



ST. CHARLES
SINCE 1834

AGENDA ITEM EXECUTIVE SUMMARY

Title: Recommendation to Approve Local Agency Agreement for Federal Participation for the Proposed Red Gate Bridge Construction Project

Presenter: Mark Koenen

Please check appropriate box:

Government Operations	X	Government Services 01.23.12
Planning & Development		City Council

Estimated Cost:	\$ (LA Costs)	Budgeted:	YES	x	NO
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If NO, please explain how item will be funded:

Executive Summary:

Staff will present the “Local Agency Agreement for Federal Participation” between the City of St. Charles and IDOT for the proposed Red Gate Bridge Main Stage 2 Contract. This agreement addresses construction and construction engineering cost sharing including grant funding. The stage 2 construction includes the installation of the main vehicle bridge that incorporates the pedestrian bridge, installation of new Red Gate Road roadway and intersection improvements at IL 31 and IL 25 with signals, installation of new multi-use path and boardwalk and restoration.

This agreement outlines the “Division of Costs” for stage 2 construction and construction engineering among the Federal Highway Administration (FHWA), State of Illinois, and the City of St Charles. The sharing of costs is as follows-

1. FHWA \$6,184,151
2. State of Illinois \$6,000,000
3. City of St. Charles \$11,255,745

Total Stage 2 Estimate = \$23,439,896

Funding overview for Stage 2 Construction (\$22,087,648) and Construction Engineering (\$1,352,248).

Total Estimated Value for Stage 2-	\$23,439,896
Grants-	<\$12,184,151>
Available Property Tax Receipts (4/30/12)<	\$ 2,725,616>
Enterprise/Developer Contributions-	< \$ 3,462,000>

Total Estimated Range for Bonding- \$ 5,068,129

Included is a copy of IDOT Addendum No. 2 which outlines future financial responsibilities for maintenance and electrical energy for the operation of the traffic signal at the intersections.

This work is anticipated to begin in March of 2012 with substantial completion in November 2012 and final completion by July of 2013.

- Copy of IDOT “Local Agency Agreement for Federal Participation”
- Copy of “Addendum No. 2”

Recommendation / Suggested Action (briefly explain):

Recommend approval of the “Local Agency Agreement for Federal Participation” to include the Addendum No. 2 for the Proposed Red Gate Bridge Construction Project.

For office use only:

Agenda Item Number: 4.1

City of St. Charles, Illinois
Resolution No. _____

**A Resolution Approving the Execution of a Local Agency Agreement with
Illinois Department of Transportation for Federal Participation for the
Proposed Red Gate Bridge Construction Project**

**Presented & Passed by the
City Council on**

BE IT RESOLVED by the Mayor and City Council of the City of St. Charles, Kane and DuPage Counties, Illinois, that the Mayor and City Clerk be and the same are hereby authorized to execute a Local Agency Agreement with Illinois Department of Transportation for Federal Participation for the Proposed Red Gate Bridge Construction Project.

PRESENTED to the City Council of the City of St. Charles, Illinois, this day of

PASSED by the City Council of the City of St. Charles, Illinois, this day of

APPROVED by the Mayor of the City of St. Charles, Illinois, this day of

Donald P. DeWitte, Mayor

ATTEST:

City Clerk

COUNCIL VOTE:

Ayes:

Nays:

Absent:

Abstain:

 Illinois Department of Transportation Local Agency Agreement for Federal Participation	Local Agency City of St. Charles	State Contract X	Day Labor	Local Contract	RR Force Account
	Section 04-00092-00-BR	Fund Type STU/STE/STA/SEC/TCS/SRF		ITEP Number 129198	
Construction		Engineering		Right-of-Way	
Job Number	Project Number	Job Number	Project Number	Job Number	Project Number
C-91-322-04	M-TE-CMM-HD-TCSP-IL08(030)				

This Agreement is made and entered into between the above local agency hereinafter referred to as the "LA" and the state of Illinois, acting by and through its Department of Transportation, hereinafter referred to as "STATE". The STATE and LA jointly propose to improve the designated location as described below. The improvement shall be constructed in accordance with plans approved by the STATE and the STATE's policies and procedures approved and/or required by the Federal Highway Administration hereinafter referred to as "FHWA".

Location

Local Name Red Gate Road Route Off-System Length 0.7 mile
 Termini IL 31 to IL 25 over the Fox River

Current Jurisdiction Red Gate - St. Charles; IL 25 and IL 31 - IDOT Proposed Structure Nos 045-6020/045-6024

Project Description

Construction of new Red Gate Road Bridge and pedestrian bridge over Fox River, including new roadway and mixed use path from Illinois 25 to Illinois 31

Division of Cost

Type of Work	FHWA	%	STATE	%	LA	%	Total
Participating Construction (STU)	2,855,118	(1)	()	()	713,780	(BAL)	3,568,898
Participating Construction (STE)	696,300	(2)	()	()	174,075	(BAL)	870,375
Participating Construction (STA)	1,920,000	(3)	()	()	480,000	(BAL)	2,400,000
Participating Construction (SEC)	260,463	(4)	()	()	0	(BAL)	260,463
Participating Construction (TCS)	452,270	(5)	()	()	113,067	(BAL)	565,337
Participating Construction (SRF)	()	()	5,547,730	(6)	6,009,282	(BAL)	11,557,012
Non-Participating Construction ⁷	()	()	()	()	2,865,563	(100)	2,865,563
Construction Engineering	()	()	452,270	(6)	899,978	(BAL)	1,352,248
TOTAL	\$ 6,184,151		\$ 6,000,000		\$ 11,255,745		\$ 23,439,896

¹ Maximum FHWA (STU) Participation 80% Not to Exceed \$2,855,118; ² Maximum FHWA (STE) Participation 80% Not to Exceed \$696,300;

³ Maximum FHWA (STA) Participation 80% Not to Exceed \$1,920,000; ⁴ Maximum FHWA (SEC) Participation 100% Not to Exceed \$260,463

⁵ Maximum FHWA (TCS) Participation 80% Not to Exceed \$452,270; ⁶ Maximum STATE Participation 100% Not to Exceed \$6,000,000

⁷ Non-Participating Construction includes but is not limited to water main, electrical distribution and anti-graffiti coating.

NOTE: The costs shown in the Division of Cost table are approximate and subject to change. The final LA share is dependent on the final Federal and State participation. The actual costs will be used in the final division of cost for billing and reimbursement.

If funding is not a percentage of the total, place an asterisk in the space provided for the percentage and explain above.

The Federal share of construction engineering may not exceed 15% of the Federal share of the final construction cost.

Local Agency Appropriation

By execution of this Agreement, the LA is indicating sufficient funds have been set aside to cover the local share of the project cost and additional funds will be appropriated, if required, to cover the LA's total cost.

Method of Financing (State Contract Work)

METHOD A---Lump Sum (80% of LA Obligation) _____

METHOD B--- _____ Monthly Payments of _____

METHOD C---LA's Share Balance divided by estimated total cost multiplied by actual progress payment.

(See page two for details of the above methods and the financing of Day Labor and Local Contracts)

Agreement Provisions

THE LA AGREES:

- (1) To acquire in its name, or in the name of the state if on the state highway system, all right-of-way necessary for this project in accordance with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, and established state policies and procedures. Prior to advertising for bids, the **LA** shall certify to the **STATE** that all requirements of Titles II and III of said Uniform Act have been satisfied. The disposition of encroachments, if any, will be cooperatively determined by representatives of the **LA**, and **STATE** and the **FHWA**, if required.
- (2) To provide for all utility adjustments, and to regulate the use of the right-of-way of this improvement by utilities, public and private, in accordance with the current Utility Accommodation Policy for Local Agency Highway and Street Systems.
- (3) To provide for surveys and the preparation of plans for the proposed improvement and engineering supervision during construction of the proposed improvement.
- (4) To retain jurisdiction of the completed improvement unless specified otherwise by addendum (addendum should be accompanied by a location map). If the improvement location is currently under road district jurisdiction, an addendum is required.
- (5) To maintain or cause to be maintained, in a manner satisfactory to the **STATE** and **FHWA**, the completed improvement, or that portion of the completed improvement within its jurisdiction as established by addendum referred to in item 4 above.
- (6) To comply with all applicable Executive Orders and Federal Highway Acts pursuant to the Equal Employment Opportunity and Nondiscrimination Regulations required by the U.S. Department of Transportation.
- (7) To maintain, for a minimum of 3 years after the completion of the contract, adequate books, records and supporting documents to verify the amounts, recipients and uses of all disbursements of funds passing in conjunction with the contract; the contract and all books, records and supporting documents related to the contract shall be available for review and audit by the Auditor General and the department; and the **LA** agrees to cooperate fully with any audit conducted by the Auditor General and the department; and to provide full access to all relevant materials. Failure to maintain the books, records and supporting documents required by this section shall establish a presumption in favor of the **STATE** for the recovery of any funds paid by the **STATE** under the contract for which adequate books, records and supporting documentation are not available to support their purported disbursement.
- (8) To provide if required, for the improvement of any railroad-highway grade crossing and rail crossing protection within the limits of the proposed improvement.
- (9) To comply with Federal requirements or possibly lose (partial or total) Federal participation as determined by the **FHWA**.
- (10) (State Contracts Only) That the method of payment designated on page one will be as follows:
 - Method A - Lump Sum Payment. Upon award of the contract for this improvement, the **LA** will pay to the **STATE**, in lump sum, an amount equal to 80% of the **LA**'s estimated obligation incurred under this Agreement, and will pay to the **STATE** the remainder of the **LA**'s obligation (including any nonparticipating costs) in a lump sum, upon completion of the project based upon final costs.
 - Method B - Monthly Payments. Upon award of the contract for this improvement, the **LA** will pay to the **STATE**, a specified amount each month for an estimated period of months, or until 80% of the **LA**'s estimated obligation under the provisions of the Agreement has been paid, and will pay to the **STATE** the remainder of the **LA**'s obligation (including any nonparticipating costs) in a lump sum, upon completion of the project based upon final costs.
 - Method C - Progress Payments. Upon receipt of the contractor's first and subsequent progressive bills for this improvement, the **LA** will pay to the **STATE**, an amount equal to the **LA**'s share of the construction cost divided by the estimated total cost, multiplied by the actual payment (appropriately adjusted for nonparticipating costs) made to the contractor until the entire obligation incurred under this Agreement has been paid.
- (11) (Day Labor or Local Contracts) To provide or cause to be provided all of the initial funding, equipment, labor, material and services necessary to construct the complete project.
- (12) (Preliminary Engineering) In the event that right-of-way acquisition for, or actual construction of the project for which this preliminary engineering is undertaken with Federal participation is not started by the close of the tenth fiscal year following the fiscal year in which this agreement is executed, the **LA** will repay the **STATE** any Federal funds received under the terms of this Agreement.
- (13) (Right-of-Way Acquisition) In the event that the actual construction of the project on this right-of-way is not undertaken by the close of the twentieth fiscal year following the fiscal year in which this Agreement is executed, the **LA** will repay the **STATE** any Federal Funds received under the terms of this Agreement.

- (14) (Railroad Related Work Only) The estimates and general layout plans for at-grade crossing improvements should be forwarded to the Rail Safety and Project Engineer, Room 204, Illinois Department of Transportation, 2300 South Dirksen Parkway, Springfield, Illinois, 62764. Approval of the estimates and general layout plans should be obtained prior to the commencement of railroad related work. All railroad related work is also subject to approval by the Illinois Commerce Commission (ICC). Final inspection for railroad related work should be coordinated through appropriate IDOT District Bureau of Local Roads and Streets office.
- Plans and preemption times for signal related work that will be interconnected with traffic signals shall be submitted to the ICC for review and approval prior to the commencement of work. Signal related work involving interconnects with state maintained traffic signals should also be coordinated with the IDOT's District Bureau of Operations.
- The **LA** is responsible for the payment of the railroad related expenses in accordance with the **LA**/railroad agreement prior to requesting reimbursement from IDOT. Requests for reimbursement should be sent to the appropriate IDOT District Bureau of Local Roads and Streets office.
- Engineer's Payment Estimates in accordance with the Division of Cost on page one.
- (15) And certifies to the best of its knowledge and belief its officials:
- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency;
 - (b) have not within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements receiving stolen property;
 - (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, local) with commission of any of the offenses enumerated in item (b) of this certification; and
 - (d) have not within a three-year period preceding the Agreement had one or more public transactions (Federal, State, local) terminated for cause or default.
- (16) To include the certifications, listed in item 15 above and all other certifications required by State statutes, in every contract, including procurement of materials and leases of equipment.
- (17) (State Contracts) That execution of this agreement constitutes the **LA's** concurrence in the award of the construction contract to the responsible low bidder as determined by the **STATE**.
- (18) That for agreements exceeding \$100,000 in federal funds, execution of this Agreement constitutes the **LA's** certification that:
- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement;
 - (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress, in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions;
 - (c) The **LA** shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- (19) To regulate parking and traffic in accordance with the approved project report.
- (20) To regulate encroachments on public right-of-way in accordance with current Illinois Compiled Statutes.
- (21) To regulate the discharge of sanitary sewage into any storm water drainage system constructed with this improvement in accordance with current Illinois Compiled Statutes.
- (22) That the **LA** may invoice the **STATE** monthly for the **FHWA** and/or **STATE** share of the costs incurred for this phase of the improvement. The **LA** will submit supporting documentation with each request for reimbursement from the **STATE**. Supporting documentation is defined as verification of payment, certified time sheets, vendor invoices, vendor receipts, and other documentation supporting the requested reimbursement amount.
- (23) To complete this phase of the project within three years from the date this agreement is approved by the **STATE** if this portion of the project described in the Project Description does not exceed \$1,000,000 (five years if the project costs exceed \$1,000,000).
- (24) Upon completion of this phase of the improvement, the **LA** will submit to the **STATE** a complete and detailed final invoice with all applicable supporting supporting documentation of all incurred costs, less previous payments, no later than one year from the date of completion of this phase of the improvement. If a final invoice is not received within one year of completion of this phase of the improvement, the most recent invoice may be considered the final invoice and the obligation of the funds closed.

- (25) (Single Audit Requirements) That if the **LA** receives \$500,000 or more a year in federal financial assistance they shall have an audit made in accordance with the Office of Management and Budget (OMB) Circular No. A-133. **LA**'s that receive less than \$500,000 a year shall be exempt from compliance. A copy of the audit report must be submitted to the **STATE** with 30 days after the completion of the audit, but no later than one year after the end of the **LA**'s fiscal year. The CFDA number for all highway planning and construction activities is 20.205.
- (26) That the **LA** is required to register with the Central Contractor Registration (CCR), which is a web-enabled government-wide application that collects, validates, stores, and disseminates business information about the federal government's trading partners in support of the contract award and the electronic payment processes. If you do not have a CCR number, you must register at <https://www.bpn.gov/ccr>. If the **LA**, as a sub-recipient of a federal funding, receives an amount equal to or greater than \$25,000 (or which equals or exceeds that amount by addition of subsequent funds), this agreement is subject to the following award terms: <http://edocket.access.gpo.gov/2010/pdf/2010-22705.pdf> and <http://edocket.access.gpo.gov/2010/pdf/2010-22706.pdf>.

THE STATE AGREES:

- (1) To provide such guidance, assistance and supervision and to monitor and perform audits to the extent necessary to assure validity of the **LA**'s certification of compliance with Titles II and III requirements.
- (2) (State Contracts) To receive bids for the construction of the proposed improvement when the plans have been approved by the **STATE** (and **FHWA**, if required) and to award a contract for construction of the proposed improvement, after receipt of a satisfactory bid.
- (3) (Day Labor) To authorize the **LA** to proceed with the construction of the improvement when Agreed Unit Prices are approved and to reimburse the **LA** for that portion of the cost payable from Federal and/or State funds based on the Agreed Unit Prices and Engineer's Payment Estimates in accordance with the Division of Cost on page one.
- (4) (Local Contracts) That for agreements with Federal and/or State funds in engineering, right-of-way, utility work and/or construction work:
- (a) To reimburse the **LA** for the Federal and/or State share on the basis of periodic billings, provided said billings contain sufficient cost information and show evidence of payment by the **LA**;
- (b) To provide independent assurance sampling, to furnish off-site material inspection and testing at sources normally visited by **STATE** inspectors of steel, cement, aggregate, structural steel and other materials customarily tested by the **STATE**.

IT IS MUTUALLY AGREED:

- (1) Construction of the project will utilize domestic steel as required by Section 106.01 of the current edition of the Standard Specifications for Road and Bridge Construction.
- (2) That this Agreement and the covenants contained herein shall become null and void in the event that the **FHWA** does not approve the proposed improvement for Federal-aid participation or the contract covering the construction work contemplated herein is not awarded within three years of the date of execution of this Agreement.
- (3) This Agreement shall be binding upon the parties, their successors and assigns.
- (3) For contracts awarded by the **LA**, the **LA** shall not discriminate on the basis of race, color, national origin or sex in the award and performance of any USDOT – assisted contract or in the administration of its DBE program or the requirements of 49 CFR part 26. The **LA** shall take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of USDOT – assisted contracts. The **LA**'s DBE program, as required by 49 CFR part 26 and as approved by USDOT, is incorporated by reference in this Agreement. Upon notification to the recipient of its failure to carry out its approved program, the department may impose sanctions as provided for under part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31U.S.C. 3801 et seq.). In the absence of a USDOT – approved **LA** DBE Program or on State awarded contracts, this Agreement shall be administered under the provisions of the **STATE**'s USDOT approved Disadvantaged Business Enterprise Program.
- (4) In cases where the **STATE** is reimbursing the **LA**, obligations of the **STATE** shall cease immediately without penalty or further payment being required if, in any fiscal year, the Illinois General Assembly or applicable Federal Funding source fails to appropriate or otherwise make available funds for the work contemplated herein.
- (5) All projects for the construction of fixed works which are financed in whole or in part with funds provided by this Agreement and/or amendment shall be subject to the Prevailing Wage Act (820 ILCS 130/0.01 et seq.) unless the provisions of that Act exempt its application

ADDENDA

Additional information and/or stipulations are hereby attached and identified below as being a part of this Agreement.

Number 1 Location Map

(Insert addendum numbers and titles as applicable)

The LA further agrees, as a condition of payment, that it accepts and will comply with the applicable provisions set forth in this Agreement and all exhibits indicated above.

APPROVED

Local Agency

Donald P. DeWitte

Name of Official (Print or Type Name)

Mayor

Title (County Board Chairperson/Mayor/Village President/etc.)

(Signature)

Date

The above signature certifies the agency's TIN number is
36-6006090 conducting business as a Governmental
Entity.

DUNS Number 074569608

APPROVED

State of Illinois
Department of Transportation

Ann L. Schneider, Secretary of Transportation

Date

By:

(Delegate's Signature)

(Delegate's Name - Printed)

William R. Frey, Interim Director of Highways

Date

Ellen J. Schanzle-Haskins, Chief Counsel

Date

Matthew R. Hughes, Acting Director of Finance and Administration Date

NOTE: If signature is by an APPOINTED official, a resolution authorizing said appointed official to execute this agreement is required.

ADDENDUM #2

City of St. Charles

Red Gate Road: IL Rte 31 to IL Rte 25 over the Fox River

Section No.: 04-00092-00-BR

Project No.: M-TE-CMM-HD-TCSP-IL08(030)

Job No.: C-91-322-04

Kane County

CHANGES IN "AGREEMENT PROVISIONS"
UNDER "IT IS MUTUALLY AGREED":

The following items are added:

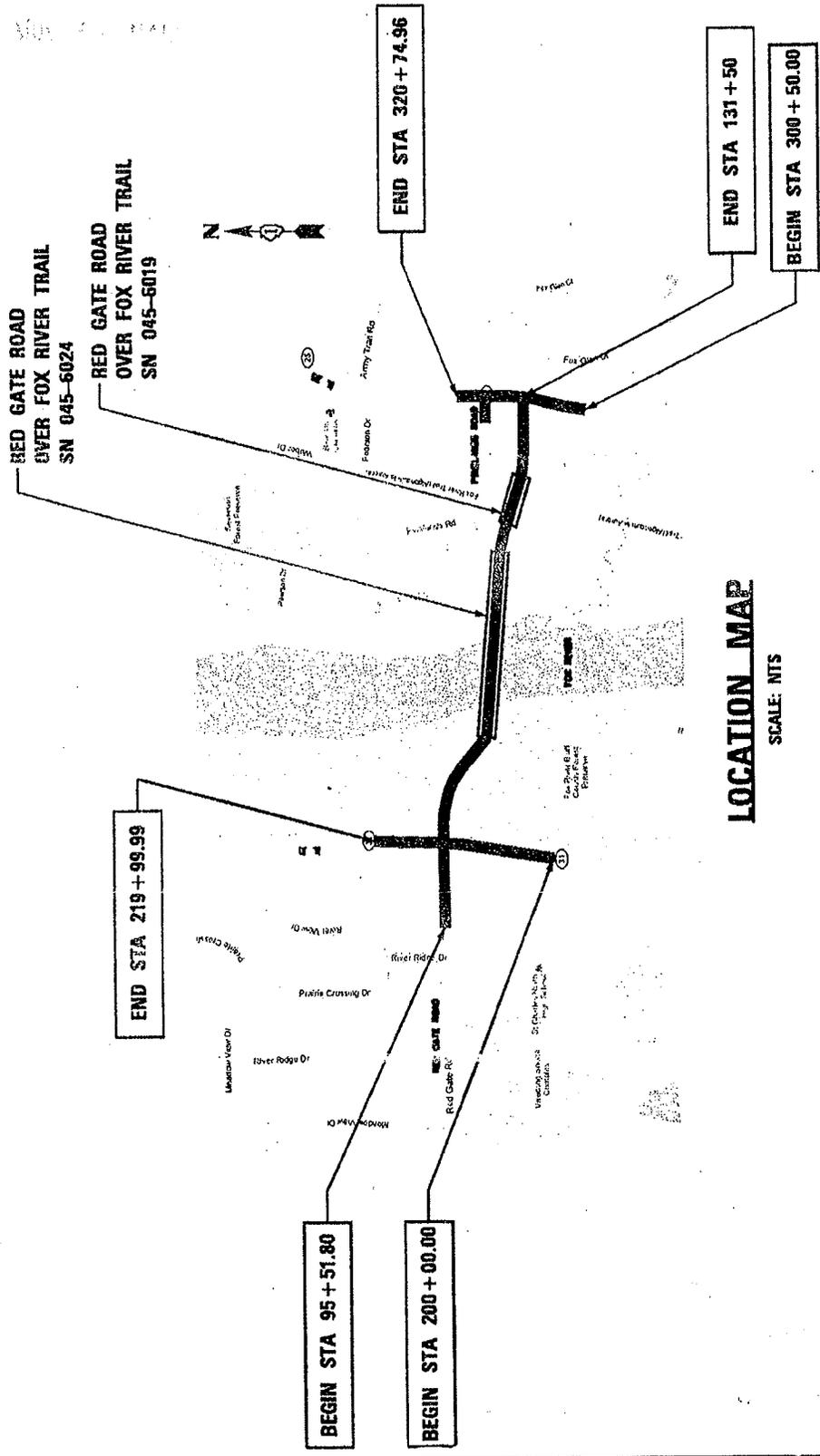
6. All traffic control equipment to be used in the traffic signal work included herein must be approved by the STATE prior to its installation. The STATE must be notified a minimum of seven (7) working days prior to the initial turn on. Final inspection of the signalized intersections will be made by a representative of the STATE, and, if satisfactory, authorization for turn on will be given.
7. Upon acceptance of the traffic signals by the STATE the financial responsibility for maintenance and electrical energy for the operation of the traffic signal at the intersections shall be proportioned as follows:

	MAINTENANCE	ELECTRICAL ENERGY
IL Route 31 at Red Gate Road	50% LA 50% STATE	100% LA
IL Route 25 at Red Gate Road	33 $\frac{1}{3}$ % LA 67 $\frac{2}{3}$ % STATE	100% LA

and become a part of the Master Agreement between the LA and the STATE effective July 1, 2011.

8. The actual maintenance of traffic signals will be performed by the STATE with its own forces or through ongoing contractual agreement.
9. The financial responsibility for the maintenance of the "Emergency Vehicle Preemption" equipment at the signalized intersections above shall be borne by the LA.
10. The STATE retains the right to control the sequence and timing of the traffic signals.
11. The LA will be responsible for 100% of the maintenance and energy costs for the roadway and bridge lighting within the project limits including on IL Route 31. The LA shall receive invoices directly from the utility company for the payments of electrical energy costs without any STATE involvement.
12. Payment by the STATE of any or all of its share of maintenance and energy is contingent upon the STATE receiving adequate funds in its annual appropriation.
13. It is mutually agreed, if, in the future, the STATE adopts a roadway or traffic signal improvement passing through either intersection which requires modernization or reconstruction to said traffic signal and/or roadway lighting, then the LA agrees to be financially responsible for all costs to relocate or reconstruct the roadway lighting and emergency vehicle pre-emption equipment, and proportionate costs of the traffic signals in conjunction with the STATE's proposed improvement.
14. All provisions, conditions, restrictions and requirements of this Agreement shall be binding upon and inure to the benefit of the successors, assigns, administrators, executors or heirs of the parties hereto.

LOCATION MAP



LOCATION MAP

SCALE: NTS

Revised 2010

Corps of Engineers

IL Dep't of Natural Resources

IL Environmental Protection Agency

Applicant's Copy