independent living with the skills and habits needed for long-term success.
- Offer services and referrals to those in need in our community in order to prevent homelessness and support the well-being of individuals and their families.

These goals are enabled by activities of stewardship and collaboration that form a core part of the City of St. Charles' mission. The goal of the St Charles 708 Community Mental Health Board is to assist with services for City of St Charles residents with, or at risk of, mental disorders, developmental disabilities, and /or substance abuse. Through its homeless and homeless prevention programs, Lazarus House serves residents of St Charles who have, or at risk of having, these conditions. Specifically, Lazarus House will collaborate with the City of St. Charles, the County, area social service agencies and landlords to provide the support services needed by homeless St. Charles residents (many with mental health or substance abuse issues) to help them gain and retain permanent housing. Through its state and federally funded rental subsidy programs, Lazarus House will also work with qualifying St. Charles families at risk of losing housing to provide rental assistance and support services. The goal is to enable households to retain permanent housing and to improve financial, budgeting, and other skills to create stronger, more secure lives in this community going forward. These programs also allow for re-housing of those previously homeless, who, as a result of Lazarus House services, are ready again for

STC 708 MHB Funding Request

Agency Name: Lazarus House

independent living. The strong collaboration that exists between the staff, other agencies and the 1,900 plus volunteers that support the work of Lazarus House, helps maintain and strengthen the social character of St. Charles. Working with others to solve problems contributes to a safe and secure community and a better future for the City and its residents.

Through its programs Lazarus House:

- 1) Promotes mental health by providing a safe place and case management to help support the well-being of those with substance abuse, mental illness and /or other disabilities. Our education staff, trained volunteers, along with professionals from TriCity Family Services, St. Charles Bank & Trust, and Community Crisis Center of Elgin, offer a number of classes for adults and children on topics such as parenting, self-esteem, healthy relationships, domestic violence, budgeting and employment skills to improve each person's well-being.
- 2) Provides specific assistance with disorders and treatment through our own needs assessment process, case management and education approach, action plans, and house rules. We collaborate closely with the Ecker Center for Mental Health, Renz Addiction Counseling Center, and other service providers to help those needing formal assessments and treatment to access needed services and receive needed care. Our staff works closely with homeless parents, collaborating with school districts and service providers to provide early intervention for kids at risk of developing mental health issues and other illnesses.
- 3) Helps detect and treat mental health and substance abuse disorders at the earliest stages through our screening process at intake. Those affected by these disorders are linked to programs at both Renz and Ecker Centers or other appropriate programs to begin the process of treatment and recovery. Case managers screen all guests for health insurance coverage. Those who need insurance work with their Lazarus House Case Managers who assist them in applying for Medicaid; a necessary component to receiving desperately needed mental health, substance abuse and other medical care. Additionally, we offer parenting classes, domestic violence support groups and life skills classes to help prevent mental illnesses from becoming worse.
- 4) Supports rehabilitation through our case management and follow-up process to help ensure treatment plans are followed.

- **Describe specifically how allocated funds will be expended and quantify services provided to the City of St. Charles:**

Attached a budget detail for the funds sought.

Include a brief program description on each item or service.

Funds from 708 Mental Health Board and/or funds from other sources.

Based on historical trends and current projections, in FY17 and FY18, Lazarus House anticipates serving approximately 135 St Charles residents and providing 9,200 nights of service in its Emergency Shelter and Transitional Living Programs. Our request includes \$36,300 of funding to cover 11% of the estimated \$330,000 cost of providing a projected 6,000 nights of service in FY17 to St. Charles residents and their children with mental health, developmental disability and/or substance abuse issues at a projected cost of approximately \$55 per day. The estimated cost of service and related costs for those adults with these issues is \$275,000 (5,000 nights of service). The estimated cost of serving the children of those with these issues is \$55,000 (1,000 nights of service.) Services include: safe shelter, food, personal hygiene items, shower and laundry facilities, clothing through the local clothes closet, healthcare through a local free clinic and other local funding, case management, coaching, linkage to needed services in the community and to federal and state programs, including access to mental health and substance abuse services, transportation for work, school appointments, budgeting and credit repair, employment assistance/skills upgrade, GED tutoring, assistance with securing college scholarships and job training grants, in-house classes that support successful living, and provide one-time and ongoing government funded rental and utility assistance to qualifying low income households. We also offer limited financial assistance for prescription medications.

In addition, funding is requested to cover 11% of the cost of supporting Outreach Program guests from St Charles who have mental health and/or substance abuse issues. Last year, ongoing rental assistance subsidies were provided to 24 low income St. Charles households (30 persons) to enable them to remain in housing and avoid homelessness. One-time assistance was provided to one additional St. Charles household (1 person). The average daily cost incurred by Lazarus House per household after lease payments was \$11 per day. For 18 of these households, under the terms of the rental assistance grants

STC 708 MHB Funding Request

Agency Name: Lazarus House

that help to support the programs in which they participate, we are able to provide case management to help address the issues that have caused them to be homeless or at risk of homelessness. Seventeen persons in these St Charles households have issues with mental health, substance abuse, or developmental disabilities. For the one St Charles household who received one-time assistance in an FY16 rental assistance program, Lazarus House met with the household to discuss needs, qualifications for the rental program, and resources available to address financial, food, health, mental health, substance abuse, and other needs. Those participating are also invited to participate in Lazarus House's training classes that cover topics such as parenting, self-esteem, healthy relationships, domestic violence, budgeting and employment. In FY16, St Charles households with mental health, substance abuse, or developmental disabilities were provided 5,595 days of ongoing rental subsidies and other support services, at a total cost of \$61,545.

In FY18, we anticipate again providing ongoing support services to a minimum of 17 St Charles households with mental health, substance abuse, and other disability issues. Our funding request is based on 11% (\$6,710) of the estimated FY17 \$61,000 cost of providing these households service at an estimated cost of \$11 per day.

The attached budget shows the \$1,158,332 projected FY18 cost for the Emergency Shelter and Center for Transitional Living Programs and \$391,827 for the Outreach Program. The estimated budget for fiscal year 2018 is \$1,550,159. We have continued to closely scrutinize the budget to control costs in all areas while maintaining essential services.

Sources for funding these budgets are listed. Based on historical experience, Lazarus House has projected funding from United Way/Community Chest agencies in Batavia, Geneva and St. Charles, 708 Mental Health Boards in Geneva and St. Charles, Kane County Community Development Block Grant Program, Department of Human Services (DHS) Emergency and Transitional Housing Services, DHS Homeless Youth Program, DHS Emergency Solutions Grant, Housing & Urban Development (HUD), Emergency Food and Shelter Program (EFSP), the Illinois Housing Development Authority and contributions from individuals, businesses, churches, clubs, foundations, and fund raising activities/events. These include: our Business Friends Program, Golf Outing, Run/Walk and our Gala event.

- **If available, please include a synopsis of your outcome measurements for the funding you are requesting.**

Within our programs, Lazarus House is committed to increasing the skill levels and self-sufficiency of each guest served. Each year, within the Emergency Shelter and Center for Transitional Living, outcomes are developed. Success is measured based on the accomplishment of a specific percentage of those who are able to accomplish the following goals: clients receiving case management services, mental health services, substance abuse services, medical treatment, those that achieve continuing education and/or job training, those that obtain or improve employment, obtain independent transportation and those able to move into transitional/independent housing. Data collection is done during weekly case management meetings throughout the year and is based on the number of individuals needing a service compared to the number actually achieving the goals. Of those homeless persons with the following specific needs, Lazarus House has gratefully been able to assist:

- 99% to receive case management
- 100% to obtain independent transportation
- 96% to receive medical care
- 100% to receive dental care
- 93% to achieve continuing education or job training goals
- 83% to receive mental health services
- 84% to receive substance abuse services
- 84% to move into transitional or independent housing
- 74% to access legal services
- 67% to obtain or improve employment

Outreach results from the most recent follow-up period include:

- 100% of households in the ongoing rental programs that require households to use direct services learned to follow a monthly budget.

STC 708 MHB Funding Request

Agency Name: Lazarus House

- 48% of households new to the ongoing programs that require households to use direct services accessed new benefits (better job, government benefits, Food Stamps, day care funds, etc.) to improve their finances and/or health. The remaining households were already accessing those benefits for which they were eligible.

- **St. Charles 708 Fund Expenditure History – explain how funds allocated from previous year were expended and purposes of those expenditures in relation to St. Charles residents of the funding you received last year. Note: if funding allocation is over \$25,000, attach most recent financial statements, audit and annual report:**

For the year ended June 30, 2016, 8,499 nights of service were provided to St. Charles residents at a cost of \$467,445 (using FY16 audited cost per day figures). These services were provided to 119 persons from St. Charles. Costs were expended to pay for the services noted in response to the prior question: clothing, shelter, case management, training, access to needed medical and mental health services in the community, transportation, etc. Of these totals, 5,237 nights of service were provided to St Charles residents with mental health, substance abuse and/or developmental disabilities—at a cost of \$288,035. In FY15, a total of 9,121 nights of service were provided to these St Charles residents—at a cost of \$483,413 and in FY14, 5,627 nights of service were provided—at a cost of \$298,231.

In addition, 90 days of one time assistance was provided to one St. Charles household (1 person) and 7,750 days of ongoing rental subsidy and other services were provided to 25 St Charles households (30 people) to enable them to become/stay housed, at a total cost, excluding lease payments, of \$86,240. Of these totals, 5,595 days were provided to 17 households with mental health and other issues at a cost of \$61,545. In FY15, a total of 5,975 days were provided to 20 households with these issues at a cost of \$59,750 and in FY14, a total of 7,950 days were provided to 27 households at a cost of \$79,500.

General donations continue to be below desired levels in this tight economic environment. The net income earned at Christmas time is used each year to help cover the lean months when expenses exceed revenues. As in the past few years, Lazarus House has operated with a focus to keep the lights on and provide essential services. We continue significant cost containment measures.

- **On an annualized (12 month) basis, provide information on City of St. Charles residents served:**

Direct number of individual clients/consumers served: 8,499 nights of service were provided to 119 persons from St. Charles in FY16. Forty-eight (48) % of all nights of service provided by Lazarus House were to persons from St. Charles. 5,237 nights of service (62%) provided to those in St Charles, were provided to households with mental health, substance abuse or other disability issues. In addition, 25 St. Charles households were provided interim and ongoing rental assistance to enable them to remain in housing. One St Charles household was provided one-time assistance. Twenty-three of the persons and their children provided rental assistance and related support services had mental health, substance abuse and/or developmental disabilities

Aggregate number of direct service hours provided: Nights of service noted above is one measure of service hours, a figure we report to the 708 Board quarterly. We also have established a daily cost of service for the Outreach program. Another measure is the staff time involved with case management, operations and education. We have 13 full time equivalents (FTE) staff who support case management, operations, and training (6.24 FTEs for St. Charles residents). This translates to over 27,000 service hours (12,960 hours for St. Charles residents).

- **Describe agency cost basis per client/consumer served (e.g., per hour cost and/or program costs allocated to City of St. Charles residents and how this was derived):**

In FY16 a night of service in our emergency shelter and transitional housing programs cost \$55 per day. This is calculated by dividing our FY16 actual audited Emergency Shelter and Transitional Housing expenses of \$985,226 by our actual FY16 shelter nights of 17,815. This reflects the costs associated with providing the variety of ongoing support services to help the

STC 708 MHB Funding Request

Agency Name: Lazarus House

homeless return to self-sufficient living in permanent housing. In FY17 we anticipate a cost per day of \$55 based on a budget of \$1,550,159 and 18,000 nights of service (exclusive of the outreach program). In FY16 the cost of our ongoing rental support outreach program was \$11 per day per household. This figure, net of lease payments, includes the cost of support services, case management, budget assistance and operating costs. The estimated daily cost for outreach in FY17 is projected to continue at about \$11 per day.

- **Identify other services provided to and for the benefit of City of St. Charles' residents (education, presentations, volunteer training and group activities/events).**

Other services that benefit City of St. Charles residents include: 1) Serving as a de facto help line for those in crisis who do not know where else to turn for help. Last year we fielded over 740 financial needs calls. These calls exclude the numerous calls Lazarus House handles regarding referrals to persons seeking advice on where to get mental health, substance abuse and other types of help for themselves or loved ones. 2) Serving as a soup kitchen for people who are food insecure in our community. 3) Volunteer training and providing many opportunities for St. Charles residents to serve others in a meaningful way. 4) Participation in St. Charles Housing Commission, St. Charles Ministerial Association and other groups whose goals support a stronger St. Charles. Host a monthly social service provider networking lunch. Serve on the Kane County Continuum of Care.

- **Attach a list of current Board of Directors for your agency, listing the length of time that each Board member has served in their current term. Also attach a brief summary of how Board members are elected, the qualifications sought, and any term limits for Board members.**
- **Attach a copy of current 503C or tax exempt status certification.**

Please note that agencies that are allocated funds from the STC 708 Board in excess of \$25,000.00 in any fiscal year are also subject to the following requirements:

1. Monthly financial statements must be submitted to the Government Operations Committee of the St. Charles City Council by no later than the 15th day of the following month.
2. An annual report must be submitted to this same Committee.
3. A representative of the agency must make a brief presentation describing the purpose of the agency, it's future plans and how it's programs and services have benefited the residents of St. Charles to this Committee on an annual basis at a date and time to be determined (following the allocation recommendation process by the STC 708 Board, but prior to the actual release of any funds).

Funding request amount for current fiscal year \$ 43,010 **Application Date:** 1/01/2017

City of St. Charles 708 Mental Health Board Only:

Recommended funding for current fiscal year: \$ _____ **Recommendation Date:** _____

Lazarus House Board of Directors

OFFICERS

President: Betsy Penny
Secretary: Bob McDowell

Vice President: Deb Akins
Treasurer: Steve Sager

Name	Address	Employer	Term	Skills
Deb Akins	704 Gray St. St. Charles, IL 60174 Email: deb.akers@bmo.com	V.P. BMO Harris Bank N.A. 1200 E. Warrenville Rd. Naperville, IL 60563	2017	Management
Lynette Anderson	421 S. 11th St St. Charles, IL 60174 Email: LynetteA@HosannaChurch.com	Director of Children and Family Ministries Hosanna Lutheran Church 36W925 Red Gate Rd. St. Charles IL 60175	2018	Strategic Planning, Community Connections, Faith Community Connection
Sandi Cook	1412 Wildmint Trail Elgin, IL 60123 Email: auntiesandi77@gmail.com	Project Manager Awana Clubs International 1 E. Bode Rd. Streamwood, IL 60107	2019	Administrative, Organizational & Hospitality
Diane DeWitte	228 Sedgewick Circle St. Charles, IL 60174 Email: ddewitte@sbcglobal.net	Office Assistant DuPage Airport Authority 2700 International Dr., Ste 200 West Chicago, IL 60185	2018	Human Resources, Community Connections
Peter Fazio	2661 Sandpiper Trail West Chicago, IL 60185 Email: peter.fazio@chappledb.com	Owner, Chapple Design-Build 32W273 Army Trail Rd, Suite 200 Wayne, IL 60184	2018	Property Management & Construction, Public Relations, Revenue Generation
John Glenn	820 King Henry Lane St. Charles, IL 60174 Email: john@GlennConnection.com	MBA, Real Estate Broker Coldwell Banker Residential 2690 E Main St St Charles IL 60174	2018	Property Management & Construction, Finance, Legislative Committees, Organization Development, Public Relations, Strategic Planning, Technology and Revenue Creation.
Carolyn Hanna	8 N. Daniels Drive Batavia, IL 60510 Email: cahanna05@gmail.com	Commercial Insurance Broker Corkill Insurance Agency, Inc. 25 NW Point Blvd, 625 Elk Grove Village, IL 60606	2017	Human Resources, Legal Contacts, Organization Development, PR/Marketing, Risk Management, Strategic Planning, Community Contacts, Prior Board Experience, Revenue Generation
Thomas Hansen	253 Birch Lane St. Charles, IL 60175 Email: thansen@bankstcharles.com	President St. Charles Bank & Trust 411 W. Main St. St. Charles, IL 60174	2019	Finance, Organization Development, Public Relations, Strategic Planning, Community Contacts, Revenue Creation
Julie Harter	46W085 Ellithorpe Rd Hampshire, IL 60140 Email: Harter_Julie@yahoo.com	Executive Director, Ernst & Young 155 North Wacker Drive, Chicago, Illinois 60606	2019	Human Resources, Organization Development, Technology, Revenue Generation, Customer Experience Design
Patrick Leach	213 Pierce St. Elburn, IL 60119 Email: pat@controlplusinc.com	President Control Plus Inc. 738 N York St. Elmhurst, IL 60126	2019	Human Resources, Organization Development, Public Relations, Strategic Planning, Prior Board Experience and Community Contacts
Robert McDowell	3N231 E. Mary Lane St. Charles, IL 60175 Email: bobmcdowell@sbcglobal.net	Owner/President McDowell, Inc of St. Charles 521 West Main Street St. Charles, IL 60174	2019	Property Management & Construction, Strategic Planning, Community Contacts, Prior Board Experience
John Michalak	541 W. Thornwood Dr. South Elgin, IL 60177 Email: johnmichalak@sbcglobal.net	VP, Chicago Investment Advisory Council, Inc. 1601 E. Main St. St. Charles, IL. 60174	2017	Finance

Lazarus House Board of Directors

Beth Mooncotch	3108 S. Route 59, Ste. 124-360 Naperville, IL 60564 Email: bmooncotch@gmail.com	Social Worker, MSW	2017	Psychology/Social work, Community Contacts, Faith Community Connection, Revenue Creation, Organizational Development
Betsy Penny	90 Whittington Course St. Charles, IL 60174 Email: betspenny@sbcglobal.net	Retired, St. Charles City Council Alderman and Special Education Teacher	2019	Community Contacts, Organization Development
Jim Skaar	640 McKinley Ave Geneva, IL 60134 Email: jim@skaarlaw.com	Attorney Law Office of James D. Skaar 220 South 3rd St. Geneva, IL 60134	2017	Legal
Steve Sager	150 Woodward Ave Geneva, IL 60134 Email: Stevesager99@gmail.com	Retired, CPA, MBA Jones, Sager & Company LLC 1001 East Main St., Suite B St. Charles, IL 60174	2018	Finance, Organization Development
Pastor Dave Mann	310 S 14th St Saint Charles, IL 60174-2511 Email: pastordave@stcharlesfmc.org	Free Methodist Church representative	n/a*	Landlord perspective

Board development occurs:

- At each meeting as part of the education of members about the operations and ministry of Lazarus House.
- Through information shared with the Executive Board on fiduciary matters gleaned from conferences
- Through review of needs and risk areas in the strategic plan
- Through subcommittees on key Lazarus House matters. Newer and more experienced members will learn and share from each other through these committees.

Board elections: Take place annually at the June meeting. Individual Board members may be voted in during subsequent months if a vacancy exists and a qualified candidate is interested. There are a maximum of 17 voting members allowed on the Board at any one time. Terms are for 1, 2, or 3 year periods, with approximately 1/3 of the terms expiring each year. Officer positions are all one year terms. No limits exist on how many terms a Board member or officer may have. Applications for prospective candidates are gathered throughout the year. One or more Board members presents the candidate for consideration. Attributes considered in Board member selection are a balance of the types of skills listed in the far right column above, along with leadership, commitment to the mission of Lazarus House and the needs of the poor, and connection to the area served by Lazarus House.

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

FEB 04 2002

LAZARUS HOUSE
214 WALNUT ST
ST CHARLES, IL 60174-0000

Employer Identification Number:
36-4187609

DLN:

17053005740002

Contact Person:

DAVID B KOUCKY

ID# 31368

Contact Telephone Number:

(877) 829-5500

Our Letter Dated:

March 1998

Addendum Applies:

No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(1) and 170(b)(1)(A)(vi).

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(1) organization.

You are required to make your annual information return, Form 990 or Form 990-EZ, available for public inspection for three years after the later of the due date of the return or the date the return is filed. You are also required to make available for public inspection your exemption application, any supporting documents, and your exemption letter. Copies of these documents are also required to be provided to any individual upon written or in person request without charge other than reasonable fees for copying and postage. You may fulfill this requirement by placing these documents on the Internet. Penalties may be imposed for failure to comply with these requirements. Additional information is available in Publication 557, Tax-Exempt Status for Your Organization, or you may call our toll free number shown above.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

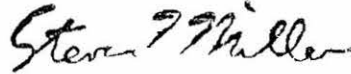
Letter 1050 (DO/CG)

LAZARUS HOUSE

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,

A handwritten signature in cursive script that reads "Steven T. Miller".

Steven T. Miller
Director, Exempt Organizations

Lazarus House FY17 and FY18 Proposed Budget

	FY17 Budget	FY17 Program Allocation			FY18 Budget	FY18 Program Allocation			FY16 Actuals
		ES	CTL	Outreach		ES	CTL	Outreach	
Income									
4000 · Donations	621,549	466,162	62,155	93,232	621,549	466,162	62,155	93,232	476,515
4950 · Occupancy Fees- CTL	20,000	0	20,000	0	20,000	0	20,000	0	20,575
4960 · Application Fees - CTL	700	0	700	0	700	0	700	0	700
4160 · LH Fundraising Events	125,000	41,675	41,663	41,663	125,000	41,675	41,663	41,663	123,707
4170 · Business Friend of LH	20,000	6,668	6,666	6,666	20,000	6,668	6,666	6,666	21,000
4200 · General Grants/Foundations	160,000	118,800	31,200	10,000	160,000	118,800	31,200	10,000	163,300
4300 · Government Grants	455,410	149,964	83,366	222,080	455,410	149,964	83,366	222,080	354,492
4500 · 708 Boards	57,800	41,616	16,184	0	57,800	41,616	16,184	0	56,800
4400 · United Way/ Community Chest	36,000	31,000	5,000	0	36,000	31,000	5,000	0	36,398
4600 · In Kind Contribution	28,000	20,160	7,840	0	28,000	20,160	7,840	0	27,913
4700 · Interest Income	700	504	196	0	700	504	196	0	775
4800 · Other Income	25,000	5,000	2,000	18,000	25,000	5,000	2,000	18,000	25,931
Total Income	1,550,159	881,549	276,969	391,641	1,550,159	881,549	276,969	391,641	1,308,106
Expense									
5400 · Payroll Expense	881,991	661,493	114,659	105,839	881,991	661,493	114,659	105,839	800,076
5500 · Employee Benefits	80,000	60,000	10,400	9,600	80,000	60,000	10,400	9,600	62,474
5000 · Advertising/ Community Outreach	2,000	667	667	667	2,000	667	667	667	1,735
5010 · Bank Service Charges	4,200	1,400	1,400	1,400	4,200	1,400	1,400	1,400	4,182
5015 · Cash Over/Short	0	0	0	0	0	0	0	0	5
5030 · Dues and Subscriptions	1,500	345	330	825	1,500	345	330	825	1,372
5050 · Furniture, Fixtures & Equipment	5,000	3,113	1,887	0	5,000	3,113	1,887	0	2,953
5060 · Guest Expenses	34,000	24,762	9,238	0	34,000	24,762	9,238	0	33,189
5071 · Outreach Materials & Supplies	0	0	0	0	0	0	0	0	97
5090 · Insurance	12,618	7,823	2,524	2,271	12,618	7,823	2,524	2,271	11,116
5095 · Insurance, Workers' Comp	25,000	17,250	4,000	3,750	25,000	17,250	4,000	3,750	24,263
5100 · Meals & Entertainment	0	0	0	0	0	0	0	0	0
5110 · Miscellaneous	2,000	1,000	500	500	2,000	1,000	500	500	3,774
5200 · Office Supplies	9,600	3,456	2,784	3,360	9,600	3,456	2,784	3,360	9,340
5600 · Postage & Delivery	5,000	1,850	1,150	2,000	5,000	1,850	1,150	2,000	4,658
5700 · Printing	3,500	1,167	1,167	1,167	3,500	1,167	1,167	1,167	3,497
5800 · Professional Fees	39,000	15,210	11,895	11,895	39,000	15,210	11,895	11,895	31,305
5900 · Recognition	900	300	300	300	900	300	300	300	1,288
6300 · Rental Assistance	222,080	0	0	222,080	222,080	0	0	222,080	201,458
6500 · Telephone	11,000	7,480	1,760	1,760	11,000	7,480	1,760	1,760	10,543
6600 · Training Expense	1,800	1,080	252	468	1,800	1,080	252	468	1,725
6700 · Travel	2,200	1,276	528	396	2,200	1,276	528	396	2,126
5040 · Fundraising	40,000	13,336	13,332	13,332	40,000	13,336	13,332	13,332	39,668
Total Program Expense	1,383,389	823,009	178,772	381,609	1,383,389	823,009	178,772	381,609	1,250,844
6900 · Interest Expense		0	0	0		0	0	0	0
6200 · Occupancy Expense	75,600	43,848	27,972	3,780	75,600	43,848	27,972	3,780	65,629
6400 · Repairs & Maintenance	14,000	10,640	3,095	265	14,000	10,640	3,095	265	12,461
5020 · Depreciation	77,170	23,923	47,074	6,174	77,170	23,923	47,074	6,174	76,984
Total Occupancy Expense	166,770	78,411	78,141	10,218	166,770	78,411	78,141	10,218	155,074
	1,550,159	901,419	256,913	391,827	1,550,159	901,419	256,913	391,827	1,405,917
Total Expense	1,550,159	901,419	256,913	391,827	1,550,159	901,419	256,913	391,827	1,405,917

Lazarus House
Balance Sheet
As of November 30, 2016
Nov 30, 16

ASSETS

Current Assets

Checking/Savings

1010 · 022 Op Checking - H.B	67,340.27
1040 · 282 HUD Operating - H.B	154,395.96
1050 · 812 CTL Operating - H.B	12,293.18
1060 · 4031 Benefit Checking - H.B	3,084.34
1070 · 0793 Operating Checking - PNC	1,939.00
1080 · 863 Vanco & Paypal Checking-H.B	21,698.49
1090 · 766 Debit Card Checking - H.B	366.82
1100 · Cash On Hand - Petty Cash	200.00
1110 · Gift Card	6,239.60
1140 · MB Financial Money Market	247,796.88
1145 · 825 STC Bank & Trust	248,536.87
1150 · Private Bank 152 IHDA RHSP Ckng	8,468.00
1165 · Securities America	159.92

Total Checking/Savings 772,519.33

Other Current Assets

Complete Payroll Payment	1,217.06
1350-00 · Prepaid Insurance	1,424.02
1355 · Prepaid Supplies Inventory	13,323.10
1380 · Prepaid Deposits	3,113.75
1390 · Prepaid Rent	3,000.00

Total Other Current Assets 22,077.93

Total Current Assets 794,597.26

Fixed Assets

1410 · Buildings Asset Account	1,579,029.88
1420 · Equipment Asset Account	48,122.73
1430 · Land Asset Account	290,000.00

Total Fixed Assets 1,917,152.61

TOTAL ASSETS 2,711,749.87

LIABILITIES & EQUITY

Liabilities

Current Liabilities

Credit Cards 234.91

Other Current Liabilities

2010 · Payroll Liabilities	4,617.02
2021-00 · Accrued Payroll	8,656.95
2023-00 · Accrued Vacation	30,638.34
2026 · Deferred Program Revenue	20,142.04
2028 · IHDA Lease Payments	5,703.00
2040 · Key Deposit- CTL	300.00

Total Other Current Liabilities 70,057.35

Total Current Liabilities 70,292.26

Total Liabilities 70,292.26

Equity

3100 · Temp restricted net assets 379,950.82

3900 · Unrestricted Net Assets 2,190,277.10

Net Income 71,229.69

Total Equity 2,641,457.61

TOTAL LIABILITIES & EQUITY 2,711,749.87

Lazarus House

Budget Performance Month & YTD

November 2016

	Nov 16	Budget	\$ Over Budget	% of Budget	Jul - Nov 16	YTD Budget	\$ Over Budget	% of Budget	Annual Budget
Income									
4000 · Donations	62,429.72	51,379.09	11,050.63	121.51%	256,895.44	258,978.75	-2,083.31	99.2%	616,549.05
4160 · LH Fundraising Events	1,910.00	10,416.67	-8,506.67	18.34%	32,832.67	52,083.31	-19,250.64	63.04%	125,000.00
4170 · Business Friends of LH	0.00	1,666.67	-1,666.67	0.0%	2,000.00	8,333.31	-6,333.31	24.0%	20,000.00
4200 · General Grants/Foundations	2,500.00	13,333.34	-10,833.34	18.75%	39,950.59	66,666.66	-26,716.07	59.93%	160,000.00
4300 · Government Grants	66,615.59	37,950.83	28,664.76	175.53%	271,260.71	189,754.19	81,506.52	142.95%	455,410.00
4400 · United Way/ Community Chest	9,397.49	3,000.00	6,397.49	313.25%	17,107.57	15,000.00	2,107.57	114.05%	36,000.00
4500 · 708 Boards									
4510 · St Charles 708 Comm. MHB	0.00				46,100.00				
4500 · 708 Boards - Other	0.00	4,816.67	-4,816.67	0.0%	0.00	24,083.31	-24,083.31	0.0%	57,800.00
Total 4500 · 708 Boards	0.00	4,816.67	-4,816.67	0.0%	46,100.00	24,083.31	22,016.69	191.42%	57,800.00
4600 · In-Kind Contribution	1,876.84	2,333.33	-456.49	80.44%	8,734.84	11,666.69	-2,931.85	74.87%	28,000.00
4700 · Interest Income	67.38	58.33	9.05	115.52%	334.58	291.69	42.89	114.7%	700.00
4800 · Other Income	1,794.98	2,083.33	-288.35	86.16%	15,307.35	10,416.69	4,890.66	146.95%	25,000.00
4950 · Occupancy Fees- CTL	1,201.43	1,666.67	-465.24	72.09%	6,928.51	8,333.31	-1,404.80	83.14%	20,000.00
4960 · Application Fee- CTL	0.00	58.33	-58.33	0.0%	50.00	291.69	-241.69	17.14%	700.00
Total Income	147,793.43	128,763.26	19,030.17	114.78%	697,502.26	645,899.60	51,602.66	107.99%	1,545,159.05
Expense									
5000 · Advrtsg/Comm Outreach	95.00	166.67	-71.67	57.0%	1,587.50	833.31	754.19	190.51%	2,000.00
5010 · Bank Service Charges	184.52	350.00	-165.48	52.72%	898.07	1,750.00	-851.93	51.32%	4,200.00
5020 · Depreciation	6,430.83	6,430.83	0.00	100.0%	32,154.15	32,154.11	0.04	100.0%	77,169.92
5030 · Dues and Subscriptions	0.00	125.00	-125.00	0.0%	230.00	625.00	-395.00	36.8%	1,500.00
5040 · Fundraising	12,658.93	3,333.34	9,325.59	379.77%	19,599.47	16,666.62	2,932.85	117.6%	40,000.00
5050 · Furniture/Fixtures/Equipment	38.49	416.67	-378.18	9.24%	471.57	2,083.31	-1,611.74	22.64%	5,000.00
5060 · Guest Expenses	2,652.35	2,833.34	-180.99	93.61%	11,398.84	14,166.62	-2,767.78	80.46%	34,000.00
5090 · Insurance	1,052.45	1,051.50	0.95	100.09%	5,983.98	5,257.50	726.48	113.82%	12,618.00
5095 · Insurance, Workers' Comp	2,160.74	2,083.33	77.41	103.72%	10,394.71	10,416.69	-21.98	99.79%	25,000.00
5110 · Miscellaneous	40.00	166.67	-126.67	24.0%	-740.87	833.31	-1,574.18	-88.91%	2,000.00
5200 · Office Supplies	568.68	800.00	-231.32	71.09%	4,120.45	4,000.00	120.45	103.01%	9,600.00
5400 · Payroll Expense	63,048.03	65,332.68	-2,284.65	96.5%	319,711.82	359,329.73	-39,617.91	88.97%	881,991.13
5500 · Employee Benefits	6,516.70	6,666.67	-149.97	97.75%	27,325.56	33,333.31	-6,007.75	81.98%	80,000.00
5600 · Postage & Delivery	1,921.16	0.00	1,921.16	100.0%	3,396.51	0.00	3,396.51	100.0%	0.00
5700 · Printing	452.00	291.67	160.33	154.97%	2,308.34	1,458.31	850.03	158.29%	3,500.00
5800 · Professional Fees	6,846.51	3,250.00	3,596.51	210.66%	19,215.48	16,250.00	2,965.48	118.25%	39,000.00
5900 · Recognition	289.00	75.00	214.00	385.33%	458.65	375.00	83.65	122.31%	900.00
6200 · Occupancy Expense	5,610.85	6,300.00	-689.15	89.06%	32,820.59	31,500.00	1,320.59	104.19%	75,600.00
6300 · Rental Assistance	18,372.84	18,506.67	-133.83	99.28%	80,912.77	92,533.31	-11,620.54	87.44%	222,080.00
6400 · Repairs & Maintenance	37.46	1,166.67	-1,129.21	3.21%	4,257.49	5,833.31	-1,575.82	72.99%	14,000.00
6500 · Telephone	797.19	916.67	-119.48	86.97%	3,344.53	4,583.31	-1,238.78	72.97%	11,000.00
6600 · Training Expense	0.00	150.00	-150.00	0.0%	549.43	750.00	-200.57	73.26%	1,800.00
6700 · Travel	161.05	183.34	-22.29	87.84%	1,647.66	916.62	731.04	179.75%	2,200.00
Total Expense	129,934.78	120,596.72	9,338.06	107.74%	582,046.70	635,649.37	-53,602.67	91.57%	1,545,159.05
Net Income	17,858.65	8,166.54	9,692.11	218.68%	115,455.56	10,250.23	105,205.33	#####	0.00



LAZARUS HOUSE 2016 ANNUAL REPORT

Our mission is to offer guests connected to our communities who are homeless or in need hope for the future by providing hospitality, food, safe shelter and education – tools for life.

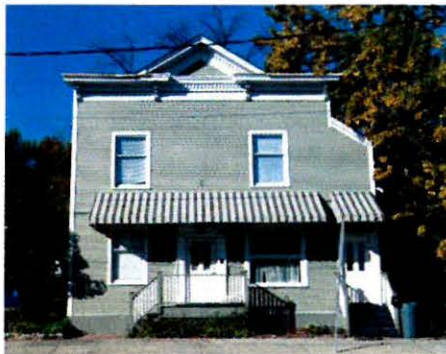
May all who enter feel the love of Jesus.

Lazarus House Facilities



Main Building (location for donation drop offs)

This facility houses the Emergency Shelter & Center for Transitional Living
214 Walnut St. (At corner of Walnut St. & 3rd St, entrance on 3rd St.)



Women & Children's Day Center
15 S. 3rd St



Community Resource Center
308 Walnut St.

We believe that everyone here is a gift from God, and we are here to help those that need assistance. We work together with many social service agencies, a large and wonderful network of volunteers, federal, state and community resources, and our donors to help provide the specific services needed by each person and family.

**Our desire is to give all those coming to our doors
the opportunity for hope and a future.**



Lazarus House
214 Walnut Street
St. Charles, IL 60174

Main Number: 630-587-2144
Outreach Number: 630-587-5872
Visit us at www.lazarushouseonline.com



The following pages outline these programs in greater detail.

If you've not had a chance to visit Lazarus House, we'd love to schedule a tour. Every year, we offer open house tours. During volunteer orientation days, we give tours to interested volunteers. Tours can be scheduled at other times, as appropriate.

We'd be glad to include you on our newsletter list. If you're not receiving our quarterly newsletter or monthly electronic newsletter and you'd like to, please give us a call at 630-587-2144 or visit "Sign Up!" on our website, www.lazarushouseonline.com.

We have many volunteer opportunities and would be happy to speak with you about those as well. We hold volunteer orientations approximately every 6 weeks.

We invite you to visit our website, www.lazarushouseonline.com, for more information.

Please know that we consider it an honor and a privilege to serve our community. We look forward to working together to provide hope and a future to those in need.

this setting, but he felt he had no choice. He also reported there was no heat or hot water, yet the owner of this home was more than willing to act as payee for "Joey's" monthly disability payment. It turns out that "Joey" has an IQ of 50 or so. On the night we first met "Joey" he had found the courage to run away from the abhorrent situation he had been living in and was attempting to make his way to his Mom's house in one of the small communities we serve west of Route 47. There was one major obstacle to "Joey's" journey; his mom did not want him.

"Joey" is safe at Lazarus House as we work with local and state agencies to both find him appropriate services and housing, as well as investigate the heinous situation he recently escaped. His case manager has worked to restart "Joey's" medical insurance coverage and make certain his Social Security Disability payments are no longer benefiting the "home" he escaped. "Joey" recently was assisted in finding part-time employment and loves the fact that he is earning money of his own. We are not sure how his story will end, but for now "Joey" knows that he is cared for and has a safe place to come to each day.

Lazarus House Women and Children's Day Center

The Women and Children's Day Center offers home-like space for our women and children during their waking hours. While the entire house is a blessing, we are especially thankful for the children's playroom, the fenced backyard with play equipment, and the space afforded for classes and education.

The WCC has a dedicated staff of women who are "house moms", keeping the house running smoothly 7 days per week. The case manager for our women is a caring, dedicated woman who is ready to do whatever it takes to help our women and their children of all ages achieve their goals.

Here is a story we'd like to share from the WCC:

"Melinda" came to Lazarus House after a long period of not working and being dependent on others for her well-being. Immediately upon arriving at Lazarus House, she showed such determination and diligence in accomplishing her goals. Often, not one day would pass after our weekly case management meetings and "Melinda" had already completed many of her weekly goals on her action plan. While her job search was a long process with frustration throughout, "Melinda" forged ahead and finally found a job. I remember the night before her first day of work. Seeing that she had been on Disability for the past 15 years, she was especially nervous planning what to wear and spent time packing her lunch and figuring out the transportation and reviewing what to say and do. Coming back after that first day, "Melinda" was extremely tired but felt so proud of herself. Right away, she wanted to meet with our volunteer CPA so she could begin budgeting. After returning from the first meeting with the CPA, she was so motivated and impressed by what he had to say. One of the most momentous occasions was at one month-end case management meeting and "Melinda" had saved \$100. She was beaming! She then told me that NEVER in her life had she ever saved any money. Her money was always gone by the 3rd week of the month. The feeling of accomplishment, pride and independence that Melinda felt was a blessing to see.

Through the referral by her Emergency Shelter Case Manager, “Sandra” moved into the Center for Transitional Living (CTL) with her children. Since coming to the CTL “Sandra” started saving money, worked with the Employment Coordinator and obtained a second part-time job with a better pay rate, got the confidence to acquire an agreement for monthly child support, and has the possibility of the better paying part-time job becoming full-time. Sandra has become a confident woman and is a great mother to her 3 children.

“Sandra’s” children have been prospering during their time at Lazarus House, as their teachers have been commenting on how well they have adjusted. They have been able to attend some fun activities provided through wonderful grant monies for families. It is so exciting to see how the hard work and persistence of this incredible young woman is moving her and her family forward.

Lazarus House Outreach

The prevention of homelessness and re-establishing self sufficiency in housing are the focus of all outreach program activities. Through grants from the U.S. Department of Housing and Urban Development (HUD), the Illinois Housing Development Authority (IHDA), and the Illinois Department of Human Services (IDHS), Lazarus House was able to provide housing support (rent/utility assistance) to households in our community who struggle daily to maintain or obtain housing.

The Outreach staff members are compassionate and skilled professionals who evaluate clients to determine what programs would best serve them, and what outside referrals would benefit them as well.

Lazarus House administers a HUD-funded grant, known as the Emergency Solutions Grant (ESG), awarded through IDHS. This grant assists qualifying Central Kane County households with expenses such as security deposits, rent, utilities credit repair, legal assistance, and moving and storage costs. Outreach staff provides case management, financial and budgeting assistance to help the client gain financial self-sufficiency.

Also funded by HUD are our “Samaritan” grants, which target those who are chronically homeless persons with a disability. Twenty individuals, formerly from our Emergency Shelter, have permanent supportive housing through these grants. Each person meets weekly with Outreach staff for case management. This meeting involves budgeting, goal setting, and other supportive services.

Lazarus House also administers the IDHS Homelessness Prevention Grant for Central Kane County. This program helps households who have experienced a one-time emergency that puts them at risk of losing their housing due to rent, mortgage or utilities in arrears. This program offers one-time financial assistance to get them caught up in order for them to remain housed.

Lazarus House continued to administer the IHDA pilot rent subsidy program until its closure in January 2016. This program provided ongoing rent subsidies to households in our community. From July through January, Lazarus House continued to assist the

Thought the past year and a half, "Jennifer's" work history has been varied and sporadic, working at a several restaurants and home improvement stores. She struggled to find a job that was the right fit for her. Despite having several different jobs, "Jennifer" continued to contribute to her savings account every paycheck that she received. "Jennifer" recently started a new job that she is extremely happy with. She has a set work schedule working daytime hours. The job is walking distance to her home and she now gets home at the same time every day, providing consistency to her and her son. She is home in the evenings to help him with his homework and she cooks dinner at the same time every night allowing them to sit down as a family to eat. Her son's grades in school have already started to improve and he is overall a much happier child.

As "Jennifer's" time in this program is drawing near, she is set to be successfully graduating the program in March of 2017. At that time she will be able to provide for her son and herself without the need for assistance. She works hard every day to stay on track with her goals because of her desire to be self-sufficient. "Jennifer" would like to share that "The Lazarus House has been a huge blessing in my life. Without the support and guidance of my Case Manager of this program, I could not have accomplished what I have. My life, and my son's life, has been forever changed because of this program. We could not be here today if it wasn't for this program. Our future is brighter because of all the wonderful people at Lazarus House."

Facility Improvements

In addition to all the case management, education and other life-building activities that go on under our roof, there is a great collaboration between volunteers, board members and staff to maintain the facilities. We also apply for and utilize foundation grants to complete major renovations.

Landscaping Update



We very fortunate to have many groups that come and assist us with keeping our outdoor areas trimmed and cleaned up. This year we were especially blessed to have Plandscape, Inc. from Elburn, Illinois volunteer to do a major overhaul of much of the landscaping surrounding our three buildings. After much cleanup, trimming, and digging out of overgrown plants, Plandscape came in with some new perennials, shrubs and decorative grasses to give us a fresh new look.



Community Resource Center Retaining Wall



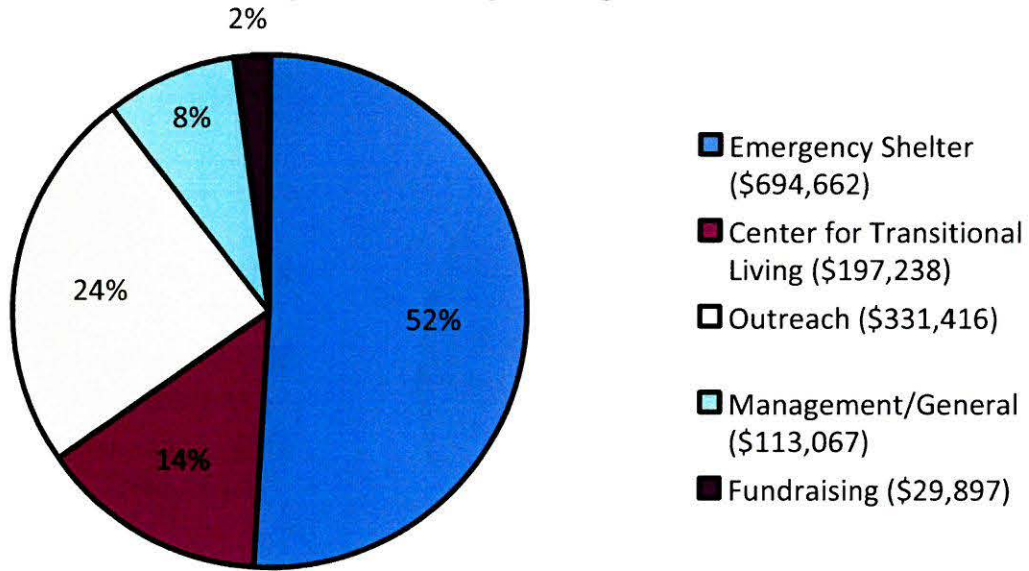
Plandscape also created a retaining wall on the backside of our Community Resource Center. For years, whenever there was a soaking rain, water would flow down the stairs that led to the basement of this building and end up creating large puddles on the basement floor. This moisture often wreaked havoc with our computer systems and hindered us from using the floor space as storage. With the new retaining wall we have a much drier space we can utilize.

Chimney Removal from our Women's and Children's Center



The chimney on this historical building has been non-functioning for years, but recently it began crumbling inside and outside the building. This created a safety hazard for the women and especially the children as it was part of the playroom area. Many volunteers came together to both supply the necessary manpower as well as the tools and means of disposal for the bricks for this project.

FY16 Expenses by Program Area



FY16 expenses totaled \$1,366,280. This includes \$221,042 in rent/utility assistance to clients.

Expenses in this fiscal year included only 8.3% spent on administrative costs (management and general costs). This covered director oversight time, administrative time of staff to handle correspondence, human resources, technology, the complexities of federal and state grant requirements and more.

Lazarus House Board of Directors, as of 6/30/16

Executive Officers

Betsy Penny, Board President
Retired St. Charles Alderwoman & Special Education Teacher

Deb Akins, Board Vice President
V.P., BMO Harris N.A.

Bob McDowell, Board Secretary
Owner/President, McDowell Inc. of St. Charles

Steve Sager, Board Treasurer
Retired CPA, MBA, Jones, Sager & Company LLC

Board Members

Lynette Anderson, Director of Children and Family Ministries, Hosanna!

Sandi Cook, Project Manager, Awana Club International

Diane DeWitte, Office Assistant, DuPage Airport Authority

Peter Fazio, Owner, FAP Sales, LLC, Full Access Products

John Glenn, Real Estate Broker Coldwell Banker Residential

Carolyn Hanna, Commercial Insurance Broker, Corkill Insurance Agency, Inc.

Thomas Hansen, President, St. Charles Bank & Trust

Julie Harter, Executive Director, Ernst & Young

Patrick Leach, President, Control Plus, Inc.

John Michalak, VP, Chicago Investment Advisory Council, Inc.

Beth Mooncotch, Social Worker, MSW

Jim Skaar, Attorney, Law Office of James D. Skaar

Advisory Directors

Dave Mann, St. Charles Free Methodist Church Representative

LAZARUS HOUSE
FINANCIAL STATEMENTS
AND
INDEPENDENT AUDITORS' REPORT
JUNE 30, 2016 AND 2015

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**BORHART
SPELLMEYER &
COMPANY, LLC**

certified public accountants and consultants

INDEPENDENT AUDITORS' REPORT

Board of Directors
Lazarus House
St. Charles, Illinois

We have audited the accompanying financial statements of Lazarus House (a nonprofit organization), which comprise the statements of financial position as of June 30, 2016 and 2015, and the related statements of activities and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Lazarus House as of June 30, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matter

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The schedules of functional expenses on pages 10-11 are presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements taken as a whole.

Borhart Spellmeyer & Company, LLC

Elgin, Illinois
October 13, 2016

FINANCIAL STATEMENTS

LIABILITIES AND NET ASSETS

	<u>2016</u>	<u>2015</u>
CURRENT LIABILITIES		
Accounts payable	\$ 4,526	\$ 380
Accrued payroll and benefits	14,152	42,238
Accrued vacation	30,638	26,401
Refundable advances	108,386	-
Accrued expenses	450	450
Deferred revenue	<u>18,760</u>	<u>-</u>
Total current liabilities	176,912	69,469
 NET ASSETS		
Unrestricted		
Net investment in fixed assets	1,949,306	2,026,290
Undesignated, available for general activities	<u>720,510</u>	<u>681,304</u>
Total unrestricted	2,669,816	2,707,594
Temporarily restricted	<u>231,101</u>	<u>379,952</u>
Total net assets	<u>2,900,917</u>	<u>3,087,546</u>
Total liabilities and net assets	<u><u>\$ 3,077,829</u></u>	<u><u>\$ 3,157,015</u></u>

The accompanying notes are an integral part of these financial statements.

Unrestricted	2015	
	Temporarily Restricted	Total
\$ 439,702	\$ 280	\$ 439,982
92,223	72,000	164,223
26,394	467,974	494,368
9,586	19,168	28,754
-	57,000	57,000
92,774	-	92,774
803	-	803
46,179	-	46,179
25,192	-	25,192
26,595	-	26,595
759,448	616,422	1,375,870
578,384	(578,384)	-
1,337,832	38,038	1,375,870
690,397	-	690,397
200,090	-	200,090
346,886	-	346,886
105,686	-	105,686
28,029	-	28,029
1,371,088	-	1,371,088
(33,256)	38,038	4,782
2,740,850	341,914	3,082,764
<u>\$ 2,707,594</u>	<u>\$ 379,952</u>	<u>\$ 3,087,546</u>

The accompanying notes are an integral part of these financial statements.

LAZARUS HOUSE

STATEMENTS OF CASH FLOWS

Years ended June 30,

	2016	2015
Cash flows from operating activities		
Change in net assets	\$ (186,629)	\$ 4,782
Adjustments to reconcile change in net assets to net cash provided (used) by operating activities:		
Depreciation expense	76,984	73,085
Donated assets	-	(59,904)
Change in operating assets and liabilities:		
(Increase) decrease in:		
Restricted cash	(180,907)	14,753
Receivables	88,817	(34,983)
Prepaid expenses	-	4,581
Increase (decrease) in:		
Accounts payable	4,146	(63)
Accrued payroll and benefits	(28,086)	12,036
Accrued vacation	4,237	1,414
Refundable advances	108,386	-
Accrued expenses	-	(200)
Deferred revenue	18,760	(170)
Net cash provided (used) by operating activities	(94,292)	15,331
Cash flows from investing activities		
Purchases of property and equipment	-	(16,032)
Net decrease in cash and cash equivalents	(94,292)	(701)
Cash and cash equivalents at beginning of year	682,170	682,871
Cash and cash equivalents at end of year	\$ 587,878	\$ 682,170

The accompanying notes are an integral part of these financial statements.

LAZARUS HOUSE

NOTES TO FINANCIAL STATEMENTS

June 30, 2016 and 2015

NOTE A - NATURE OF ORGANIZATION

Lazarus House (the Organization) is a nonprofit organization established to provide shelter and support services to the homeless within St. Charles, Batavia, Geneva, and western rural Kane County, Illinois through an emergency shelter program and a transitional housing program. The Organization also operates an outreach program to provide rental assistance and supportive services to low income residents of the area. The operations of Lazarus House are primarily supported by donor contributions and grants.

NOTE B - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A summary of the significant accounting policies applied in the preparation of the accompanying financial statements follows.

1. Basis of accounting

The financial statements of the Organization have been prepared on the accrual basis of accounting and, accordingly, reflect all significant receivables, payables, and other liabilities.

2. Estimates

The preparation of financial statements in conformity with the accrual basis of accounting requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

3. Financial statement presentation

The Organization has adopted the Financial Accounting Standards Board's (FASB) Accounting Standards Codification (ASC) on the presentation of financial statements of not-for-profit entities. Under these standards, the Organization is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. In addition, the Organization is required to present a statement of cash flows.

4. Cash and cash equivalents

The Organization considers all highly liquid investments available for current use with an initial maturity of three months or less to be cash equivalents. Restricted cash represents amounts received under a grant requiring receipts to be maintained in a separate bank account. This restricted cash amount does not include cash from other grants and contributions that are also restricted in their use, but which do not require the maintenance of a separate bank account.

5. Grants receivable and contingencies

Grants receivable are uncollateralized unconditional promises to give. Management individually reviews all grant receivable balances and based on an assessment of grantor's current funding status estimates the portion, if any, of the balance that will not be collected. As of June 30, 2016 and 2015, management considers all grant receivable balances to be collectible. If amounts become uncollectible, they are adjusted when that determination is made.

LAZARUS HOUSE

NOTES TO FINANCIAL STATEMENTS

June 30, 2016 and 2015

NOTE B - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

5. Grants receivable and contingencies – continued

The Organization receives significant financial assistance from several government agencies in the form of grants. The disbursement of funds received under these grants generally requires compliance with terms and conditions specified in the grant agreements. Disbursements are typically on a reimbursement basis; therefore, if the Organization does not incur sufficient qualified expenses, a portion of the grant may not be received. In the case of an advance payment of grant funds, a portion may become a liability to the Organization.

6. Property and equipment

The Organization capitalizes all expenditures for property and equipment with a useful life of over one year in excess of \$2,500. Purchased property and equipment are stated at cost. Donated property and equipment are stated at the approximate fair value at the date of donation. Depreciation is computed using the straight-line method over the following estimated useful lives:

	<u>Years</u>
Leasehold improvements	7-39
Building and improvements	10-39
Equipment	3-10

Routine maintenance and repairs are charged to operations in the year incurred. Gains and losses on dispositions of equipment are included as a change in net assets in the year of disposition.

7. Income taxes

The Organization is a not-for-profit organization that is exempt from federal income taxes under Section 501(a) as described in Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income tax expense is included in the accompanying financial statements.

The Organization files an annual exempt organization information return in the U.S. federal jurisdiction and Illinois state jurisdiction. The Organization is subject to examination by U.S. federal tax authorities and Illinois state tax authorities for three years after a return is due or filed, whichever is later.

8. Contributions and grants

Contributions and grants, including unconditional promises, are recognized as revenues in the period received. Conditional promises are not recognized until they become unconditional, that is, when the conditions on which they depend are substantially met. Contributions and grants received are recorded as increases in unrestricted, temporarily restricted, or permanently restricted net assets, depending on the existence and/or nature of any donor restrictions. Temporarily restricted net assets are reclassified to unrestricted net assets upon satisfaction of the time or purpose restriction.

LAZARUS HOUSE

NOTES TO FINANCIAL STATEMENTS

June 30, 2016 and 2015

NOTE B - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - Continued

9. Donated materials and services

Donated materials and supplies which pass through the Organization to the guests are not recorded as revenue or expenditures.

The Organization receives a substantial amount of services donated by local churches, community organizations, and individuals. The value of this contributed time is not reflected in the accompanying financial statements. The Organization received approximately 19,100 and 16,400 hours in the years ended June 30, 2016 and 2015, respectively.

10. Related party transactions

The Organization receives several contributions from its officers, board members, and volunteers. The Organization received contributions of \$22,500 in 2016 and \$21,360 in 2015 from board members and companies in which a board member is an owner.

11. Subsequent events

Management has evaluated subsequent events through October 13, 2016. This date represents the date the financial statements are available to be issued.

NOTE C - RENTAL ASSISTANCE SUBSIDY AGREEMENT

The Organization entered into a three year agreement effective July 1, 2014, with the Illinois Housing Development Authority (the Authority) to work with other Kane County agencies to provide rental housing support of \$544,856 for severely low income households through the agreement termination date of June 30, 2017. Under the terms of the agreement, the Organization received funding from the Authority and then disbursed subsidy funds to qualified landlords and agencies. As an agent of the Authority, the Organization recognized funding advances as refundable advances and restricted cash on the statements of financial position.

The Organization entered into additional three year term agreements effective July 1, 2012 and July 1, 2013 with the Illinois Housing Development Authority (the Authority) to work with other Kane County agencies to provide up to \$674,022 and \$435,656, in rental housing support for severely low income households through the agreement termination dates of June 30, 2015 and June 30, 2016, respectively. The agreement ending June 30, 2015 was renewed for an additional three year term ending on June 30, 2018 that will provide up to \$657,637 in rental housing support for severely low income households.

The Organization earns an operating fee under the terms of these agreements and are recognized as revenue when earned.

LAZARUS HOUSE

NOTES TO FINANCIAL STATEMENTS

June 30, 2016 and 2015

NOTE C - RENTAL ASSISTANCE SUBSIDY AGREEMENT – Continued

The agreement activity for the years ending June 30, 2016 and 2015 is as follows:

	Agreement Total	Refundable Advances	Deferred Revenue
Balance at June 30, 2014	\$ 170	\$ -	\$ 170
Receipts	510,783	484,558	26,225
Expenditures	(510,953)	(484,558)	(26,395)
Balance at June 30, 2015	-	-	-
Receipts	422,697	378,190	44,507
Expenditures	(295,551)	(269,804)	(25,747)
Balance at June 30, 2016	<u>\$ 127,146</u>	<u>\$ 108,386</u>	<u>\$ 18,760</u>

NOTE D - LINE OF CREDIT

The Organization has \$250,000 available under a collateralized line of credit agreement with a bank, expiring March 1, 2017. Borrowings on the line of credit bear interest at the bank's prime rate plus 0.50% (3.50% at June 30, 2016). The line is secured by substantially all assets of the organization. There were no outstanding balances at June 30, 2016 and 2015.

NOTE E - OPERATING LEASE

On May 1, 2001, the Organization entered into a 15-year lease for its primary operating facility that began on March 7, 2002, the date of issuance of the occupancy permit. The Organization has the option to extend the lease for an additional 5-year term. The lease required monthly rent of \$1,226 plus agreed-upon reimbursement allocations of operating expenses such as utilities, security system, and insurance. Effective April 1, 2016, the Organization agreed to amend the lease for an additional 5-year term and increased the monthly rent to \$2,452 plus agreed-upon reimbursement allocations of operating expenses such as utilities, security system, and insurance.

The total future minimum lease commitments on operating leases as of June 30, 2016 are as follows:

2017	29,424
2018	29,424
2019	29,424
2020	29,424
2021	29,424
Thereafter	<u>19,616</u>
	<u>\$ 166,736</u>

LAZARUS HOUSE

NOTES TO FINANCIAL STATEMENTS

June 30, 2016 and 2015

NOTE E - OPERATING LEASE - Continued

Occupancy expense for the years ended June 30, 2016 and 2015 totaled \$65,629 and \$62,485, respectively. In 2016, occupancy expense consists of \$18,363 rent for the primary operating facility, \$34,302 of additional costs agreed to by the Organization for the primary facility, and \$12,964 for expenses related to other facilities owned by the Organization. In 2015, total occupancy expense consisted of \$14,712 for rent for the primary facility, \$34,875 for additional costs agreed to by the Organization for the primary facility, and \$12,898 for expenses related to other facilities owned by the Organization.

NOTE F - RESTRICTIONS ON NET ASSETS

Temporarily restricted net assets totaling \$125,142 and \$130,389 at June 30, 2016 and 2015, respectively, are available to provide various support services to the Organization's guests. Additional temporarily restricted net assets totaling \$105,959 and \$249,563 at June 30, 2016 and 2015, respectively, are held with time restrictions that will expire during the 2017 fiscal year.

NOTE G - NET ASSETS RELEASED FROM RESTRICTION

Net assets were released from donor imposed restrictions by incurring expenses satisfying the restricted purposes or by occurrence of other events specified by donors as follows:

	<u>2016</u>	<u>2015</u>
Purpose restriction accomplished:		
Program expenses	\$ 507,731	\$ 565,804
Capital expenditures	<u>-</u>	<u>12,580</u>
Total restrictions released	<u>\$ 507,731</u>	<u>\$ 578,384</u>

NOTE H - CONCENTRATIONS

The Organization maintains its cash balances in six financial institutions located in St. Charles, Illinois and Batavia, Illinois. The balances are insured by the Federal Deposit Insurance Corporation up to \$250,000. There were no uninsured cash balances at June 30, 2016 and 2015.

SUPPLEMENTAL INFORMATION

LAZARUS HOUSE

SCHEDULE OF FUNCTIONAL EXPENSES

Year ended June 30, 2016

	Program Services				Support Services		Total
	Emergency Shelter	Transitional Housing	Outreach	Subtotal	Management and General	Fundraising	
Compensation and related expense							
Compensation	\$ 470,311	\$ 88,183	\$ 88,183	\$ 646,677	\$ 66,138	\$ 22,046	\$ 734,861
Payroll taxes	39,664	7,437	7,437	54,538	5,578	1,859	61,975
Employee benefits	39,983	7,497	7,497	54,977	5,623	1,874	62,474
	549,958	103,117	103,117	756,192	77,339	25,779	859,310
Advertising	578	578	578	1,734	-	-	1,734
Bank service charges	-	-	-	-	4,187	-	4,187
Conferences and training	1,104	207	207	1,518	155	52	1,725
Depreciation	28,723	41,022	5,180	74,925	2,059	-	76,984
Dues and subscriptions	312	312	612	1,236	136	-	1,372
Furniture and fixtures	2,037	915	-	2,952	-	-	2,952
Guest expense							
Clothing	1,752	234	-	1,986	-	-	1,986
Substance abuse	381	211	-	592	-	-	592
Food and toiletries	17,241	7,667	-	24,908	-	-	24,908
GED	66	29	-	95	-	-	95
Medical	265	37	-	302	-	-	302
Miscellaneous	642	349	-	991	-	-	991
Program materials	502	226	-	728	-	-	728
Transportation	3,685	-	-	3,685	-	-	3,685
Rental assistance	-	-	201,458	201,458	-	-	201,458
Insurance							
Property and casualty	5,549	1,867	1,301	8,717	2,238	161	11,116
Workers' compensation	15,528	2,912	2,912	21,352	2,183	728	24,263
Miscellaneous	254	-	-	254	3,590	-	3,844
Printing	933	933	933	2,799	-	699	3,498
Office supplies	2,242	2,242	2,242	6,726	2,240	374	9,340
Payroll processing	2,074	389	389	2,852	291	97	3,240
Postage and delivery	1,384	811	756	2,951	573	1,063	4,587
Professional fees	5,902	5,902	5,902	17,706	13,599	-	31,305
Recognition	-	-	-	-	1,288	-	1,288
Occupancy	38,629	22,735	3,178	64,542	897	190	65,629
Repairs and maintenance	6,812	3,023	1,131	10,966	1,121	374	12,461
Telephone	6,748	1,265	1,265	9,278	949	316	10,543
Travel and entertainment	1,361	255	255	1,871	222	64	2,157
	<u>\$ 694,662</u>	<u>\$ 197,238</u>	<u>\$ 331,416</u>	<u>\$ 1,223,316</u>	<u>\$ 113,067</u>	<u>\$ 29,897</u>	<u>\$ 1,366,280</u>

LAZARUS HOUSE

SCHEDULE OF FUNCTIONAL EXPENSES

Year ended June 30, 2015

	Program Services				Support Services		Total
	Emergency Shelter	Transitional Housing	Outreach	Subtotal	Management and General	Fundraising	
Compensation and related expense							
Compensation	\$ 443,372	\$ 83,132	\$ 83,132	\$ 609,636	\$ 62,349	\$ 20,783	\$ 692,768
Payroll taxes	38,962	7,305	7,305	53,572	5,479	1,826	60,877
Employee benefits	56,418	10,578	10,578	77,574	7,934	2,645	88,153
	538,752	101,015	101,015	740,782	75,762	25,254	841,798
Advertising	887	887	887	2,661	-	-	2,661
Bank service charges	-	-	-	-	2,519	-	2,519
Conferences and travel	430	81	167	678	61	19	758
Depreciation	24,748	40,905	5,160	70,813	2,272	-	73,085
Dues and subscriptions	336	336	881	1,553	120	-	1,673
Furniture and fixtures	3,959	2,400	-	6,359	-	-	6,359
Guest expense							
Clothing	2,469	437	-	2,906	-	-	2,906
Substance abuse	185	163	-	348	-	-	348
Food and toiletries	16,604	7,442	-	24,046	-	-	24,046
GED	1,469	262	-	1,731	-	-	1,731
Medical	457	14	-	471	-	-	471
Miscellaneous	2,313	1,502	-	3,815	-	-	3,815
Program materials	3,839	1,765	-	5,604	-	-	5,604
Transportation	3,714	-	-	3,714	-	-	3,714
Rental assistance	-	-	221,042	221,042	-	-	221,042
Insurance							
Property and casualty	6,201	2,077	1,558	9,836	3,352	181	13,369
Workers' compensation	10,980	2,059	2,059	15,098	1,544	515	17,157
Miscellaneous	771	349	23	1,143	1,464	-	2,607
Printing	514	514	514	1,542	-	385	1,927
Office supplies	2,348	2,348	2,467	7,163	2,348	392	9,903
Payroll processing	1,816	341	341	2,498	255	85	2,838
Postage and delivery	1,070	944	1,219	3,233	1,262	631	5,126
Professional fees	6,702	4,960	4,960	16,622	12,352	101	29,075
Recognition	-	-	-	-	793	-	793
Occupancy	36,198	22,871	2,676	61,745	601	139	62,485
Repairs and maintenance	16,210	4,714	402	21,326	-	-	21,326
Telephone	6,011	1,127	1,127	8,265	845	282	9,392
Travel and entertainment	1,414	577	388	2,379	136	45	2,560
	<u>\$ 690,397</u>	<u>\$ 200,090</u>	<u>\$ 346,886</u>	<u>\$ 1,237,373</u>	<u>\$ 105,686</u>	<u>\$ 28,029</u>	<u>\$ 1,371,088</u>

**City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended Checklist**

It is the responsibility of the agency requesting City of St. Charles 708 Mental Health Board funding to verify all Accountability Report requested information is submitted at the time of application submission.

Please use the following checklist as a guide to compile your application packet for submission. Incomplete applications or applications submitted after January 6, 2017 – NOON will not be considered for funding for the 2017/2018 funding period.

Please submit your information in the order in which it appears on the application and initial on the line provided to ensure all items are included in your packet.

1. KB Agency and contact information completed.
2. KB 2017/2018 total funding request is: \$64,000.
3. Completed narrative mission of the organization as it relates to the City of St. Charles 708 Mental Health Board's mandate for services to residents with, or at risk of:
 - a. mental illness and psychological disorders,
 - b. developmental disabilities, including mental retardation, cerebral palsy, epilepsy and autism spectrum disorders,
 - c. addictions including chemical; alcohol and substance abuse, and other addictions (gambling, sexual, shopping, etc.).
4. KB Grant requestor has specifically described organization's goals as it relates to the City of St. Charles mission.
5. KB Individuals served may only be counted once, regardless of the number of times served per fiscal year through direct service hours. Grant requestor has specifically described the percent and dollar amount of expenditures toward the benefit of the City of St. Charles residents in the following categories:
 - a. 1:1 direct services with clients (e.g., 50% or \$5,000 of \$10,000 total grant funds).
 - b. Direct services to groups of clients.
 - c. Workshops, lectures, and community presentations for education and training.
 - d. Administrative expense, office support, and overhead for agency expense.
6. KB If available, please include a synopsis of your outcome measurements for the funding you are requesting.
7. KB With the application provide the following information:
 - KB An annual detailed budget or year ending Financial Statements and annual report.
 - KB St. Charles 708 Fund Expenditure History for past three years.
 - KB The total number of individuals your agency served in the past year 3,882.
 - KB Direct number of individuals living within the City of St. Charles city limits served 229.
 - KB Direct number of service hours provided to City of St. Charles residents: 1,425.
 - KB Quantified costs allocated to the services provided, (i.e. cost per hour and or program costs for the City of St. Charles residents) \$496,592.
 - KB Identify other services provided to and for the City of St. Charles residents.
 - KB Attach a list of current Board of Directors for your agency as specified in our application.
 - KB Attach a copy of your current 501 (c) (3).
 - KB MAKE SURE description on your financial statement reads City of St. Charles 708 Mental Health.
8. KB PLEASE PROVIDE 10 COPIES OF YOUR COMPLETED APPLICATION AND INFORMATION.

Keep in mind individuals are to be counted only once, regardless of the number of times served per fiscal year though the direct number of hours these individuals are served need to be quantified.

Ecker Center for Mental Health

Name of Agency

Agency submitting their funding request application

Date 1/5/2017

KAREN BEYER

Print Name of Person Completed Application

Karen Beyer

Signature

Executive Director

Title/Position

Date: January 5, 2017

City of St. Charles
708 Mental Health Board
Accountability Report for Funds Expended

Agency Name: Ecker Center for Mental Health

Contact Person: Karen Beyer **Phone #:** 847-695-0484

Main Office Address: 1845 Grandstand Place
Elgin, IL 60123

Funding requested from City of St. Charles 708 Board for fiscal year 2017/18: \$64,000

Describe mission (include narrative explaining how mission relates to City of St. Charles):

Our mission is to empower our clients in creating their highest quality of life by providing timely and effective behavioral health services. St. Charles residents receive individual and group therapy services at our St. Charles and Elgin offices. They also receive emergency psychiatric assessments, available around the clock 365 days a year, at Advocate Sherman or Presence St. Joseph Hospitals. The emergency assessments may include facilitation of hospitalization, supportive counseling or referrals to appropriate programs at the Ecker Center or other organizations. St. Charles residents also use the services of Ecker Center's psychiatrists who prescribe medications and nurses who help them obtain those medications and use them correctly. An independent pharmacy that specializes exclusively in serving people with mental illnesses is located inside our Elgin offices. Our case managers provide assistance in obtaining money on which to live, housing, dental and medical care as well as personal monitoring to help people avoid hospitalization. Psychosocial Rehabilitation services help St. Charles residents with severe mental illnesses learn skills of daily living, illness management and job readiness. We have a special waiting room and medical examination room at our Elgin Office for an Advance Practice Nurse from VNA Health Care. She is there semiweekly and can provide primary healthcare to any residents of St. Charles who are clients of the Ecker Center. NAMI Kane County North also has an office in our Elgin facility.

On occasion a St. Charles resident may require daily supervision at one of Ecker's seven supervised living facilities. One of the residences is supervised 24 hours a day and five are supervised only during the day. A seventh residential program is available to St. Charles residents who have an acute mental illness that does not require hospitalization and to residents who are leaving a short psychiatric hospitalization and are not yet ready to return to the community. This Crisis Residential program offers two weeks of 24

hour residential care provided by mental health professionals with psychiatry and nursing support available all day and night. This program is offered in conjunction with an Intensive Outpatient Program that offers day time programming for people in crisis who choose to return home at night. These residential facilities and the Intensive Outpatient Program are located in Elgin.

Describe specific goals that fit City's mission and indicate how goals will be accomplished.

The Ecker Center's goal of providing comprehensive mental health services assists the City in its stewardship role of maintaining the social character of the City. The Ecker Center offers those citizens who are suffering from a mental illness the assistance they need to maintain their contributions to the City's positive social character, or in their recovery process begin to make contributions to the positive social character of the community. These same services can help some citizens dramatically lighten their personal and/or family burden and preoccupation with mental illness and assist them in achieving the positive mental health that is required to provide input to the City governmental process, as well as other civic activities in the community.

The Ecker Center's goal of collaboration with the City of St. Charles includes its grateful receipt of funding from the City's Mental Health Board and its provision of counseling services in its City of St. Charles office as well as its presence at the nearest local hospital, Northwestern Medicine Delnor's Emergency Department, when assistance in evaluation and state funded inpatient treatment is needed by a St. Charles resident.

The Ecker Center Psychiatric Emergency Program helps the City of St. Charles provide a safe and secure community for its citizens. The Ecker Center's Psychiatric Emergency Program is the only such program serving the St. Charles area. It provides quick, round the clock assessment and intervention in true psychiatric emergencies. The program helps those who would harm themselves or others due to severe untreated psychosis and depression, and in this manner the Ecker Center contributes to the safety and security of the community.

Like the City of St. Charles, the Ecker Center strives to treat its customers with courtesy, respect and dignity at all times and to continually engage in a positive approach to problem solving.

The City of St. Charles and the Ecker Center share the goal of outcome driven decisions and service provision. The Ecker Center's community programs have clinical outcomes that are continually measured. The goals and objectives (outcomes) in its strategic plan are measured quarterly.

Like the City, the Ecker Center is committed to best practices in its field. The Center is constantly modifying its services to reflect the recognized best practices and evidence based practices in the field of mental health treatment and recovery services. The Ecker Center clearly documents continuous performance improvement process at all levels of the organization, which helps it provide the best services possible. The Center is accredited by The Joint Commission which holds its accredited organizations to high standards of clinical performance and adherence to the latest best practices.

The Ecker Center's bilingual staff and staff training in cultural sensitivity reflect commitment to group and cultural diversity.

The Ecker Center is continually striving to provide services that meet the actual needs of citizens of the City of St. Charles and as a result the Center actively seeks funding from a wide range of sources: private, corporate and governmental.

Describe specifically how allocated funds will be expended and quantify services provided to the City of St. Charles:

- **Attach a budget detail for the funds sought.**
- **Include a brief program description on each item or service**
- **Funds from 708 Mental Health Board and/or funds from other sources.**

St. Charles mental health funds would be expended for the specific services that St. Charles residents need from the full range of Ecker Center's services. The following services are provided by the Ecker Center. Ecker Center's psychiatrists provide evaluations, medication prescriptions and monitoring for children, teens and adults. Nurses provide medication monitoring, injections and assistance in obtaining medications and/or required medical tests for adults. Case managers provide adults with assistance in obtaining stable sources of income, food, clothing, housing, medical and dental care and they monitor clients to avert crisis. Psychiatric Emergency Program (PEP) staff are available to St. Charles residents aged 5 and older who are experiencing a psychiatric crisis at any time of the day or night. The PEP therapists provide evaluations, make referrals and help arrange for psychiatric inpatient treatment for those who require it. Counselors provide evaluations, individual and group counseling and referrals for adults, teens and children. Psychosocial rehabilitation staff assist adults with severe mental illnesses to manage their illnesses and learn to handle the tasks of daily living adequately.

Four residential options are available to adult community residents. The most intensive is the short-stay Crisis Residential Program, for those in severe psychiatric crisis. A psychiatrist visits the clients frequently to monitor their progress and adjust their medications. A second longer term residential option provides twenty four hour supervision, meal preparation and on-site case management at a group home. A third residential program provides day-time case management to clients who live in their own apartments and prepare their own meals. A fourth option provides assistance in paying rent and off-site case management to adults living in their own apartments.

VNA Health Care provides low cost and sliding fee scale primary care to our clients at our Elgin location. Genoa/Qol also operates a pharmacy for our clients at our Elgin facility.

Our services are available to low income individuals and 51% of the Ecker Center's clients have incomes at or below the federal poverty level. In the past we could rely on state grants for much more assistance in providing services to these individuals, however by fiscal year 2015 the state had reduced our state grants by over \$800,000. In fiscal year 2016 the state took away an additional \$613,700 in grants - for psychiatry and for people without Medicaid when these grants were eliminated for all Illinois community mental health centers. Our canceled psychiatry grant used to pay for almost half of our costs to provide psychiatry, while the other half was provided by Medicaid billing. The grants received from the State of Illinois were intended to make up for the state's inadequate Medicaid payment rates, which haven't been increased for many years. Due to the state not having a FY 2016 budget, community

mental health centers, such as Ecker, were not paid what the state owed them on their executed state grant contracts in FY 2016. Combined, these amounts totaled \$995,946.

We covered as much as we could of this lost grant funding by using our reserves, increasing fundraising, reducing staffing and cutting operating costs such as staff benefits and unfortunately psychiatry and also services to people without Medicaid or private insurance that we accept. We also closed a group home so we could sell the building to help us through this period.

In the current fiscal year we received \$807,591 of the state grant money owed us for FY 2016 and \$465,314 which is 69% of the state grant money owed us for the first 6 months of FY 2017. Unless elected officials enact a FY 2017 state budget we will not receive any more of the state grant payments owed us for this fiscal year. The cost cutting measures and increased fund raising that we have taken on will ensure that we can continue to provide all services without reductions into the fall of 2017.

For the last seven years the state has contracted with managed care insurance companies that in turn contract with the Ecker Center to provide services. The State of Illinois plus eleven insurance companies manage payments for our Medicaid and Medicare beneficiary clients. Each has its own preauthorization, service duration and billing procedure requirements as well as prescription formularies. Complying with all these rules is uncompensated work and takes time away from client services for case managers, nurses, therapists, receptionists, psychiatrists and residential staff. We have increased our billing staff from 2 to 5 individuals and pay a clearing house in order to submit our bills to the insurance companies. Overhead costs have increased dramatically, with no increased compensation from the state for these added costs of doing business.

As a result of the withdrawal of state grant funding we projected that we would serve fewer St. Charles residents during the uncertain duration of the funding crisis and that is reflected in services reported for FY 2016. Currently the only program where we have a shortage of service available is psychiatry. We have written many grant requests to fund our psychiatry program that have generated \$95,620 this year. We are currently working with another organization that may help us increase the psychiatry hours available at our clinic.

If available, please include a synopsis of your outcome measurements for the funding you are requesting.

All of our programs have outcomes. Our Case Management, Therapy and Psychosocial Rehabilitation clients' ability to function is evaluated using a normed instrument, the Daily Living Assessment (DLA), at intake, every 6 months after and/or at service termination. Clients are scored on their functioning in multiple areas: health/mental health, time management, money management, nutrition, problem solving, family relationships, alcohol/drug use, leisure activities, use of community resources, productivity, coping skills and others. DLA scores are converted into Global Assessment of Functioning (GAF) scores, which are recorded and aggregated electronically to yield program outcomes. Last fiscal year 98% of our Case Management clients in our outpatient programs stabilized or improved their functioning and 84% of our Case Management clients in our residential programs stabilized or improved their functioning.

In addition, 97% of our Therapy and Psychosocial Rehabilitation clients stabilized or improved their functioning between the beginning and ending of their therapy.

In our Psychiatry Program, clients' medications' efficacy is evaluated using a questionnaire which asks clients: "How is your medication or medications that you have taken for at least 6 months, working to control your symptoms of mental illness?" Answer choices are:

- 1: Not working at all, no change in symptoms
- 2: Very little improvement, limited change in symptoms
- 3: Moderate improvement, some stabilization of symptoms
- 4: Good, symptoms managed with some lingering symptoms
- 5: Symptoms completely controlled.

Last year, 87% of clients reported a moderate or better improvement of their symptoms after taking prescribed psychotropic medication for 6 months.

In our Psychiatric Emergency Program (PEP) clients may use Ecker services only once. So after service is provided they are asked three questions which are recorded and tabulated. Last year's results are: (1) on average clients reported that staff reduced their distress by 1.84 on a 10 point scale. (2) 87% of clients said that they were provided a crisis care plan. (3) 85% said that they were given referrals for or were linked with them for assistance.

Ninety one percent of Crisis Residential and IOCP clients stabilized or improved their functioning as measured by the DLA scale after using these services.

STC 708 Board Funding Request

Date: January 5, 2017

Agency Name: Ecker Center for Mental Health

St. Charles 708 Fund Expenditure History – explain how funds allocated from previous three years were expended and purposes of those expenditures in relation to St. Charles residents of the funding you received last year. Note: if funding allocation is over \$25,000, attach most recent financial statements, audit and annual report:

Funds were expended primarily for staff and also for commodities, contractual services, and other expenses incurred in the process of serving St. Charles residents during the last three years. The \$59,000 received last year was all spent for service to St. Charles residents. More specifically:

- a. \$40,710.00 (69%) was spent on one-on-one direct service to clients
- b. \$12,183.50 (20.65%) was spent on direct client service in groups
- c. \$206.50 (.35%) was spent on presentations to educate St. Charles residents
- d. \$5,900.00 (10%) was spent on administrative, office support and overhead for agency expense.
- e. Expenditures during the previous two years were very similar.

On an annualized (12 month) basis, provide information on City of St. Charles residents served:

Direct service: number of individual clients/consumers served: 229

Aggregate number of direct service hours provided: 1425

Describe agency cost basis per client/consumer served (e.g., per hour cost and/or program costs allocated to City of St. Charles residents and how this was derived):

City of St. Charles residents are served mainly in our St. Charles office, but are also seen in the Elgin and Streamwood offices. Costs to provide services to consumers vary by the type of services rendered. Ecker Center's costs for one hour of service range from \$15 for Psycho-Social Rehabilitation to \$240 for a psychiatric visit. Extending our standard costs per procedure hour gives us a standard total expense of \$196,592. That amount divided by the total number of hours devoted to St. Charles residents (1,425) gives us a cost basis per consumer per hour of \$138.

Identify other services provided to and for the benefit of City of St. Charles' residents (education, presentations, volunteer training and group activities/events).

The Ecker Center works very closely with the Lazarus House providing therapy services just across the river from the Lazarus House in St. Charles. Ecker Center joined Lazarus House and other social service organizations to apply for an IDHEA housing subsidies grant program that was possible because of the collaborative effort. Low income St. Charles residents are benefiting from the housing subsidies that the collaborative was able to obtain. The Ecker Center sublets office space from the Renz Center in St. Charles and this is a cost saving option for both organizations. The Ecker Center and the Renz Center are also participating again in a SAMHSA grant to serve people with both mental health and substance or alcohol abuse challenges.

The Ecker Center participates in the Kane County (mental health) Treatment Alternative Court (TAC) by providing services to people referred by the Court, staffing new cases and serving in an advisory capacity to TAC. Ecker Center has produced more successful graduates of the TAC program than any other participating agency. In 2015 the Ecker Center also began to provide similar services to the Kane County Drug Court. The Center is an active member of the Kane County Mental Health Council, an inclusive group of all mental health providers in the county.

We collaborate with the two NAMI chapters that are active in St. Charles. Our Executive Director serves on the board of NAMI Kane, DeKalb, Kendall (NAMI KDK). The Ecker Center provides support for NAMI Kane County North by providing free office space in its Elgin facility. During the year, Ecker staff

members made two presentations, one on our crisis services and the other an overview of all our programs to St. Charles residents who are interested in supporting mental health services in the City of St. Charles and surrounding areas.

Attach a list of current Board of Directors for your agency, listing the length of time that each Board member has served in their current term. Also attach a brief summary of how Board members are elected, the qualifications sought, and any term limits for Board members.

Attach a copy of current 503C or tax exempt status certification.

STC 708 Board Funding Request

Date: January 5, 2017

Agency Name: Ecker Center for Mental Health

Please note that agencies that are allocated funds from the STC 708 Board in excess of \$25,000.00 in any fiscal year are also subject to the following requirements:

1. Monthly financial statements must be submitted to the Government Operations Committee of the St. Charles City Council by no later than the 15th day of the following month.
2. An annual report must be submitted to this same Committee.
3. A representative of the agency must make a brief presentation describing the purpose of the agency, it's future plans and how it's programs and services have benefited the residents of St. Charles to this Committee on an annual basis at a date and time to be determined (following the allocation recommendation process by the STC 708 Board, but prior to the actual release of any funds).

Funding request amount for current fiscal year \$ 64,000 **Application Date:** January 5, 2017

For STC 708 Board Only:

Recommended funding for current fiscal year: \$ _____ **Recommendation Date:** _____

Ecker Center for Mental Health

Budget F' 2017

Statement of Activity

		St. Charles Budget	Ecker Budget
Revenues			
Public Support			
4010-*	Contributions	30,590	230,000
4015-*	In-kind Contributions	399	3,000
4110-*	United Way - Barrington	582	4,375
4120-*	United Way - Elgin	4,429	33,300
4130-*	Far Northwest Suburban Unite	3,990	30,000
01-4140-112	United Way - Fox Valley	1,848	13,893
01-4150-112	Community Chest-Geneva	177	1,330
Total Public Support		<u>42,014</u>	<u>315,898</u>
Fees & Grants - Governmental			
4210-*	Geneva 708 Board	4,123	31,000
4220-*	Hanover Mental Health	11,305	85,000
01-4230-112	St. Charles 708 Board	7,980	60,000
4240-*	Grant-In-Aid	175,526	1,319,742
4260-*	Dundee Township	0	0
01-4261-112	St. Charles Township	399	3,000
01-4262-999	Elgin Township	5,985	45,000
01-4265-111	Barrington Township	399	3,000
4270-*	HUD	93,736	704,779
01-4286-570	Kane County Drug Court	1,995	15,000
01-4287-570	Kane County-Treatment Alter	1,995	15,000
01-4288-570	Renz/SAMHSA CHIP Progra	0	0
01-4290-970	Other government grants	0	0
4310-*	Fee For Service - Medicaid	78,571	590,760
4320-*	Fee For Service - Non-Medicaid	0	0
01-4330-790	Fee For Serv.-PAS Eval.	6,650	50,000
01-4340-570	Fee For Service - HBS	64	480
Total Fees & Grants - Governmental		<u>388,727</u>	<u>2,922,761</u>
Fundraising			
01-4410-990	Festival of Trees	8,911	67,000
4420-*	Summer Fundraiser	665	5,000
4430-*	Winter Fundraiser	246	1,850
01-4435-990	Wishes For Wellness Brunch	2,261	17,000
01-4460-990	Awards Event	333	2,500
01-4470-990	December Mailing	1,729	13,000
01-4480-999	Memorials	0	0
Total Fundraising		<u>14,145</u>	<u>106,350</u>
Program Service Fees			
4610-*	Patient Fees - Voluntary	4,437	33,363
4620-*	Private Insurance Payments	144,079	1,083,300

Ecker Center for Mental Health

Budget F' 2017

Statement of Activity

		St. Charles Budget	Ecker Budget
4630-*	Patient Fees - Public Aid	13,632	102,493
4640-*	Medicare	14,946	112,375
4650-*	Rental income- clients	37,174	279,500
01-4660-620	Reimbursements - CILA	0	0
01-4680-570	Fee for Service - Rep Payee	904	6,800
01-4715-570	Contracts-US Probation Office	3,478	26,148
01-4720-580	Contracts - St. Joe's	3,990	30,000
01-4740-580	Contracts - PEP	5,320	40,000
Total Program Service Fees		<u>227,959</u>	<u>1,713,979</u>
Other Revenue			
4810-*	Interest Income	665	5,000
01-4820-960	Unrealized Gain/Loss on Inve	931	7,000
01-4830-999	Gain/Loss on Sale of Asset	0	0
01-4850-950	Report Writing	665	5,000
4890-*	Miscellaneous income	30	227
01-4910-970	Rental Income	3,192	24,000
Total Other Revenue		<u>5,483</u>	<u>41,227</u>
Total Revenues		<u>678,329</u>	<u>5,100,215</u>
Expenses			
Personnel and Benefits			
5010-*	Salaries - Administrative	75,118	564,796
5020-*	Salaries - Professional	273,923	2,059,572
5030-*	Personnel Pool	18,917	142,237
5110-*	Payroll Taxes - FICA	27,501	206,771
5120-*	Insurance-Unemployment	2,439	18,341
5130-*	Insurance - Group Medical	54,422	409,187
5140-*	Insurance - Workers' Comp.	10,716	80,570
5150-*	Insurance - LT Disability	0	0
5160-*	Insurance - ST Disability	0	0
5170-*	Retirement	0	0
5210-*	Recruitment	434	3,261
5220-*	Temporary Services	1,665	12,517
5230-*	Employee Expenses	343	2,578
5240-*	Training & Ed -T&E Committe	266	2,000
5250-*	Training & Education-M-Team	160	1,200
5260-*	CPR Training Fees	241	1,815
Total Personnel and Benefits		<u>466,144</u>	<u>3,504,845</u>
Program Expenses			
01-5310-350	Consultation - Doctors	43,432	326,560
5320-*	Rep. & Maint.-Equipment	956	7,189

Budget F' 2017

Statement of Activity

	St. Charles Budget	Ecker Budget
5330-* Prog. Expenses - Supplies	581	4,370
5350-* Program Expenses - Events	173	1,300
01-5360-116 Prog. Expenses - Pharmacy	656	4,932
5370-* Program Exp.-Subscriptions	282	2,117
5380-* Program Exp.-Equipment	5,535	41,614
5390-* Program Exp.-Cell & Pager	572	4,297
5410-* Food	4,217	31,709
5430-* Vehicle Oper. & Maint.	429	3,226
01-5450-211 Volunteer Stipends	0	0
5520-* In-Kind food	293	2,200
Total Program Expenses	57,125	429,514
Specific Assistance to Clients		
01-5620-824 Specific Assistance - Food	27	204
5630-* Spec. Assist. Meds/Treat	146	1,100
5640-* Specific Assist.-Transport.	72	545
5650-* Specific Assist.-Misc.	672	5,054
5660-* Spec. Assist. - Utilities	1,219	9,167
01-5690-824 Spec. Assist. - Furnishings	194	1,458
Total Specific Assistance to Clients	2,331	17,528
Occupancy		
6010-* Rental Of Facilities	64,087	481,860
6020-* Electricity	8,696	65,386
6030-* Gas	2,478	18,632
6040-* Water	2,019	15,183
6050-* Scavenger	1,735	13,048
6110-* Exterminating	722	5,428
6120-* Janitorial & Supplies	7,398	55,622
6130-* Fire System Maintenance	575	4,320
6140-* Elevator Maintenance	508	3,819
6150-* Door & Key Maintenance	500	3,756
6160-* Repairs-electrical	975	7,332
6170-* Repairs-plumbing	919	6,912
6180-* Repairs-general	9,649	72,550
6190-* Hardware & supplies	509	3,828
6210-* HVAC	2,394	17,998
6220-* Rep. & Maint.-Grounds	3,237	24,336
01-6310-970 Real Estate Taxes	120	900
6320-* Insurance-Prop. & Liab.	5,435	40,865
6330-* Insurance-Liab. Umbrella	493	3,706
6340-* Interest Expense - Mortgage	5,321	40,011
Total Occupancy	117,770	885,492

Ecker Center for Mental Health

Budget F' 2017

Statement of Activity

	St. Charles Budget	Ecker Budget
Development & PR		
01-7010-990 FR exp - Festival of Trees	2,225	16,728
-.7015-* FR Exp-Summer Event	53	400
01-7020-990 Annual Awards Event	200	1,500
01-7025-990 FR Exp-Spring Event	0	0
01-7035-990 FR Exp - Wishes for Wellness I	426	3,200
01-7110-990 Fundraising Exp.-Misc.	179	1,344
-.7210-* Dues and memberships	2,494	18,749
-.7220-* Marketing & PR	303	2,279
01-7230-980 Annual board meeting	40	300
Total Development & PR	<u>5,919</u>	<u>44,500</u>
Management & General		
01-6510-960 Payroll Service	1,033	7,766
01-6520-960 Accounting & Audit	2,530	19,020
01-6530-960 Legal	266	2,000
-.6540-* All Staff Activ/Recogn/Annive	824	6,198
-.6550-* TJC	432	3,247
-.6560-* Bank Charges	637	4,790
01-6570-960 Insurance-Directors/Officer	965	7,254
-.6590-* Miscellaneous Expense	1,452	10,919
Total	<u>8,139</u>	<u>61,194</u>
Other Expenses		
-.8010-* Stationery/printing	45	340
-.8020-* Office Equip. & Supplies	4,015	30,187
-.8030-* Office Supplies-Software	3,007	22,612
-.8040-* Consultants	6,290	47,290
-.8050-* Rental Of Equipment	823	6,188
-.8070-* Telephone	5,441	40,911
-.8080-* Postage	745	5,605
-.8090-* Business Travel	2,128	16,000
Total Other Expenses	<u>22,495</u>	<u>169,133</u>
Depreciation		
-.9000-* Depreciation	10,945	82,291
Total Depreciation	<u>10,945</u>	<u>82,291</u>
Total Expenses	<u>690,868</u>	<u>5,194,497</u>
NET SURPLUS/(DEFICIT)	<u>(12,539)</u>	<u>(94,282)</u>

Ecker Center for Mental Health

Statement of Activity

	Year to Date
	Actual
	<u>11/30/2016</u>
Revenues	
Public Support	49,488
Fees & Grants - Governmental	917,435
St. Charles 708 Board	59,000
Fundraising	69,407
Program Service Fees	682,186
Other Revenue	<u>21,708</u>
Total Revenues	<u>1,799,223</u>
Expenses	
Personnel and Benefits	1,235,442
Program Expenses	125,912
Specific Assistance to Clients	4,498
Occupancy	394,084
Development & PR	33,370
Management & General	36,100
Other Expenses	75,124
Depreciation	<u>28,043</u>
Total Expenses	<u>1,932,573</u>
 NET SURPLUS/(DEFICIT)	 <u><u>-133,350</u></u>

ECKER CENTER FOR MENTAL HEALTH, INC.

**FINANCIAL STATEMENTS
AS OF JUNE 30, 2016 AND 2015**

TOGETHER WITH AUDITOR'S REPORT

Ecker Center for Mental Health

Fiscal Year 2016 Audit Summary:

According to our final audited statements our Balance Sheet shows our cash decreased by \$98,983. Although our Profit and Loss statement shows a positive change in net assets of \$286,716. This has occurred due to accounting rules that required us to recognize over \$800,000 as income monies we did not receive until the end of August, almost two months after the fiscal year end.

A more accurate measure of how fiscal year 2016 was for most of the year is in the Statement of Cash Flows where it shows our net cash used in operating activities was a deficit of \$240,529.

Dugan & Lopatka

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INDEPENDENT AUDITOR'S REPORT

Board of Directors of
Ecker Center for Mental Health, Inc.:

We have audited the accompanying financial statements of Ecker Center for Mental Health, Inc. (the Center) which comprise the statement of financial position as of June 30, 2016 and 2015, and the related statements of activities, cash flows and functional expenses for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

MEMBER

INPACT
INTERNATIONAL ALLIANCE OF

Board of Directors of
Ecker Center for Mental Health, Inc.
Page two

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Ecker Center for Mental Health, Inc. as of June 30, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Dugan & Lopatka

DUGAN & LOPATKA

Wheaton, Illinois
October 12, 2016

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30, 2016 AND 2015

	2016			2015		
	Unrestricted	Temporarily Restricted	Total	Unrestricted	Temporarily Restricted	Total
REVENUES, GAINS, AND OTHER SUPPORT:						
Contributions -						
United Way and Community Chest	\$ -	\$ 73,274	\$ 73,274	\$ 2,028	\$ 82,693	\$ 84,721
Other support	302,903	65,411	368,314	141,807	-	141,807
Fundraising	153,559	-	153,559	87,903	-	87,903
In-kind	3,506	-	3,506	2,200	-	2,200
Fees and grants from governmental agencies -						
Department of Human Services -						
Fees for service	681,033	-	681,033	1,462,279	-	1,462,279
Grant-in-aid	1,571,015	-	1,571,015	1,972,074	-	1,972,074
Township 708 funds	121,717	-	121,717	113,750	-	113,750
St. Charles 708 Community Mental Health Board	62,000	-	62,000	60,000	-	60,000
Department of Housing and Urban Development	661,445	-	661,445	545,307	-	545,307
Other governmental grants	35,016	-	35,016	42,817	-	42,817
Other revenues -						
Program service fees	1,737,414	-	1,737,414	1,284,664	-	1,284,664
Rent income	24,233	-	24,233	24,862	-	24,862
Interest income	5,784	-	5,784	4,390	-	4,390
Dividend income	12,098	-	12,098	7,155	-	7,155
Unrealized gain (loss) on investments	(2,259)	-	(2,259)	6,219	-	6,219
Gain on sale of building	125,653	-	125,653	-	-	-
Other revenues	6,938	-	6,938	8,768	-	8,768
Net assets released from restrictions	139,685	(139,685)	-	88,799	(88,799)	-
Total revenues, gains, and other support	5,641,740	(1,000)	5,640,740	5,855,022	(6,106)	5,848,916
EXPENSES:						
Program services -						
Community Counsel Services -						
Elgin Therapy	460,056	-	460,056	445,476	-	445,476
Streamwood Therapy	26,798	-	26,798	30,599	-	30,599
St. Charles Therapy	44,435	-	44,435	50,432	-	50,432
Intake	64,373	-	64,373	95,627	-	95,627

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30, 2016 AND 2015

	2016			2015		
	Unrestricted	Temporarily Restricted	Total	Unrestricted	Temporarily Restricted	Total
EXPENSES: (Continued)						
Program services - (Continued)						
Nursing	\$ 308,477	\$ -	\$ 308,477	\$ 410,316	\$ -	\$ 410,316
Psycho-Social Rehabilitation	297,747	-	297,747	369,883	-	369,883
Psychiatrist Services	461,770	-	461,770	748,502	-	748,502
Housing PSH Bridge Program	3,690	-	3,690	9,289	-	9,289
Case Management	566,529	-	566,529	640,500	-	640,500
Psychiatric Emergency Program	348,371	-	348,371	404,350	-	404,350
Community Integrated Living Arrangement	283,380	-	283,380	336,988	-	336,988
Transition Coordination	2,217	-	2,217	12,769	-	12,769
Preadmission Screening	58,131	-	58,131	52,441	-	52,441
Supported Residential	540,651	-	540,651	561,139	-	561,139
HUD On-Site Staff	241,479	-	241,479	261,549	-	261,549
Grandstand HUD Leasing	224,274	-	224,274	203,038	-	203,038
Hunter's Ridge HUD Leasing	316,032	-	316,032	226,207	-	226,207
Supervised Residential	308,572	-	308,572	346,052	-	346,052
Crisis Residential	403,772	-	403,772	457,188	-	457,188
Total program services	4,960,754	-	4,960,754	5,662,345	-	5,662,345
Supporting services -						
Management and general	343,197	-	343,197	543,057	-	543,057
Fundraising	50,073	-	50,073	70,834	-	70,834
Total supporting services	393,270	-	393,270	613,891	-	613,891
Total expenses	5,354,024	-	5,354,024	6,276,236	-	6,276,236
CHANGE IN NET ASSETS	287,716	(1,000)	286,716	(421,214)	(6,106)	(427,320)
NET ASSETS, Beginning of year	2,339,187	89,292	2,428,479	2,760,401	95,398	2,855,799
NET ASSETS, End of year	\$ 2,626,903	\$ 88,292	\$ 2,715,195	\$ 2,339,187	\$ 89,292	\$ 2,428,479

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF CASH FLOWS
FOR THE YEARS ENDED JUNE 30, 2016 AND 2015

	<u>2016</u>	<u>2015</u>
CASH FLOWS FROM OPERATING ACTIVITIES:		
Change in net assets	\$ 286,716	\$ (427,320)
Adjustments to reconcile change in total net assets to net cash (used in) operating activities:		
Depreciation	99,142	97,005
Unrealized (gain) loss on investments	2,259	(6,219)
Gain on sale of property and equipment	(125,653)	-
(Increase) decrease in assets:		
Program service fees receivable	(596,265)	137,080
Grants receivable	6,000	(105)
Due from related party	42,949	15,000
Deposits and prepaid expenses	13,525	(258)
Increase (decrease) in liabilities:		
Accounts payable	70,861	(18,815)
Accrued expenses	(40,063)	8,140
Total adjustments	(527,245)	231,828
Net cash (used in) operating activities	(240,529)	(195,492)
CASH FLOWS FROM INVESTING ACTIVITIES:		
Purchase of property and equipment	(145,466)	(31,000)
Proceeds from sale of property and equipment	182,897	-
Purchase of investments	(12,097)	(7,156)
Net cash provided by (used in) investing activities	25,334	(38,156)
CASH FLOWS FROM FINANCING ACTIVITIES:		
Principal payments of long-term debt	(58,788)	(67,429)
Proceeds on new note	175,000	-
Net cash provided by (used in) financing activities	116,212	(67,429)
NET CHANGE IN CASH AND CASH EQUIVALENTS	(98,983)	(301,077)
CASH AND CASH EQUIVALENTS, Beginning of year	1,056,353	1,357,430
CASH AND CASH EQUIVALENTS, End of year	<u>\$ 957,370</u>	<u>\$ 1,056,353</u>
SUPPLEMENTAL DISCLOSURES:		
Interest paid	<u>\$ 37,122</u>	<u>\$ 40,007</u>

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2016

	Program Services										
	Community Counsel Services										
	Elgin Therapy	Streamwood Therapy	St. Charles Therapy	Intake	Nursing	Psycho-Social Rehabilitation	Psychiatrist Services	Housing PSH Bridge Program	Case Management	Psychiatric Emergency Program	Community Integrated Living Arrangement
PERSONNEL:											
Salaries	\$ 324,467	\$ 12,249	\$ 25,541	\$ 45,485	\$ 212,684	\$ 162,512	\$ 105,480	\$ 1,285	\$ 406,403	\$ 264,484	\$ 198,929
Payroll taxes	23,415	897	1,859	2,699	15,307	11,455	6,166	95	31,680	18,455	14,378
Employees benefits	43,580	1,839	3,627	6,089	29,979	22,310	21,646	-	46,612	37,242	23,775
Total personnel	391,462	14,985	31,027	54,273	257,970	196,277	133,292	1,380	484,695	320,181	237,082
COMMODITIES:											
Office supplies	3,785	579	549	583	3,620	2,488	4,402	602	8,207	2,728	1,287
Printing and publications	-	-	-	-	-	-	-	-	-	-	-
Program supplies	249	-	-	-	6,335	636	111	1,708	1,222	574	727
Food and beverages	-	-	-	-	-	511	-	-	-	30	10,188
Total commodities	4,034	579	549	583	9,955	3,635	4,513	2,310	9,429	3,332	12,202
CONTRACTUAL SERVICES:											
Advertising	-	-	-	-	-	130	19	-	326	109	171
Consultation	4,774	318	491	740	3,432	2,754	287,781	-	6,253	3,769	2,392
Rental of equipment	-	-	-	-	-	-	-	-	-	-	97
Rental of facilities	-	1,200	9,213	-	-	-	-	-	-	-	-
Specific assistance - individuals	-	-	-	-	-	-	-	-	132	198	5,603
Insurance	6,265	-	-	940	3,759	10,023	3,759	-	6,785	940	-
Utilities	13,248	147	18	1,987	7,949	21,196	7,949	-	13,248	1,987	6,786
Repairs and maintenance	18,832	755	117	3,063	12,786	30,615	10,728	-	20,068	2,682	10,504
Professional services	-	-	-	-	-	-	-	-	-	-	-
Telephone	1,665	1,576	2,084	203	1,078	2,594	391	-	1,862	12,178	3,143
Postage	-	-	-	-	-	-	-	-	-	-	2
Dues and memberships	-	-	-	-	-	-	-	-	-	-	-
Real estate taxes	-	-	-	-	-	-	-	-	-	-	-
Outside services	30	6,378	30	-	-	-	1,856	-	-	-	-
Total contractual services	44,814	10,374	11,953	6,933	29,004	67,312	312,483	-	48,674	21,863	28,698
OTHER EXPENSES:											
Interest	5,458	-	-	819	3,275	8,733	3,275	-	5,458	819	-
Travel	6	-	32	-	-	-	-	-	4,579	901	574
Training and education	-	-	-	-	140	-	-	-	150	220	420
Special events	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	784	61	75	-	186	1,916	260	-	651	256	196
Total other expenses	6,248	61	107	819	3,601	10,649	3,535	-	10,838	2,196	1,190
Total expenses before depreciation	446,558	25,999	43,636	62,608	300,530	277,873	453,823	3,690	553,636	347,572	279,172
DEPRECIATION	13,498	799	799	1,765	7,947	19,874	7,947	-	12,893	799	4,208
TOTAL EXPENSES	\$ 460,056	\$ 26,798	\$ 44,435	\$ 64,373	\$ 308,477	\$ 297,747	\$ 461,770	\$ 3,690	\$ 566,529	\$ 348,371	\$ 283,380

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2016

	Program Services - continued								Supporting Services			Total Program and Supporting Services
	Transition Coordination	Preadmission Screening	Supported Residential	HUD On-site Staff	Grandstand HUD Leasing	Hunter's Ridge HUD Leasing	Supervised Residential	Crisis Residential	Total	Management and General	Fundraising	
PERSONNEL:												
Salaries	\$ 2,060	\$ 40,506	\$ 241,167	\$ 115,595	\$ 54,499	\$ 143,775	\$ 217,029	\$ 257,286	\$ 2,831,436	\$ 128,905	\$ 25,416	\$ 2,985,757
Payroll taxes	157	2,903	17,021	8,223	3,853	9,758	14,257	17,619	200,197	5,404	1,944	207,545
Employees benefits	-	5,589	33,878	16,647	8,148	100	30,440	35,687	367,188	88,717	4,250	460,155
Total personnel	2,217	48,998	292,066	140,465	66,500	153,633	261,726	310,592	3,398,821	223,026	31,610	3,653,457
COMMODITIES:												
Office supplies	-	133	3,115	922	697	2,116	1,708	2,438	39,959	12,111	1,009	53,079
Printing and publications	-	-	-	-	-	-	-	-	-	113	-	113
Program supplies	-	-	5,265	15,337	4,597	12,475	2,109	1,314	52,659	1,668	-	54,327
Food and beverages	-	-	5,116	2,411	1,587	1,488	15,057	3,600	39,988	-	-	39,988
Total commodities	-	133	13,496	18,670	6,881	16,079	18,874	7,352	132,606	13,892	1,009	147,507
CONTRACTUAL SERVICES:												
Advertising	-	-	136	47	-	12	128	66	1,144	1,626	-	2,770
Consultation	-	567	3,587	1,778	747	-	3,122	3,688	326,193	16,593	413	343,199
Rental of equipment	-	-	-	-	-	4,430	-	-	4,527	1,158	-	5,685
Rental of facilities	-	-	184,441	58,440	140,288	121,786	-	-	515,368	-	-	515,368
Specific assistance - individuals	-	-	701	120	72	15,235	19	-	22,080	-	-	22,080
Insurance	-	940	-	-	940	-	-	7,935	42,286	7,562	-	49,848
Utilities	-	1,987	18,661	9,830	1,987	-	5,583	16,780	129,343	387	-	129,730
Repairs and maintenance	-	2,682	20,329	8,718	3,856	2,233	5,893	32,003	185,864	1,585	-	187,449
Professional services	-	-	-	-	-	-	-	-	-	31,783	-	31,783
Telephone	-	240	4,872	1,861	503	1,472	4,024	2,002	41,748	7,082	159	48,989
Postage	-	-	-	-	-	-	-	-	2	4,060	738	4,800
Dues and memberships	-	-	-	-	-	-	-	-	-	16,072	-	16,072
Real estate taxes	-	-	-	-	-	-	-	-	-	-	-	-
Outside services	-	-	-	-	-	-	-	-	8,294	-	-	8,294
Total contractual services	-	6,416	232,727	80,794	148,393	145,168	18,769	62,474	1,276,849	87,908	1,310	1,366,067
OTHER EXPENSES:												
Interest	-	819	-	-	819	-	109	6,914	36,498	624	-	37,122
Travel	-	-	1,668	435	812	956	658	177	10,798	4,357	-	15,155
Training and education	-	-	105	88	70	70	314	210	1,787	4,628	-	6,415
Special events	-	-	-	-	-	-	-	-	-	-	16,134	16,134
Miscellaneous	-	-	677	140	-	126	181	160	5,669	7,346	10	13,025
Total other expenses	-	819	2,450	663	1,701	1,152	1,262	7,461	54,752	16,955	16,144	87,851
Total expenses before depreciation	2,217	56,366	540,739	240,592	223,475	316,032	300,631	387,879	4,863,028	341,781	50,073	5,254,882
DEPRECIATION	-	1,765	(88)	887	799	-	7,941	15,893	97,726	1,416	-	99,142
TOTAL EXPENSES	\$ 2,217	\$ 58,131	\$ 540,651	\$ 241,479	\$ 224,274	\$ 316,032	\$ 308,572	\$ 403,772	\$ 4,960,754	\$ 343,197	\$ 50,073	\$ 5,354,024

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2015

	Program Services										
	Community Counsel Services										
	Elgin Therapy	Streamwood Therapy	St. Charles Therapy	Intake	Nursing	Psycho-Social Rehabilitation	Psychiatrist Services	Housing PSH Bridge Program	Case Management	Psychiatric Emergency Program	Community Integrated Living Arrangement
PERSONNEL:											
Salaries	\$ 299,392	\$ 15,748	\$ 26,200	\$ 66,631	\$ 275,416	\$ 212,139	\$ 224,019	\$ 7,825	\$ 439,098	\$ 292,472	\$ 210,940
Payroll taxes	21,571	1,102	1,850	4,406	19,158	13,923	30,164	589	30,340	19,500	14,583
Employees benefits	50,367	3,308	5,234	12,875	59,629	42,027	52,588	-	83,579	62,299	44,085
Total personnel	371,330	20,158	33,284	83,912	354,203	268,089	306,771	8,414	553,017	374,271	269,608
COMMODITIES:											
Office supplies	9,771	883	896	691	5,770	3,741	4,222	-	10,564	3,709	2,284
Printing and publications	-	-	-	-	-	-	-	-	-	40	-
Program supplies	112	-	673	-	5,816	821	426	-	644	1,154	5,957
Food and beverages	-	-	-	-	-	733	-	-	-	-	15,288
Total commodities	9,883	883	1,569	691	11,586	5,295	4,648	-	11,208	4,903	23,529
CONTRACTUAL SERVICES:											
Advertising	1,140	-	-	1,007	76	197	400	-	100	40	114
Consultation	5,795	705	868	1,431	6,656	5,127	391,109	-	12,637	5,386	3,991
Rental of equipment	-	-	-	-	-	-	-	-	-	-	-
Rental of facilities	-	1,200	9,223	-	-	-	-	-	-	-	-
Specific assistance - individuals	-	-	-	-	-	-	15	-	-	127	10,564
Insurance	8,920	-	-	1,338	5,352	14,272	14,939	-	9,960	1,338	-
Utilities	10,722	-	243	1,608	6,433	17,155	6,433	-	10,722	1,608	6,596
Repairs and maintenance	21,524	-	1,624	3,401	14,568	34,817	12,487	-	22,335	3,027	10,273
Professional services	-	-	-	-	-	-	-	-	-	-	-
Telephone	1,456	1,589	2,319	371	1,854	2,641	1,483	-	3,011	9,309	2,910
Postage	-	-	-	-	-	-	-	-	-	-	-
Dues and memberships	-	-	-	-	-	-	-	-	-	-	-
Real estate taxes	-	-	-	-	-	-	-	-	-	-	-
Outside services	912	5,496	723	94	467	358	2,269	-	726	563	366
Total contractual services	50,469	8,990	15,000	9,250	35,406	74,567	429,135	-	59,491	21,398	34,814
OTHER EXPENSES:											
Interest	4,594	-	-	689	2,756	7,350	2,756	-	4,594	689	-
Travel	-	-	-	-	-	-	281	875	1,826	2,125	604
Training and education	471	-	-	35	1,508	555	-	-	1,665	255	320
Special events	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	856	43	54	-	134	1,431	188	-	827	184	161
Total other expenses	5,921	43	54	724	4,398	9,336	3,225	875	8,912	3,253	1,085
Total expenses before depreciation	437,603	30,074	49,907	94,577	405,593	357,287	743,779	9,289	632,628	403,825	329,036
DEPRECIATION	7,873	525	525	1,050	4,723	12,596	4,723	-	7,872	525	7,952
TOTAL EXPENSES	\$ 445,476	\$ 30,599	\$ 50,432	\$ 95,627	\$ 410,316	\$ 369,883	\$ 748,502	\$ 9,289	\$ 640,500	\$ 404,350	\$ 336,988

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2015

	Program Services - continued									Supporting Services		Total Program and Supporting Services
	Transition Coordination	Preadmission Screening	Supported Residential	HUD On-site Staff	Grandstand HUD Leasing	Hunter's Ridge HUD Leasing	Supervised Residential	Crisis Residential	Total	Management and General	Fundraising	
PERSONNEL:												
Salaries	\$ 11,839	\$ 34,404	\$ 220,369	\$ 121,899	\$ 40,889	\$ 79,733	\$ 219,272	\$ 277,076	\$ 3,075,361	\$ 264,513	\$ 33,834	\$ 3,373,708
Payroll taxes	887	2,434	15,112	8,430	2,722	5,769	14,684	18,871	226,095	17,738	2,588	246,421
Employees benefits	-	6,955	49,150	26,301	9,325	163	45,580	59,705	613,170	92,876	8,630	714,676
Total personnel	12,726	43,793	284,631	156,630	52,936	85,665	279,536	355,652	3,914,626	375,127	45,052	4,334,805
COMMODITIES:												
Office supplies	8	167	8,116	1,411	266	6,289	2,214	2,872	63,874	15,628	1,004	80,506
Printing and publications	-	-	-	-	-	-	-	-	40	340	-	380
Program supplies	-	-	25,344	5,510	2,917	6,396	4,468	5,420	65,658	1,017	-	66,675
Food and beverages	-	-	5,474	2,865	1,786	1,899	15,310	5,868	49,223	-	-	49,223
Total commodities	8	167	38,934	9,786	4,969	14,584	21,992	14,160	178,795	16,985	1,004	196,784
CONTRACTUAL SERVICES:												
Advertising	-	-	442	88	-	100	111	238	4,053	3,364	120	7,537
Consultation	-	502	4,862	2,519	783	100	4,094	5,641	452,206	6,821	682	459,709
Rental of equipment	-	-	60	77	70	4,440	-	-	4,647	1,544	-	6,191
Rental of facilities	-	-	173,548	62,040	133,968	101,875	-	-	481,854	-	-	481,854
Specific assistance - individuals	-	-	5,043	406	383	10,763	862	-	28,163	-	-	28,163
Insurance	-	1,338	-	-	1,338	-	-	11,299	70,094	1,822	-	71,916
Utilities	-	1,608	21,417	9,701	1,608	-	5,376	13,581	114,811	4,903	-	119,714
Repairs and maintenance	-	3,027	24,347	16,661	4,570	2,852	19,718	38,123	233,354	11,845	-	245,199
Professional services	-	-	-	-	-	-	-	-	-	30,963	-	30,963
Telephone	-	201	4,851	1,628	516	2,277	3,497	1,776	41,689	3,013	240	44,942
Postage	-	-	-	-	-	-	-	-	-	5,135	469	5,604
Dues and memberships	-	-	-	-	-	-	-	-	-	18,519	225	18,744
Real estate taxes	-	-	-	-	-	-	-	-	-	896	-	896
Outside services	-	66	350	183	73	-	380	417	13,443	35	-	13,478
Total contractual services	-	6,742	234,920	93,303	143,309	122,407	34,038	71,075	1,444,314	88,860	1,736	1,534,910
OTHER EXPENSES:												
Interest	-	689	-	-	689	-	772	5,819	31,397	8,610	-	40,007
Travel	35	-	1,791	974	610	1,102	677	24	10,924	5,127	76	16,127
Training and education	-	-	375	230	-	30	390	370	6,204	7,371	-	13,575
Special events	-	-	-	-	-	-	-	-	-	124	22,966	23,090
Miscellaneous	-	-	488	101	-	2,419	130	116	7,132	12,801	-	19,933
Total other expenses	35	689	2,654	1,305	1,299	3,551	1,969	6,329	55,657	34,033	23,042	112,732
Total expenses before depreciation	12,769	51,391	561,139	261,024	202,513	226,207	337,535	447,216	5,593,392	515,005	70,834	6,179,231
DEPRECIATION	-	1,050	-	525	525	-	8,517	9,972	68,953	28,052	-	97,005
TOTAL EXPENSES	\$ 12,769	\$ 52,441	\$ 561,139	\$ 261,549	\$ 203,038	\$ 226,207	\$ 346,052	\$ 457,188	\$ 5,662,345	\$ 543,057	\$ 70,834	\$ 6,276,236

The accompanying notes are an integral part of this statement.

ECKER CENTER FOR MENTAL HEALTH INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2016 AND 2015

(1) SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND NATURE OF ACTIVITIES:

Ecker Center for Mental Health, Inc. (the Center) is a not-for-profit charitable organization offering various psychiatric services for adults primarily in Kane and Western Cook Counties.

The financial statements were available to be issued on October 12, 2016, with subsequent events being evaluated through this date.

The following is a brief summary of the accounting policies adopted by the Center:

Basis of Accounting -

The Center records its financial transactions and maintains its books and records on the accrual basis of accounting which recognizes revenue as it is earned and expenses as they are incurred.

Cash and Cash Equivalents -

The Center considers all highly liquid investments with an original maturity of three months or less to be cash equivalents.

Credit Risk -

Financial instruments, which potentially subject the Center to concentrations of credit risk, consist principally of cash. The Center places its cash and deposits with high credit quality financial institutions; however, deposits may exceed the federally insured limits from time to time.

Program Service Fees Receivables -

Program service fees receivable are stated at the amount management expects to collect from outstanding balances. The Center establishes an allowance for doubtful accounts based on past experience and analysis of current receivable collectibility. The amount of the allowance is \$-0- as of June 30, 2016 and 2015.

Grants Receivable -

Grants are recognized as revenue in the period pledged. Grants are recorded at net realizable value if expected to be collected in more than one year. The Center establishes an allowance for uncollectible grants based on historical collection experience. The amount of the allowance is \$-0- as of June 30, 2016 and 2015. Conditional grants are recognized only when the conditions on which they depend are substantially met and the pledges become unconditional.

Property and Equipment -

Property and equipment which cost exceeds \$5,000 and have estimated useful lives of greater than one year are capitalized. Maintenance, repairs and renewals which neither materially add to the value of the property nor appreciably prolong its life are charged to expense as incurred. Property and equipment are recorded at cost or estimated fair value, if donated. Gains or losses on dispositions of property and equipment are included in income. Depreciation is computed using the straight-line method over the estimated useful lives of the assets as follows:

Building and leasehold improvements	15-39 years
Furniture, equipment and software	3-12 years
Vehicles	3-5 years

(1) SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND NATURE OF ACTIVITIES:
(Continued)

Contributed Goods and Services -

Certain contributed goods and supplies are recorded as support and expense at fair market value when determinable, otherwise, at values indicated by the donor. Unpaid volunteers have made contributions of their time to develop and administer the Center's programs. No amounts have been reflected in the financial statements for those services since they do not meet the criteria for recognition under accounting principles generally accepted in the United States of America.

Support -

Contributions received and unconditional promises to give are measured at their fair values and are reported as an increase in net assets. The Center reports gifts of cash and other assets as restricted support if they are designated as support by the donor for a specific purpose or a future period. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

The Center reports gifts of goods and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restrictions that specify how or when the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support.

Absent explicit donor stipulations about how long those long-lived assets must be maintained, the Center reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Use of Estimates -

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates and the differences could be material to these financial statements.

Functional Allocation of Expenses -

The cost of providing the Center's various programs and supporting services has been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

Reclassifications -

Certain prior year amounts have been reclassified to be consistent with the current year presentation.

(2) INVESTMENTS:

Investments of the Center are carried at fair value and at June 30, 2016 and 2015 are summarized as follows:

	2016		2015	
	Fair Value	Cost	Fair Value	Cost
Mutual funds	\$ 263,339	\$ 206,815	\$ 253,499	\$ 194,716

(3) FAIR VALUE MEASUREMENTS:

The Accounting Standards Codification for fair value measurements establishes a single definition of fair value and a framework for measuring fair value, sets out a fair value hierarchy to be used to classify the source of information used in fair value measurement and expands disclosures about fair value measurements required under other accounting pronouncements. It does not change existing guidance as to whether or not an instrument is carried at fair value.

The codification established market and observable inputs as the preferred source of values, followed by assumptions based on hypothetical transactions in the absence of market inputs.

The valuation techniques are based upon observable and unobservable inputs. Observable inputs reflect market data obtained from independent sources, while unobservable inputs reflect the Center's market assumptions. These two types of inputs create the following fair value hierarchy.

Level 1 - Quoted prices in active markets for identical assets or liabilities.

Level 2 - Observable inputs other than quoted prices included in Level 1, such as quoted prices for similar assets and liabilities in active markets; quoted prices for identical or similar assets and liabilities in markets that are not active; or other inputs that are observable or can be corroborated by observable market data.

Level 3 - Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. These inputs reflect management's own assumptions about the assumptions a market participant would use in pricing the asset or liability. This includes certain pricing models, discounted cash flow methodologies and similar techniques that use significant unobservable inputs.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at June 30, 2016 and 2015.

Mutual Funds: Valued at the net asset value (NAV) of shares held at year end.

(3) FAIR VALUE MEASUREMENTS: (Continued)

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Center believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the assets at fair value:

<u>Description</u>	<u>Assets at Fair Value as of June 30, 2016</u>			
	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Mutual funds:				
Growth funds	\$ 256,991	\$ -	\$ -	\$ 256,991
Money market funds	<u>6,348</u>	<u>-</u>	<u>-</u>	<u>6,348</u>
Total mutual funds	<u>\$ 263,339</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 263,339</u>
<u>Description</u>	<u>Assets at Fair Value as of June 30, 2015</u>			
	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Mutual funds:				
Growth funds	\$ 247,152	\$ -	\$ -	\$ 247,152
Money market funds	<u>6,347</u>	<u>-</u>	<u>-</u>	<u>6,347</u>
Total mutual funds	<u>\$ 253,499</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 253,499</u>

(4) TAX-EXEMPT STATUS:

The Center has been determined to be exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code pursuant to a letter dated June, 2002. However, certain debt-financed rental property is subject to unrelated business income tax.

The Center files income tax returns in the U.S. federal jurisdiction and Illinois. With few exceptions, they are no longer subject to U.S. federal, state and local, or non-U.S. income tax examinations by tax authorities for years before 2012. The Center does not expect a material net change in unrecognized tax benefits in the next twelve months.

(5) COMMITMENTS AND CONTINGENCIES:

Operating Leases -

The Center is obligated under the terms of operating leases for office space and equipment.

The office space lease agreements require total monthly rental payments between \$200 and \$805 with various expiration dates through December 31, 2015. These have been continued on a month-to-month basis. There is another lease that is month to month. The terms of the lease agreements require the Center to be responsible for utilities, general maintenance, and public liability insurance. The Center also leases facilities from a third party, which requires monthly rental payments totaling \$20,640. The leases are renewed every year for one year.

The Center leases various apartment units on a month-to-month basis on behalf of the Center's clients through its HUD Leasing Program. The monthly rental payments are between \$774 and \$1,000.

(5) COMMITMENTS AND CONTINGENCIES: (Continued)

Operating Leases - (Continued)

The Center had an equipment lease that required a monthly rental payment of \$129 and expired in December, 2014. The equipment lease is now month to month. The Center is also responsible for general maintenance of the equipment and to maintain adequate insurance.

Total future minimum lease commitments for the remaining terms of the leases as of June 30, 2016 are summarized as follows:

<u>For the year ending</u>	<u>Office Space</u>	<u>Equipment Rental</u>	<u>Total</u>
2016	\$ 80,775	\$ -	\$ 80,775

Total rental expense for the years ended June 30, 2016 and 2015 was \$521,053 and \$488,045, respectively.

Federal and State Grants -

The Center receives significant financial assistance from several federal, state and local government agencies in the form of grants. Program revenue in excess of program expenses is typically subject to recapture under various provisions. Additionally, the disbursement of funds received under these programs generally requires compliance with terms and conditions specified in the grant agreements and are subject to audit by the grantor agencies. Any disallowed claims resulting from such audits could become a liability to the Center.

(6) LEASING ACTIVITY:

Rental income is derived from leasing space to tenants on a month-to-month basis.

The rental real estate held for lease consists of 1,465 square feet which represents approximately 4% of the Center's premises in Elgin, Illinois.

(7) NOTES PAYABLE:

Notes payable at June 30, 2016 and 2015 consisted of the following:

	<u>2016</u>	<u>2015</u>
Payable in monthly installments of \$7,032, principal and interest, bearing interest at 5.0%, due in October, 2018, and secured by land and a building.	\$ 701,801	\$ 749,170
Payable in monthly installments of \$1,921, principal and interest, bearing interest at 3.28%, due in January, 2016, and secured by land and a building.	-	11,419
Payable in monthly installments of \$29,167, principal and interest, bearing interest at -0-%, due in six monthly payments with the first payment made in September, 2016.	175,000	-
Total	<u>\$ 876,801</u>	<u>\$ 760,589</u>

(7) NOTES PAYABLE: (Continued)

Principal payments due on long-term debt during each of the next three fiscal years are as follows:

<u>Year ending</u> <u>June 30,</u>	
2017	\$ 224,291
2018	51,249
2019	<u>601,261</u>
Total	<u>\$ 876,801</u>

(8) EMPLOYEE RETIREMENT PLAN:

The Center maintains an employee retirement plan available to all full-time employees who have completed one year service. The Center had required contributions in a percentage of the employee's annual salary based on years of service through July, 2016 as follows:

<u>Years of</u> <u>Service</u>	<u>Percent</u>
1 - Less than 3	2.5%
3 - Less than 6	4.0%
6 - Less than 10	5.5%
10 - or more	7.0%

In July, 2016, the plan was amended to say no employer base contributions will be provided under this plan. All contributions are fully vested in tax-sheltered annuities. The contributions for the years ended June 30, 2016 and 2015 were \$12,205 and \$104,622, respectively.

(9) TEMPORARILY RESTRICTED NET ASSETS:

Temporarily restricted net assets of \$88,292 and \$89,292 relate to time restrictions on grants receivable and cash for the periods after June 30, 2016 and 2015, respectively.

(10) CONCENTRATIONS:

For the years ended June 30, 2016 and 2015, approximately 40% and 59%, respectively, of the Center's funding was provided by grants from the Illinois Department of Human Services.

ECKER CENTER FOR MENTAL HEALTH, INC.
STATEMENT OF FINANCIAL POSITION
JUNE 30, 2016 AND 2015

ASSETS

	<u>2016</u>	<u>2015</u>
CURRENT ASSETS:		
Cash and cash equivalents - Unrestricted	\$ 946,271	\$ 1,050,254
- Restricted	11,099	6,099
Investments	263,339	253,499
Program service fees receivable	988,128	391,863
Grants receivable	77,193	83,193
Other receivables	9,724	52,673
Deposits	11,110	11,110
Prepaid expenses	6,727	20,252
	<u>2,313,591</u>	<u>1,868,943</u>
Total current assets		
PROPERTY AND EQUIPMENT:		
Land	346,832	381,832
Building	1,587,291	1,599,759
Building improvements	848,784	855,980
Furniture and equipment	133,285	133,285
Vehicles	71,701	71,701
Leasehold improvements	55,357	55,357
Software	12,977	12,977
	<u>3,056,227</u>	<u>3,110,891</u>
Less - Accumulated depreciation	1,416,842	1,460,584
	<u>1,639,385</u>	<u>1,650,307</u>
Net property and equipment		
Total assets	<u>\$ 3,952,976</u>	<u>\$ 3,519,250</u>

The accompanying notes are an integral part of this statement.

LIABILITIES AND NET ASSETS

	<u>2016</u>	<u>2015</u>
CURRENT LIABILITIES:		
Notes payable, current	\$ 224,291	\$ 58,341
Accounts payable	100,669	29,808
Accrued wages and payroll taxes	149,913	190,395
Accrued vacation pay	95,068	95,600
Rent deposit	<u>15,330</u>	<u>14,379</u>
Total current liabilities	585,271	388,523
LONG-TERM LIABILITIES:		
Notes payable, net of current portion	<u>652,510</u>	<u>702,248</u>
Total liabilities	<u>1,237,781</u>	<u>1,090,771</u>
COMMITMENTS		
NET ASSETS:		
Unrestricted	2,626,903	2,339,187
Temporarily restricted	<u>88,292</u>	<u>89,292</u>
Total net assets	<u>2,715,195</u>	<u>2,428,479</u>
	<u>\$ 3,952,976</u>	<u>\$ 3,519,250</u>

Ecker Center for Mental Health

Annual Report
F.Y. 2016

The Joint Commission accredited
United Way & Mental Health Board funded

Ecker Center for Mental Health

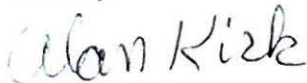
Annual Report

November, 2016

During a very trying 61st year, the Ecker Center provided essential mental health services to the community despite an unprecedented lack of state funding. Because the state operated without a budget, our state grant payments were not paid. Also, state psychiatry and non-Medicaid grants were permanently eliminated for all community mental health centers, including the Ecker Center. Mission driven, we made many difficult decisions and adjustments throughout the year in order to continue providing needed services to our clients. Reductions in staff, staff benefits, and client service capacity were implemented, as were the closure of a group home and sale of the building.

Amidst the reductions and closures, we increased therapy services to include a greater variety to a wider client base and we converted our drop in center to a volunteer-run activity with active support from NAMI Kane County North. We also increased our media presence, fundraising activities and funding sources, and we were bolstered by a tremendous outpouring of contributions and support by donors and volunteers, both old and new. We are deeply grateful for this support because it enables us to carry on our mission *of empowering our clients in creating their highest quality of life.*

Sincerely,



Alan Kirk
Board Chairman



Karen Beyer
Executive Director

1845 Grandstand Place, Elgin, Illinois 60123-4983
(847) 695-0484

www.eckercenter.org

Ecker Center for Mental Health Annual Report 2016

Board of Directors (July 1, 2015 – June 30, 2016)

Officers:	Chairman Alan Kirk	COO, Results Medical Consulting, LLC
	Vice Chairman Russell Matson	Public Safety Officer, Elgin Police Dept.
	Secretary Sandy Kaptain, RN	Registered Nurse
	Treasurer Stephen Tousey	Attorney at Law Early, Tousey, Regan & Wlodek
Directors:	Dave Conroy	Sales Manager, Gordon Flesch Co.
	Jack Hein	Associate, Thrivent Financial
	Dr. Alan Polse	Dentist, Alan M. Polse & Associate
	Aaron Sellers	CEO, Comprehensive Training Solutions, Inc
	Timothy Sheldon	Judge, Retired
	Dr. Robert Tiballi, D.O., MBA	President & CEO, Germbusters
	Hon. Robert Villa	Associate Judge, 16 th Judicial District

Committee Members:

Susan Angell-Case
Robert Gorski
Laurie Huske
John Ostrem
Tim Schmitz
Robert Steffen

Management Team:

Karen Beyer, MSW, MPA, MBA, LCSW, CSWM
Executive Director

Caroline Bailey, MSW, LCSW
Director of PEP & Crisis Residential Services

Tim Bates, BS
Director of Finance & Office Operations

Victoria Gesinger, MA, LCPC
Director of Residential Services

Ramona Grauzinis, MBA, SPHR, SHRM-SCP
Director of Resource Development

Heidi Napolitano, MSN, FNP-BC
Director of Medical Services

Daphne Sandouka, Ed.D., LCPC
Director of Therapy Services

Rick Vander Forest, MS
Director of Social Services & Facilities

Categorization of Recipients by Service

Total number of People Served FY 2016

3,882

The Ecker Center is a comprehensive community mental health center where clients often receive multiple services.

<u>Service</u>	<u>Recipients of Service</u>
Psychiatric Emergency Program	1,882
Crisis Residential Program	108
Therapy	1,103
Psychosocial Rehabilitation Program	402
Case Managers	2,309
Psychiatric Nurses	1,309
Psychiatric Evaluations & Psychiatric Services	1,769
Residential	191

Ecker Center for Mental Health

Crisis Services (847) 888-2211 Intake (874)-695-0484 ext. 1898

All Other Services (847) 695-0484

The Ecker Center, a nonprofit community mental health center, has served the community since 1955. For many years it has had an array of outpatient mental health services to help people with mental illness and those who support them. Unfortunately due to a cessation of funding from the State of Illinois our services for new clients have had to be reduced.

Our Elgin office at 1845 Grandstand Place is home to all outpatient services, and our satellite offices in St. Charles and Streamwood provide therapy services. Twenty four hour psychiatric emergency services are available at Ecker's PEP Program at Advocate Sherman Hospital on Randall Road in Elgin. Services are provided in English and Spanish and all programs are accredited by the Joint Commission. In FY 2016 we served 3,882 individuals.

Services for our current and new clients of all ages (children aged 5 and older, adolescents and adults)

- The Psychiatric Emergency Program (PEP) provides walk in psychiatric crisis services at the Advocate Sherman Hospital Emergency Department and by phone 24 hours a day
- Therapists provide problem focused individual and group therapy for

Services for our current and new adult clients only

- The Crisis Residential Program provides short term 24 hour treatment and support services during psychiatric crisis
- Our Intensive Outpatient program provides treatment and support services for individuals in need of daily short term stabilization services
- Case managers help people establish incomes, apply for benefits and obtain housing, dental and general healthcare
- The Psychosocial Rehabilitation Program (PSR) helps people manage symptoms of mental illness and improve their daily living skills
- Longer term residential options provide support and skill building
- A Community Support Team brings crisis management services to clients in their homes and other locations in the community

Additional Services for Ecker Clients of All Ages

- Pharmacy provided by Genoa Healthcare
- Primary healthcare provided by VNA Health Care

These services no longer available to new clients due to cessation of state funding. We will be able to offer these services again to the people we are not currently serving when the State of Illinois pays us regularly and in full.

- Psychiatrists provide diagnostic assessment, consultation and prescriptions for medications for children, teens and adults
- Psychiatric nurses help adult clients follow treatment plans and obtain the psychotropic medications

Forms of payment accepted:

- Medicaid, with co-payment, is accepted for all our medically necessary covered services
- Medicare assignment and some private insurance is accepted for covered therapy services, co-payments apply

Services funded by the State of Illinois, the United Ways of Elgin, Barrington, Central Kane, Metropolitan Chicago and Geneva Community Chest; the Hanover Township, Geneva and St. Charles Mental Health Boards; Elgin, St. Charles and Barrington Townships, and donations from individuals and foundations.

www.eckercenter.org

9-18-'16

STATEMENT OF REVENUE AND EXPENSES
FISCAL YEAR 2016 AUDITED

Community Revenue

Fundraising	\$ 153,559
United Way and Community Chest, Municipalities and Townships	73,274
Other	371,820
Total Community Revenue	\$ 598,653

Government Revenue

Illinois Department of Human Services	\$ 2,252,048
Department of Housing and Urban Development	661,445
Other	218,733
Total Government Revenue	\$ 3,132,226

Agency General Revenue

Program Service Fees	\$ 1,737,414
Investment and Other Income	172,447
Total Agency Generated Revenue	\$ 1,909,861
TOTAL REVENUE	\$ 5,848,916

Direct Program Expenses

Therapy Programs	\$ 531,289
Intake	64,373
Nursing	308,477
Psycho-social Rehabilitation	297,747
Psychiatric Services	461,770
Housing PSH Bridge Program	3,690
Case Management	566,529
Psychiatric Emergency Program	348,371
Community Integrated Living Arrangement	283,380
Transition Coordination	2,217
Preadmission Assessment Screening	58,131
Supported Residential Program	540,651
HUD On-Site Staff	241,479
HUD Leasing	316,032
Grandstand HUD Leasing	224,274
Supervised Residential	308,572
Crisis Residential	403,772
Total Program Expenses	\$ 4,960,754

Support Services

Administration	\$ 343,197
Fundraising	50,073
Total Support Services	\$ 393,270
TOTAL EXPENSES	\$ 5,354,024

We are pleased to acknowledge gifts to the Ecker Center for Mental Health Center received from the following friends and supporters between July 1, 2015 and June 30, 2016.

Advocate Sherman Hospital
Agnoletti, Janet
Alan M. Polse, DDS & Assoc., LTD
Alberts, Will & Jennifer
Alden, Dr. Patrick
Alden, Dr. Vicki
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United Way of Metropolitan Chicago	
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Roberta Nauman

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Miles, James & Carol
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Ricks, Burke
Sieracki, Ed & Ursula
Thompson, Marilyn

In Memory of:

Steve Chidester

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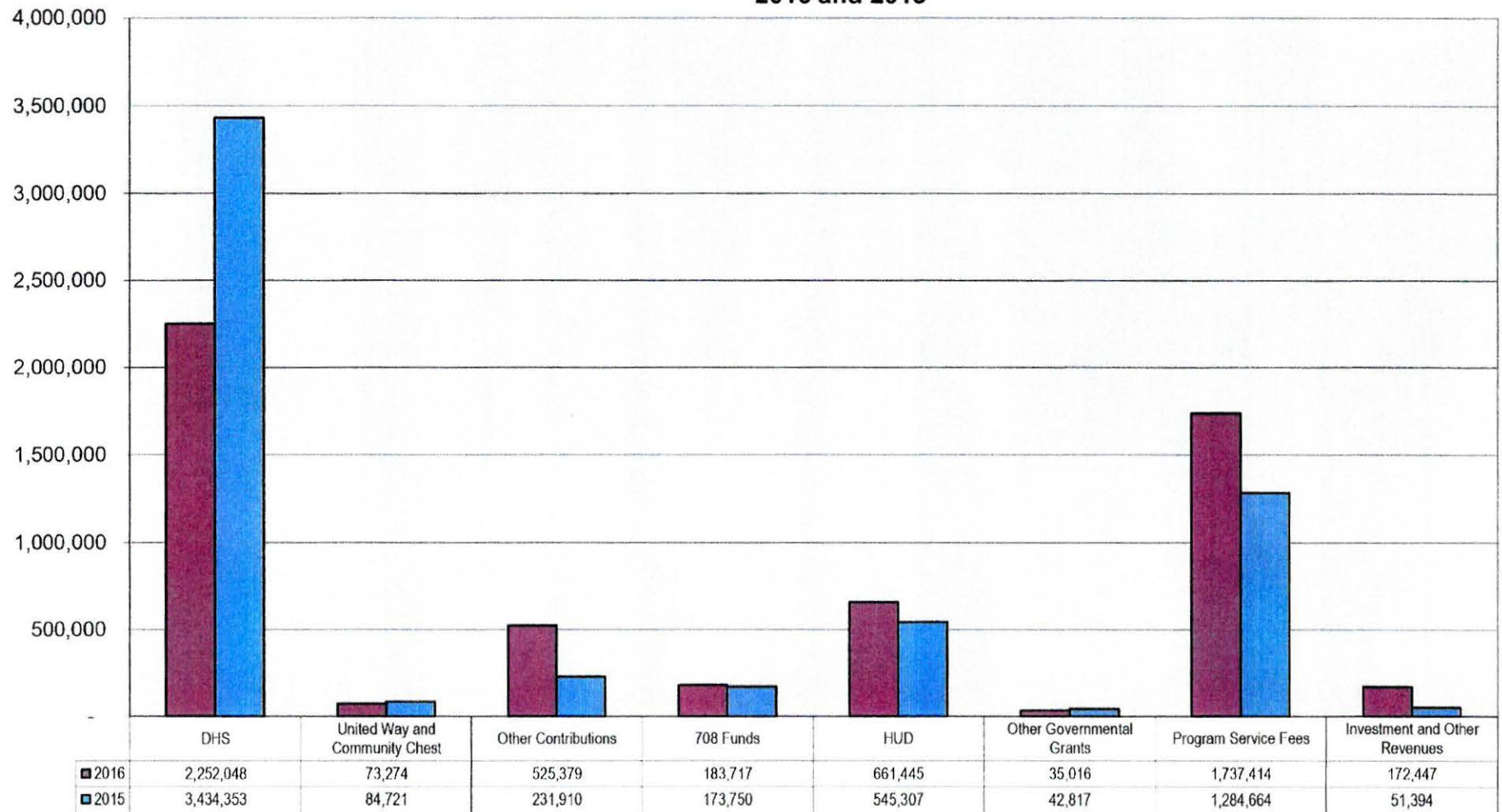
Donahue, Mary

In Memory of:

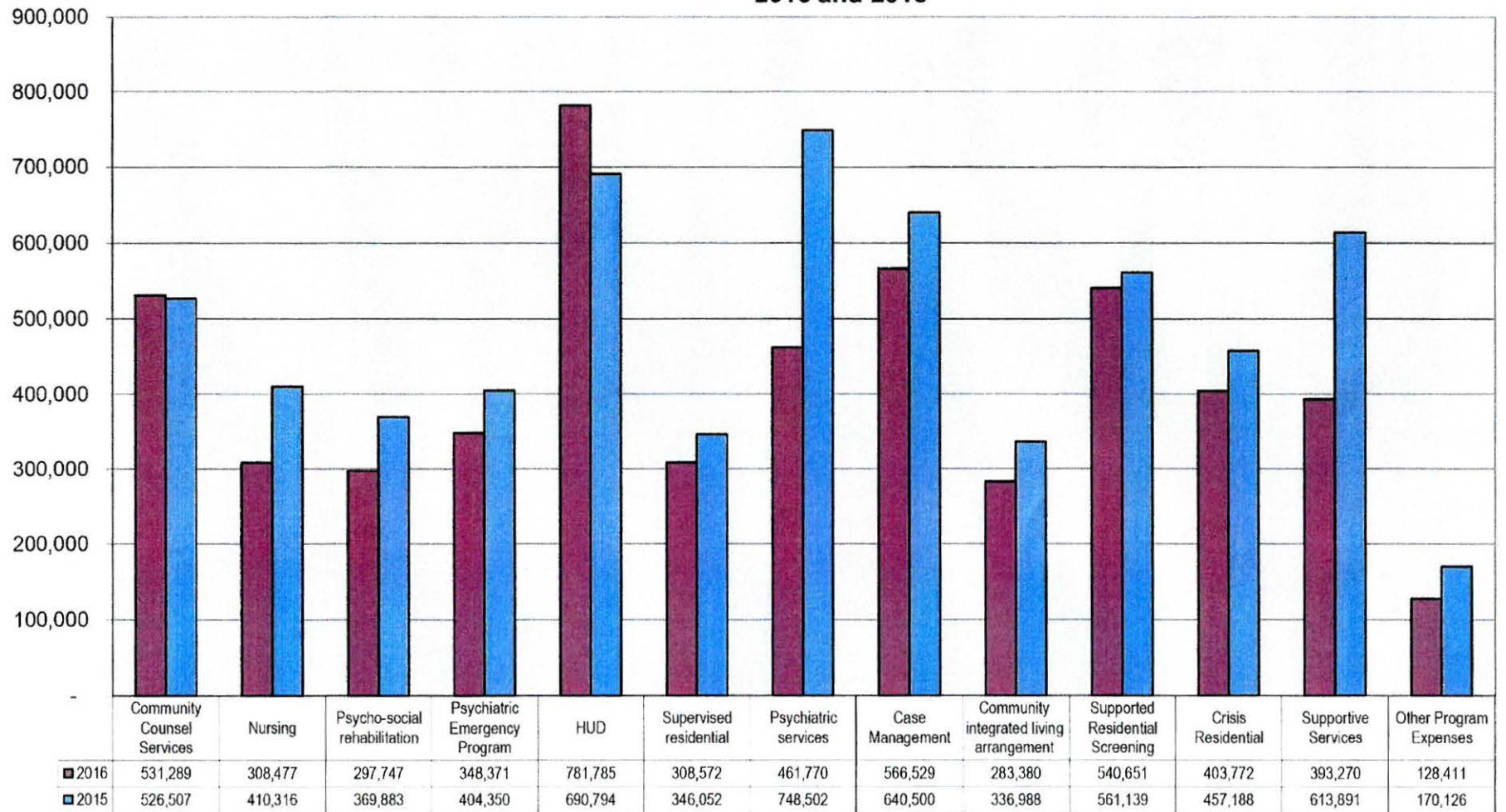
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Worden, Howard & Marcella Wauchope

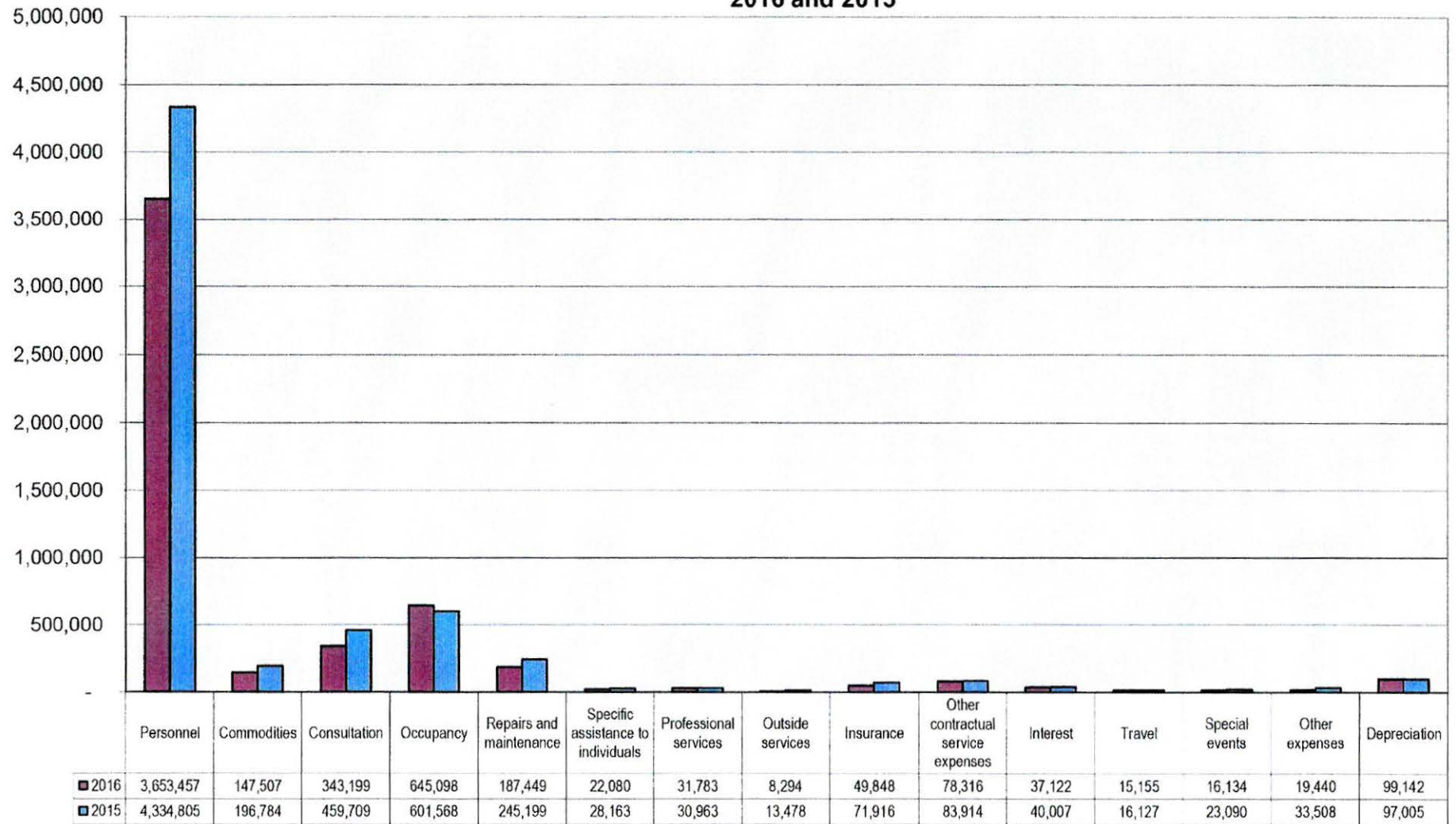
**Ecker Center for Mental Health, Inc.
Revenue by Source
2016 and 2015**



**Ecker Center for Mental Health, Inc.
Program Expenses
2016 and 2015**



**Ecker Center for Mental Health, Inc.
Functional Expenses
2016 and 2015**



**ECKER CENTER FOR MENTAL HEALTH
BOARD OF DIRECTORS
October 2016 – October 2017**

NAME/EMPLOYER/POSITION	MEMBER SINCE	TERM EXPIRATION
Sheldon, Timothy , Chairman Judge, Retired	8/2013	8/2019 – 2 nd
Matson, Russell , Vice Chairman Elgin Police Department Public Safety Officer	2/2015	2/2018 – 1 st
Tousey, Stephen , Treasurer Early, Tousey, Regan, Wlodek & Wong Attorney at Law	2/2012	2/2018 – 2 nd
Kaptain, RN, Sandra , Secretary Registered Nurse	10/2013	10/2019 – 2 nd
Conroy, David Gordon Flesch Co. Sales Manager	12/2006	12/2018 – 4 th
Hein, Jack Thrivent Financial Associate	10/2014	10/2017 – 1 st
Kirk, Alan Results Medical Consulting, LLC COO	8/2004	8/2019 – 5 th
Polse, DDS, Dr. Alan Alan M. Polse & Associate Dentist	8/2015	8/2018 – 1 st
Sellers, Aaron Comprehensive Training Solutions, Inc. CEO	10/2006	10/2018 – 4 th
Tiballi D.O., MBA, Dr. Robert President & CEO, Germbusters, P.C.	10/2015	10/2018 – 1 st
Villa, Hon. Robert K. Associate Judge 16 th Judicial Circuit	4/2016	04/2019 – 1 st

Ecker Center for Mental Health

Board of Directors' Election, Qualifications and Terms

New Ecker Center board members are elected by a majority of the board for three year terms. A director's term of office shall be for three years from his/her date of appointment by the board and he/she shall serve until a successor has been appointed to fill an unexpired term. A director's term may be extended as directed by the recommendation of the Governance Committee and majority vote of the board.

Ecker Center seeks board members of all ages that have expertise in medicine, business, law, accounting, management and are from, or knowledgeable about, the communities that the Center serves. By plan, the board represents the ethnic and cultural diversity of the communities that the Ecker Center serves. In the last six years, the board has not been able to recruit a Hispanic member, and the group is working to remedy this situation.



Department of the Treasury
Internal Revenue Service

P.O. Box 2508
Cincinnati OH 45201

In reply refer to: 0248206044
Jan. 25, 2013 LTR 4168C E0
36-2312495 000000 00

00015077
BODC: TE

ECKER CENTER FOR MENTAL HEALTH INC
1845 GRANDSTAND PL
ELGIN IL 60123-6603



016350

Employer Identification Number: 36-2312495
Person to Contact: Ms. Smith
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Jan. 15, 2013, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in March 1956.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

Ecker Center for Mental Health

Self Funding Efforts that Specifically Benefit St. Charles Residents in FY 2017

Fundraising Events

- Festival of Trees sale and gala
- Live tree sales
- Giving Tree
- Giving Tuesday
- Annual appeal letter
- Spring fundraiser
- Wishes for Wellness brunch
- Annual awards breakfast
- Shop and Share resale store proceeds

General Operating Donations

- Verizon Foundation
- Kane County
- Grand Victoria Foundation
- NAPA Auto Care Council

Grants to Specific Programs

- United Way of Fox Valley
- State of Illinois Division of Mental Health
- St. Charles Noon Kiwanis Foundation
- Hanover Township Mental Health Board
- Harvey & Ethel Daeumer Foundation
- NICOR Gas Community Relations
- Northwestern Medicine

Capital and Housing Grants

- HUD, Illinois Housing Development Authority and Division of Mental Health housing subsidies to individual clients, Cosmopolitan Club, Geneva Community Chest, Kane County Riverboat

Fee for Service

- Private medical insurance
- State of Illinois Division of Mental Health and Healthcare and Family Services
 - Payments for Medicare and Medicaid services that we provide and bill for

Contracts for Service

- Kane County Treatment Alternative Court (TAC)
- Kane County Drug Court
- Advocate Sherman Hospital, Psychiatric Emergency Program (PEP) funding assistance